
MIGRATION TO POST-QUANTUM CRYPTOGRAPHY

William Barker

Dakota Consulting

Murugiah Souppaya

National Institute of Standards and Technology

DRAFT

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applied-crypto-pqc@nist.gov



1 The National Cybersecurity Center of Excellence (NCCoE), a part of the National Institute of
2 Standards and Technology (NIST), is a collaborative hub where industry organizations,
3 government agencies, and academic institutions work together to address businesses' most
4 pressing cybersecurity challenges. Through this collaboration, the NCCoE develops modular,
5 adaptable example cybersecurity solutions demonstrating how to apply standards and best
6 practices by using commercially available technology. To learn more about the NCCoE, visit
7 <https://www.nccoe.nist.gov/>. To learn more about NIST, visit <http://www.nist.gov>.

8 This document describes challenges associated with migration from current public-key
9 cryptographic algorithms to quantum-resistant algorithms, and approaches to facilitating that
10 migration.

11 **ABSTRACT**

12 The NIST National Cybersecurity Center of Excellence (NCCoE) is initiating the development of
13 practices to ease the migration from the current set of public-key cryptographic algorithms to
14 replacement algorithms that are resistant to quantum computer-based attacks. These practices
15 will take the form of white papers, playbooks, and demonstrable implementations for
16 organizations. In particular, the audience for these practices is intended to include organizations
17 that provide cryptographic standards and protocols and enterprises that develop, acquire,
18 implement, and service cryptographic products. This effort complements the NIST post-
19 quantum cryptography (PQC) standardization activities.

20 **ACKNOWLEDGMENTS**

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22 the NCCoE-hosted Virtual Workshop on Considerations in Migrating to Post-Quantum
23 Cryptographic Algorithms. NCCoE thanks Dustin Moody, Lidong Chen, and Matthew Scholl for
24 contributing to the development of this project description.

25 **KEYWORDS**

26 *algorithm; cryptographic hardware; cryptographic module; cryptography; encryption; identity*
27 *management; key establishment and management; post-quantum cryptography; public-key*
28 *cryptography*

29 **DISCLAIMER**

30 Certain commercial entities, equipment, products, or materials may be identified in this
31 document in order to describe an experimental procedure or concept adequately. Such
32 identification is not intended to imply recommendation or endorsement by NIST or NCCoE, nor
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34 best available for the purpose.

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36 Organizations are encouraged to review all draft publications during public comment periods
37 and provide feedback. All publications from NIST's National Cybersecurity Center of Excellence
38 are available at <https://www.nccoe.nist.gov/>.

39 Comments on this publication may be submitted to applied-crypto-pqc@nist.gov.

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63 1 EXECUTIVE SUMMARY

64 Purpose

65 As reflected in National Institute of Standards and Technology (NIST) Interagency or Internal
66 Report (NISTIR) 8105, *Report on Post-Quantum Cryptography* [1] and NISTIR 8309, *Status Report*
67 *on the Second Round of the NIST Post-Quantum Cryptography Standardization Process* [2], work
68 on the development of quantum-resistant public-key cryptographic standards is underway, and
69 the algorithm selection process is well in-hand, with algorithm selection expected to be
70 completed in the next one to two years ([https://csrc.nist.gov/projects/post-quantum-](https://csrc.nist.gov/projects/post-quantum-cryptography)
71 [cryptography](https://csrc.nist.gov/projects/post-quantum-cryptography)).

72 To complement the ongoing effort, the National Cybersecurity Center of Excellence (NCCoE) has
73 initiated a campaign to bring awareness to the issues involved in migrating to post-quantum
74 algorithms, which will include developing white papers, playbooks, and proof-of-concept
75 implementations. NIST has developed and posted a cybersecurity white paper, *Getting Ready*
76 *for Post-Quantum Cryptography* [3] to start the discussion.

77 In addition, the NCCoE is forming a Cryptographic Applications community of interest in
78 coordination with the NIST Post-Quantum Cryptography standardization team and the
79 Department of Homeland Security (DHS) Cybersecurity and Infrastructure Security Agency (CISA)
80 team. The community of interest will work on a migration playbook that would address the
81 challenges previously described and provide recommended practices to prepare for a smooth
82 cryptographic migration.

