Securing Picture Archiving and Communication System (PACS) Cybersecurity for the Healthcare Sector

Volume A: Executive Summary

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September 2019

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This publication is available free of charge from https://www.nccoe.nist.gov/projects/use-cases/health-it/pacs





1 Executive Summary

2 The National Cybersecurity Center of Excellence (NCCoE) at the National Institute of Standards and 3 Technology (NIST) built a laboratory to emulate a medical imaging environment, performed a risk 4 assessment, and identified controls from the NIST Cybersecurity Framework to secure the medical 5 imaging ecosystem. This project used Picture Archiving Communications Systems (PACS) and a Vendor 6 Neutral Archive (VNA), and implemented controls to safeguard medical images from cybersecurity 7 threats. PACS and VNA, hereafter referred to as "PACS," comprise the systems to centrally manage 8 medical imaging data. This effort resulted in a NIST Special Publication 1800 series Cybersecurity 9 Practice Guide, based on the following considerations relative to PACS:

- PACS allows for the acceptance, transfer, display, storage, and digital processing of medical
 images. PACS centralizes functions surrounding medical imaging workflows and serves as an
 authoritative repository of medical image information. Medical imaging is a critical component
 in rendering patient care. The PACS ecosystem serves as the repository to manage these images
 and accompanying clinical information within the healthcare delivery organization (HDO).
- PACS fits within a highly complex HDO environment that includes back-office systems, electronic health record systems, and pharmacy and laboratory systems, as well as an array of electronic medical devices. In managing these systems, HDOs work with a diverse group of individuals who interact with the enterprise information technology (IT) infrastructure and may include IT operations staff, internal support teams, and biomedical engineers, as well as vendors and manufacturers.
- Securing PACS presents several challenges. Various departments operating in the HDO have
 unique medical imaging needs and may operate their own PACS or other medical imaging
 archiving systems. Further, HDOs may use external medical imaging specialists when reviewing
 patient medical data. The PACS ecosystem, therefore, may include multiple systems for
 managing medical imaging data, along with a diverse clinical user community, accessing PACS
 from different locations. This complexity leads to cybersecurity challenges.
- PACS may have vulnerabilities that, given its central nature, may impact an HDO's ability to
 render patient care or to preserve patient privacy. These vulnerabilities could impede the timely
 diagnosis and treatment of patients, if medical images are altered or misdirected. These
 vulnerabilities could also expose an HDO to risks of significant data loss, malware and
 ransomware attacks, and unauthorized access to other parts of an HDO enterprise network.
- This NIST Cybersecurity Practice Guide features a reference architecture using commercially
 available, standards-based tools and technologies demonstrating how HDOs can securely
 configure and deploy PACS.

35 CHALLENGE

36 PACS, by its nature, is a system that cannot operate in isolation. The overall PACS ecosystem consists of

- diverse technologies that include medical imaging devices, patient registry systems, worklist
- 38 management systems, and systems used to manage and maintain medical image archives. The primary
- role of PACS is interaction with disparate medical imaging devices, interconnectivity with other clinical
- 40 systems, and allowing a geographically and organizationally diverse team of healthcare professionals to
- 41 review medical images to provide quality and timely patient care. Therefore, the threat landscape is

- 42 broad. If not properly secured, vulnerabilities may be introduced into the PACS ecosystem, either
- 43 affecting clinical information stored in the PACS environment or allowing malicious actors to leverage
- 44 components within the ecosystem as pivot points into the integrated healthcare information system.