83 Finally, the NCCoE has developed this project description for practical demonstration of
84 technology and tools that can support a head start on executing a migration roadmap in
85 collaboration with this community of interest.

86 Scope

87 There is currently no inventory that can guide updates to standards, guidelines, regulations,
88 hardware, firmware, operating systems, communication protocols, cryptographic libraries, and
89 applications that employ cryptography that meets the need to accelerate migration to quantum-
90 resistant cryptography. As a starting point for expeditiously discovering where updates to
91 quantum-resistant cryptography will be required, NIST is planning:

- 92 • discovery of all instances where NIST Federal Information Processing Standards (FIPS),
93 800-series Special Publications (SPs), and other guidance will need to be updated or
94 replaced;
- 95 • discovery of which standards from the International Organization for
96 Standardization/International Electrotechnical Commission (ISO/IEC), Institute of
97 Electrical and Electronics Engineers (IEEE), industry groups like the Trusted Computing
98 Group, and other standards developing organizations will need to be updated or
99 replaced; and
- 100 • discovery of which Internet Engineering Task Force (IETF) Request for Comments (RFCs)
101 and other networking protocol standards will need to be updated or replaced.

102 Implementation of quantum-safe algorithms requires identifying hardware and software
103 modules, libraries, and embedded code currently used in an enterprise to support cryptographic
104 key establishment and management underlying the security of cryptographically-protected

105 information and access management processes, as well as provide the source and content
106 integrity of data at rest, in transit, and in use.

107 The initial scope of this project is to demonstrate the discovery tools that can provide
108 automation assistance in identifying where and how public-key cryptography is being used in
109 hardware, firmware, operating systems, communication protocols, cryptographic libraries, and
110 applications employed in data centers on-premises or in the cloud and distributed compute,
111 storage, and network infrastructures. The recommended project will engage industry in
112 demonstrating use of automated discovery tools to identify all instances of public-key algorithm
113 use in an example network infrastructure's computer and communications hardware, operating
114 systems, application programs, communications protocols, key infrastructures, and access
115 control mechanisms. The algorithm employed and the use for which the algorithm is employed
116 would be identified for each affected infrastructure component.

117 Once the public-key cryptography components and associated assets in the enterprise are
118 identified, the next element of the scope of the project is to prioritize those components that
119 need to be considered first in the migration using a risk management methodology informed by
120 "Mosca's Theorem" and other recommended practices.

121 Michele Mosca's theorem in *Cybersecurity in an era with quantum computers: will we be ready?*
122 (<https://eprint.iacr.org/2015/1075>) says that we need to start worrying about the impact of
123 quantum computers when the amount of time that we wish our data to be secure for (X),
124 added to the time it will take for our computer systems to transition from classical to post-
125 quantum (Y), is greater than the time it will take for quantum computers to start breaking
126 existing quantum-susceptible encryption protocols—or when $X + Y > Z$.

127 Finally, the project will provide systematic approaches for migrating from vulnerable algorithms
128 to quantum-resistant algorithms across the different types of assets and supporting underlying
129 technology. For example:

- 130 • Each enterprise that produces, supports, or uses public-key cryptography might conduct
131 an inventory to determine what systems and components use public-key cryptography
132 and how the cryptography is used to protect the confidentiality or integrity of
133 information being exchanged, stored, or used to control processes (both information
134 technology and operational technology processes.) Examples include code signing
135 platforms, public-key infrastructure, and data security systems.
- 136 • At the same time, quantum-vulnerable information stored and/or exchanged within the
137 enterprise and with customers and partners might be categorized with respect to
138 criticality, disclosure sensitivity, and the consequences of unauthorized and undetected
139 modification.
- 140 • Enterprises might also work with government and industry to identify emerging
141 quantum-resistant cryptographic standards and products, their technical and
142 operational characteristics, and their anticipated timeframe for availability to replace
143 quantum-vulnerable systems and components.
- 144 • Enterprises might work with public and private sector experts and providers to
145 implement the emerging quantum-resistant crypto algorithms into protocols and
146 technology.

- 147 • Enterprises might then work with public and private sector experts and providers to
148 identify any technical constraints that their cryptographically dependent systems
149 impose on replacement systems and components, and to resolve any incompatibilities.
- 150 • Enterprises should also work with service providers, partners, and customers to
151 coordinate adoption of technical solutions as necessary to maintain interoperability and
152 to satisfy existing agreements regarding the security of information content and
153 continuity of information distribution.
- 154 • Enterprises might then be able to work with their technology suppliers to establish a
155 procurement process consistent with enterprise priorities and plans.

156 Assumptions & Challenges

157 The discovery of new cryptographic weaknesses or advances in the technologies supporting
158 cryptanalysis often lead to the need to replace a legacy cryptographic algorithm. The advent of
159 quantum computing technology will compromise many of the current cryptographic algorithms,
160 especially public-key cryptography, which is widely used to protect digital information. Most
161 algorithms on which we depend are used worldwide in components of many different
162 communications, processing, and storage systems.

163 Many information systems lack *crypto agility*. That is, they are not designed to encourage
164 support of rapid adaptations of new cryptographic primitives and algorithms without making
165 significant changes to the system's infrastructure. As a result, an organization may not possess
166 complete control over its cryptographic mechanisms and processes so that they can make
167 accurate alterations to them without involving intense manual effort.

168 The replacement of algorithms generally requires the following first steps:

- 169 • identifying the presence of the legacy algorithms
- 170 • understanding the data formats and application programming interfaces of
171 cryptographic libraries to support necessary changes and replacements
- 172 • discovering the hardware that implements or accelerates algorithm performance
- 173 • determining operating system and application code that uses the algorithm
- 174 • identifying all communications devices with vulnerable protocols
- 175 • identifying cryptographic protocol dependencies on algorithm characteristics

176 Once an enterprise has discovered where and for what it is employing public-key cryptography,
177 the organization can determine the use characteristics, such as:

- 178 • current key sizes and hardware/software limits on future key sizes and signature sizes
- 179 • latency and throughput thresholds
- 180 • processes and protocols used for crypto negotiation
- 181 • current key establishment handshake protocols
- 182 • where each cryptographic process is taking place in the stack
- 183 • how each cryptographic process is invoked (e.g., by a call to a crypto library, using a
184 process embedded in the operating system, by calling to an application, using
185 cryptography as a service)
- 186 • whether the implementation supports the notion of crypto agility
- 187 • whether the implementation may be updated through software

- 188 • supplier(s) and owner(s) of each cryptographic hardware/software/process
- 189 • source(s) of keys and certificates
- 190 • contractual and legal conditions imposed by and on the supplier
- 191 • whether the use of the implementation requires validation under the Cryptographic
192 Module Validation Program
- 193 • the support lifetime or expected end-of-life of the implementation, if stated by the
194 vendor
- 195 • intellectual property impacts of the migration
- 196 • sensitivity of the information that is being protected

197 The new algorithms will likely not be drop-in replacements. They may not have the same
198 performance or reliability characteristics as legacy algorithms due to differences in key size,
199 signature size, error handling properties, number of execution steps required to perform the
200 algorithm, key establishment process complexity, etc.

201 Once the replacement algorithms are selected, other operational considerations to accelerate
202 adoption and implementation across the organization include:

- 203 • developing a risk-based approach that takes into consideration security requirements,
204 business operations, and mission impact
- 205 • developing implementation validation tools
- 206 • identifying cases where interim (e.g., hybrid) implementations are necessary to
207 maintaining interoperability during migration.
- 208 • updating the processes and procedures of developers, implementers, and users
- 209 • establishing a communication plan to be used both within the organization and with
210 external customers and partners
- 211 • identifying a migration timeline and the necessary resources
- 212 • updating or replacing security standards, procedures, and recommended practice
213 documentation
- 214 • specifying procurement requirements to acquire quantum-safe technology
- 215 • providing installation, configuration, and administration documentation
- 216 • testing and validating the new processes and procedures

217 **Background**

218 Cryptographic technologies are used throughout government and industry to authenticate the
219 source and protect the confidentiality and integrity of information that we communicate and
220 store. Cryptographic technologies include a broad range of protocols, schemes, and
221 infrastructures, but they rely on a relatively small collection of cryptographic algorithms.
222 Cryptographic algorithms are the information transformation engines at the heart of these
223 cryptographic technologies.