45 **SOLUTION**

- This practice guide demonstrates how an organization may implement a solution to mitigate identified
 risks. The reference architecture includes technical and process controls to implement:
- a defense-in-depth solution, including network zoning that allows for more granular control of
 network traffic flows and limits communications capabilities to the minimum necessary to
 support business function
- access control mechanisms that include multifactor authentication for care providers,
 certificate-based authentication for imaging devices and clinical systems, and mechanisms that
 limit vendor remote support to medical imaging components
- a holistic risk management approach that includes medical device asset management,
 augmenting enterprise security controls and leveraging behavioral analytic tools for near real time threat and vulnerability management in conjunction with managed security solution
 providers
- In building the reference architecture, the NCCoE sought existing technologies that provided thefollowing capabilities:
- 60 role-based access control
- 61 authentication
- 62 network access control
- 63 endpoint protection
- 64 network and communication protection
- 65 micro segmentation
- 66 behavioral analytics
- 67 tools that use cyber threat intelligence
- 68 anti-malware
- 69 data security
- 70 segregation of duties
- 71 restoration and recoverability
- 72 cloud storage
- 73 While the NCCoE used a suite of commercial products to address security challenges, this guide does not
- rendorse these particular products, nor does it guarantee compliance with any regulatory initiatives.
- 75 Information security experts should identify the products that will best integrate with existing tools and
- 76 IT system infrastructure. Organizations can adopt this solution or one that adheres to these guidelines in
- whole, or this guide can be used as a starting point for tailoring and implementing parts of a solution.

78 **BENEFITS**

- 79 The NCCoE's practice guide to Securing PACS can help an organization:
- improve resilience in the network infrastructure, including limiting a threat actor's ability to
 leverage components as pivot points to attack other parts of the HDO's environment
- 82 Ilimit unauthorized movement within the HDO environment by authorized system users to
 83 address the "insider threat" as well as unauthorized actors once they gain network access
- analyze behavior and detect malware throughout the ecosystem to enable HDOs to determine
 when components evidence compromise and to enable those organizations to limit the effects
 of a potential advanced persistent threat such as ransomware
- secure sensitive data (e.g., personally identifiable information or protected health information)
 at rest and in transit, limiting adversarial ability to exfiltrate or expose that data
- consider and address risks that may be identified as HDOs examine cloud solutions as part of
 managing their medical imaging infrastructure

91 SHARE YOUR FEEDBACK

- 92 You can view or download the guide at <u>https://www.nccoe.nist.gov/projects/use-cases/health-it/pacs</u>.
- Help the NCCoE make this guide better by sharing your thoughts with us as you read the guide. If you
- adopt this solution for your own organization, please share your experience and advice with us. We
- 95 recognize that technical solutions alone will not fully enable the benefits of our solution, so we
- 96 encourage organizations to share lessons learned and best practices for transforming the processes
- 97 associated with implementing this guide.
- 98 To provide comments or to learn more by arranging a demonstration of this example implementation,
- 99 contact the NCCoE at <u>hit_nccoe@nist.gov</u>.

100 TECHNOLOGY PARTNERS/COLLABORATORS

- 101 Organizations participating in this project submitted their capabilities in response to an open call in the
- 102 Federal Register for all sources of relevant security capabilities from academia and industry (vendors
- 103 and integrators). The following respondents with relevant capabilities or product components (identified
- as "Technology Partners/Collaborators" herein) signed a Cooperative Research and Development
- 105 Agreement (CRADA) to collaborate with NIST in a consortium to build this example solution.



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- 107 Certain commercial entities, equipment, products, or materials may be identified by name or company
- 108 logo or other insignia in order to acknowledge their participation in this collaboration or to describe an
- 109 experimental procedure or concept adequately. Such identification is not intended to imply special
- status or relationship with NIST or recommendation or endorsement by NIST or NCCoE; neither is it
- intended to imply that the entities, equipment, products, or materials are necessarily the best available
- 112 for the purpose.

The National Cybersecurity Center of Excellence (NCCoE), a part of the National Institute of Standards and Technology (NIST), is a collaborative hub where industry organizations, government agencies, and academic institutions work together to address businesses' most pressing cybersecurity challenges. Through this collaboration, the NCCoE develops modular, easily adaptable example cybersecurity solutions demonstrating how to apply standards and best practices using commercially available technology.

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