224 Cryptographic algorithms are mathematical functions that transform data, generally using a
225 variable, or key, to protect information. The protection of these key variables is essential to the
226 continued security of the protected data. In the case of symmetric cryptographic algorithms, the
227 same key is used by both the originator and recipient of cryptographically protected
228 information. Symmetric keys must remain secret to maintain confidentiality; anyone with the

229 key can recover the unprotected data. Asymmetric algorithms require the originator to use one
230 key and the recipient to use a different but related key. One of these asymmetric keys (the
231 private key) must be kept secret, but the other key (the public key) can be made public without
232 degrading the security of the cryptographic process. These asymmetric algorithms are
233 commonly called public-key algorithms.

234 Symmetric algorithms offer efficient processing for confidentiality and integrity, but key
235 management (establishing and maintaining secrets known only to the communicating parties)
236 poses a challenge. Symmetric algorithms offer weak proofs of origin since either party to an
237 exchange can calculate the transformation. Asymmetric algorithms generally require more
238 processing operations and time than are practical for providing confidentiality protection for
239 more than very small volumes of data. However, use of these algorithms is feasible for
240 cryptographic key establishment and digital signature processes. In the case of public-key
241 cryptography, one of the keys in a pair can be made public and distribution of private keys is not
242 needed. Asymmetric key algorithms can be used to establish pairwise keys and authenticate an
243 entity and/or data source in many-to-many communications without demanding a secret
244 channel for key distribution. As a result, most cryptographic entity or data source authentication
245 and key establishment functions use public-key cryptography.

246 From time to time, the discovery of a cryptographic weakness, constraints imposed by
247 dependent technologies, or advances in the technologies that support cryptanalysis make it
248 necessary to replace a legacy cryptographic algorithm. Most algorithms on which we depend are
249 used worldwide in components of many different communications, processing, and storage
250 systems. While some components of some systems tend to be replaced by improved
251 components on a relatively frequent basis (e.g., cell phones), other components are expected to
252 remain in place for a decade or more (e.g., components in electricity generation and distribution
253 systems). Communications interoperability and records archiving requirements introduce
254 additional constraints on system components. As a general rule, cryptographic algorithms
255 cannot be replaced until all components of a system are prepared to process the replacement.
256 Updates to protocols, schemes, and infrastructures must often be implemented when
257 introducing new cryptographic algorithms. Consequently, algorithm replacement can be
258 extremely disruptive and often takes decades to complete.

259 Continued progress in the development of quantum computing, a technology required to
260 support cryptanalysis using Shor's algorithm, foreshadows a particularly disruptive
261 cryptographic transition. All widely used public-key cryptographic algorithms are vulnerable to
262 attacks based on Shor's algorithm, but the algorithm depends upon operations that can only be
263 achieved by a large-scale quantum computer. Practical quantum computing, when available to
264 cyber adversaries, will break the security of nearly all modern public-key cryptographic systems.

265 Consequently, all secret symmetric keys and private asymmetric keys that are now protected
266 using current public-key algorithms and the information protected under those keys will be
267 subject to exposure. This includes all recorded communications and other stored information
268 protected by those public-key algorithms. Any information still considered to be private or
269 otherwise sensitive will be vulnerable to exposure. The same is true with respect to an
270 undetected modification of the information.

271 Once exploitation of Shor's algorithm becomes practical, protecting stored keys and data will
272 require re-encrypting them with a quantum-resistant algorithm and deleting or physically
273 securing "old" copies (e.g., backups). Integrity and sources of information will become unreliable
274 unless they are processed or encapsulated (e.g., re-signed or timestamped) using a mechanism

275 that is not vulnerable to quantum computing-based attacks. Nothing can be done to protect the
276 confidentiality of encrypted material that was stored by an adversary before re-processing.

277 We refer to algorithms that are vulnerable to exploitation by quantum computing mechanisms
278 as *quantum-vulnerable*.

279 **2 DEMONSTRATION SCENARIOS**

280 The quantum-safe cryptography discovery project will demonstrate tools for discovery of
281 quantum-vulnerable cryptographic code or dependencies on such code for several
282 implementation scenarios. Each of the scenarios involves discovery of quantum-vulnerable
283 cryptographic code or dependencies on quantum-vulnerable cryptographic code. Each scenario
284 also addresses some aspect of prioritization for replacement of quantum-vulnerable
285 cryptographic code or elimination of dependencies on quantum-vulnerable cryptographic code.
286 Finally, the scenarios address aspects of remediating deficiencies based on security control
287 dependence on quantum-vulnerable cryptography.

288 **Scenario 1: FIPS-140 validated hardware and software modules that employ quantum-** 289 **vulnerable public-key cryptography**

- 290 • The first step in this scenario involves discovery of FIPS-140 validated hardware and
291 software modules present in the enterprise that employ quantum-vulnerable public-key
292 cryptography.
- 293 • This step would be followed by determining the uses of each module (e.g., symmetric
294 key wrapping, digital signature).
- 295 • Where the module is used to protect specific data sets or processes, an assessment of
296 the criticality of the protected information or process should follow. Based on the
297 purposes for which the module is used and what it protects, prioritize the identified
298 modules for replacement.
- 299 • Since not all modules will be able to be replaced within the same timeframe due to
300 availability, validation status, or other considerations, a replacement availability
301 schedule will be developed that accommodates a staged or multiple step replacement
302 process. Not all replacements should necessarily be made using new public-key
303 algorithms. In some cases, use of a keyed hash, for example, may accomplish the same
304 purpose with a module that is both applicable and available sooner. In other cases, high-
305 priority components will not have near-term replacements, or the replacements may
306 have interface or performance characteristics that conflict with system requirements. In
307 such cases, compensating controls may be considered.
- 308 • The result of this scenario will be an identified set of quantum-vulnerable components,
309 identification of priorities for replacement based on the documented risk assessment,
310 and the migration/compensation strategy identified for each component (with
311 estimated timeline).

312 **Scenario 2: Cryptographic libraries that include quantum-vulnerable public-key cryptography**

- 313 • This scenario has as its initial step identifying a set of cryptographic libraries that are
314 commonly used in development of cryptographic software.
- 315 • This representative set of libraries will then be reviewed to identify the presence of calls
316 to routines associated with quantum-vulnerable public-key algorithms.

- 317 • The libraries will also be reviewed to determine whether they also include algorithms or
318 supporting components for quantum-resistant algorithms that were selected for
319 standardization by the NIST post-quantum cryptography standardization process.
- 320 • Where a library does not include support for a NIST-selected algorithm, the library will
321 be identified as such and a recommendation will be made regarding inclusion of one or
322 more NIST-selected algorithms that fulfill one or more functions of the quantum-
323 vulnerable routines that are included in the library.
- 324 • Where a library does include support for a NIST-selected algorithm, a recommendation
325 will be made to determine that the algorithm or algorithmic element supports a correct
326 implementation of the NIST-selected algorithm.
- 327 • Based on collaborator input, an attempt will be made to identify the most commonly
328 called libraries.
- 329 • The result of this scenario will be identification of commonly employed cryptographic
330 libraries that support only quantum-vulnerable algorithms, identification of
331 cryptographic libraries that support one or more NIST-selected algorithms, and notes
332 identifying algorithms/modes selected, issues associated with correct support for the
333 quantum-resistant algorithms, and flagging of those libraries that have known malware
334 or other security-relevant coding flaws.

335 **Scenario 3: Cryptographic applications and cryptographic support applications that include or** 336 **are focused on quantum-vulnerable public-key cryptography**

- 337 • The initial step in this scenario is identification and selection of example cryptographic
338 applications and cryptographic support applications that include or are focused on
339 quantum-vulnerable public-key cryptography. Applications supporting information
340 exchange protocols such as Transport Layer Security (TLS) will be included, as well as
341 those supporting critical operating system and infrastructure processes including
342 financial systems and infrastructure control systems.
- 343 • Second, the team will identify the cryptographic function or functions supported by the
344 quantum-vulnerable algorithm(s) in each cryptographic application and cryptographic
345 support application (e.g., key agreement, key wrapping, digital signature,
346 authentication). As part of this step, the team will flag system security dependencies on
347 the availability of each cryptographic application and cryptographic support application
348 (e.g., subject identification, access authorization, confidentiality of data in transit and/or
349 at rest).
- 350 • The third step will be to identify any information exchange and processing protocols
351 that are dependent on each cryptographic application and cryptographic support
352 application being examined.
- 353 • Fourth, the team will identify the information technology or operational technology
354 environment in which each cryptographic application and cryptographic support
355 application is being used and will categorize the FIPS 199 [4] risk associated with the
356 failure of or unavailability of the application. The team will identify any compensating
357 controls that might be used to provide the needed control in lieu of an unavailable or
358 non-functional application.
- 359 • The team will next identify algorithm characteristics required by or limited by each
360 cryptographic or cryptographic support application examined (e.g., key size, block size,
361 mode of operation supported, error tolerance, latency, throughput).

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- The team will then, based on the algorithms remaining under consideration by the NIST post-quantum standardization process, identify which, if any, candidate algorithms meet the algorithm characteristics requirement for each application and flag those applications for which no candidate algorithm can meet a requirement.
 - Finally, the result of the scenario will be a listing of the applications prioritized by risk category, functional criticality, and the number/scope of dependent systems and processes. For each application, candidate replacement algorithms and/or compensating controls will be identified. Those cases where no suitable algorithm or compensating control can be identified will be flagged.

371 **Scenario 4: Embedded quantum-vulnerable cryptographic code in computing platforms**

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- The initial step in this scenario will be to identify one or more operating system environments (e.g., Microsoft Windows, Red Hat Enterprise Linux, macOS, iOS, Android) for which quantum-vulnerable cryptography is embedded in operating system code, access control utility code, cryptographic integrity applications and mechanisms, and code embedded in identity and access management systems and applications.
 - For each operating system environment, determine and document how widely it is used and cite examples of dependent enterprises and infrastructures.
 - For each operating system environment identified, the team will employ automated tools to identify the quantum-vulnerable cryptographic code.
 - For each instance identified, the team will assess the criticality of the code for the ability of the system to function (e.g., are there settings that don't require the code instance, what is the security consequence of not invoking the code).
 - For each instance of quantum-vulnerable cryptographic code, the team will identify algorithm characteristics that are required by or limited by the code (key size, block size, mode of operation supported, error tolerance, latency, throughput, etc.).
 - The team will then, based on the algorithms remaining under consideration by the NIST post-quantum algorithm standardization process, identify which, if any, candidate algorithms meet the algorithm characteristics requirement for each code instance and flag those instances for which no candidate algorithm can meet a requirement.
 - The result of this scenario will be a list of all quantum-vulnerable public-key cryptographic code identified, and for each code instance, the following information will be provided:
 - location and purpose of the code
 - candidate NIST algorithms that were identified as suitable for replacing the quantum-vulnerable code and projected impact of the replacement on performance of the intended system functionality (include replacements' characteristics such as rounds, key size, block size, etc.)
 - consequence of simply deleting the code and any mitigation approach that might be recommended
 - priority of the recommended replacement or other mitigation
 - flagging cases where neither replacement nor deletion appears to be practical, and failure to do either will impair operating system functionality and/or security

405 **Scenario 5: Communication protocols widely deployed in different industry sectors that**
 406 **leverage quantum-vulnerable cryptographic algorithms**

- 407 • The team will conduct a search for references to quantum-vulnerable public-key
 408 algorithms in communications and network standards used by U.S.-based service
 409 providers and representative enterprises in the financial, healthcare, energy,
 410 transportation, and other sectors. Instances will be documented.
- 411 • The team will characterize how widespread use of the referenced protocol is and the
 412 applications that it supports.
- 413 • For each documented reference, the team will identify any limitations or specifications
 414 respecting key size, block size, or latency/throughput constraints.
- 415 • For each documented reference, the team will then, based on the algorithms remaining
 416 under consideration by the NIST post-quantum standardization process, identify which,
 417 if any, candidate algorithms satisfy the limitations and specifications and flag those
 418 instances for which no candidate algorithm can meet a requirement.
- 419 • The result of the scenario will be a list of protocols. The list will be prioritized based on
 420 how widespread its application is (the approximate number, size, and impact of users).
 421 For each protocol, the following information will be provided:
- 422 ○ protocol identification
- 423 ○ organization responsible for maintaining the protocol
- 424 ○ protocol applications space (by whom it is used, and for what purpose)
- 425 ○ quantum-vulnerable algorithm(s) referenced by the protocol
- 426 • NIST quantum-resistant algorithm candidates potentially suitable to replace the
 427 referenced quantum-vulnerable algorithm(s) will be identified
- 428 • Flag where no NIST quantum-resistant candidate is potentially suitable to replace the
 429 referenced quantum-vulnerable algorithm(s)

430 All scenarios will address enterprise data center environments which include on-premises data
 431 center and hybrid cloud deployment hosted by a third-party data center or a public cloud
 432 provider.

433 **3 HIGH-LEVEL ARCHITECTURE**

434 The high-level architecture consists of a typical enterprise environment that connects the NCCoE
 435 PQC laboratory hosted in Rockville, Maryland to external sites and cloud resources hosted by
 436 the collaborators via the internet. This will enable the collaborators to install discovery tools in
 437 the NCCoE laboratory and operate them remotely via virtual private network. Conversely, it will
 438 enable staff in the NCCoE laboratory to use tools installed in the laboratory to discover
 439 quantum-vulnerable software in remote sites either directly or using cloud services. The NCCoE
 440 environment will be able to host physical, virtualized, and containerized workloads. It will
 441 provide core infrastructure services like routing, naming, etc.; a set of typical application
 442 services like directory, web servers, etc.; and core security services like firewalls. Various typical
 443 endpoints will be available to host client-side operating systems, protocols, and applications.

444 **Component List**

- 445 • General IT components:
 - 446 ○ compute, storage, and network resources necessary to running cryptographic
 - 447 code detection tools
 - 448 ○ cloud services
- 449 • Functional security components:
 - 450 ○ the data security component
 - 451 ○ the endpoint security component
 - 452 ○ the identity and access management component
 - 453 ○ the security analytics component
- 454 • Devices and network infrastructure components:
 - 455 ○ assets including the devices/endpoints
 - 456 ○ core enterprise resources such as applications/services
 - 457 ○ network infrastructure components
- 458 • Approaches and tools for discovering public-key cryptography components in:
 - 459 ○ operating systems
 - 460 ○ application code
 - 461 ○ hardware implementing, controlling, or accelerating crypto functionality
- 462 • Approaches and tools for discovering algorithm migration impacts on:
 - 463 ○ communications and network protocols
 - 464 ○ key management protocols, processes, and procedures
 - 465 ○ network management protocols, processes, and procedures
 - 466 ○ business processes and procedures

467 **Desired Security Characteristics and Properties**

468 All candidate quantum-resistant replacements for quantum-vulnerable public-key algorithms
 469 should have a security strength at least equivalent to that possessed by the quantum-vulnerable
 470 algorithm being replaced, where the security strength of the algorithm being replaced is
 471 measured in the absence of quantum computing.

472 Any suggestion for replacement of a quantum-vulnerable public-key algorithm by a
 473 compensating control(s) should be accompanied by an explanation of how the compensating
 474 control provides relevant confidentiality and integrity protection commensurate with that
 475 currently being provided in the absence of quantum computing.

476 Any projected performance degradation resulting from a suggested replacement of a quantum-
 477 vulnerable public-key algorithm by a NIST candidate quantum-resistant algorithm should be
 478 characterized in the project findings.

479 **4 RELEVANT STANDARDS AND GUIDANCE**

480 Here is a list of existing relevant standards and guidance documents.

- 481 • Federal Information Processing Standard (FIPS) 140-3, *Security Requirements for*
482 *Cryptographic Modules*
483 <https://doi.org/10.6028/NIST.FIPS.140-3>
- 484 • FIPS 199, *Standards for Security Categorization of Federal Information and Information*
485 *Systems*
486 <https://doi.org/10.6028/NIST.FIPS.199>
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517 **APPENDIX B ACRONYMS**

CISA	Cybersecurity and Infrastructure Security Agency
DHS	Department of Homeland Security
FIPS	Federal Information Processing Standard
IEC	International Electrotechnical Commission
IEEE	Institute of Electrical and Electronics Engineers
IETF	Internet Engineering Task Force
IR	(NIST) Interagency or Internal Report
ISO	International Organization for Standardization
NCCoE	National Cybersecurity Center of Excellence
NIST	National Institute of Standards and Technology
NISTIR	NIST Interagency or Internal Report
PQC	Post-Quantum Cryptography
RFC	Request for Comments
SP	Special Publication
TLS	Transport Layer Security