

Addressing Visibility Challenges with TLS 1.3 within the Enterprise

Volume B:
Approach, Architecture, and Security Characteristics

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30 NCCoE applies standards and recommended practices to develop modular, adaptable example
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32 solutions in the NIST Special Publication 1800 series, which maps capabilities to the NIST Cybersecurity
33 Framework and details the steps needed for another entity to re-create the example solution. The
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42 security community how to implement example solutions that help them align with relevant standards
43 and best practices, and provide users with the materials lists, configuration files, and other information
44 they need to implement a similar approach.

45 The documents in this series describe example implementations of cybersecurity practices that
46 businesses and other organizations may voluntarily adopt. These documents do not describe regulations
47 or mandatory practices, nor do they carry statutory authority.

48 **ABSTRACT**

49 The Transport Layer Security (TLS) protocol is widely deployed to secure network traffic. The latest
50 version, TLS 1.3, has been strengthened so that even if a TLS-enabled server is compromised, the
51 contents of its previous TLS communications are still protected—better known as forward secrecy. The
52 approach used to achieve forward secrecy interferes with passive decryption techniques that are widely
53 used by enterprises to achieve visibility into their own TLS 1.2 traffic. Many enterprises depend on that
54 visibility to permit their authorized network security staff to implement controls needed to conform to
55 cybersecurity, operational, and regulatory requirements. This forces enterprises to choose between
56 using the old TLS 1.2 protocol or adopting TLS 1.3 with some alternative method for internal traffic
57 visibility. The NCCoE has, in collaboration with technology providers and enterprise customers, initiated
58 a project demonstrating options for maintaining visibility within the TLS 1.3 protocol within an
59 enterprise to overcome these impediments. The project demonstrates several standards-compliant
60 architectural options for use within enterprises to provide both real-time and post-facto systems

61 monitoring and analytics capabilities. This publication describes the approach, architecture, and security
 62 characteristics for the demonstrated proofs of concept.

63 **KEYWORDS**

64 bounded lifetime; break and inspect; ephemeral; key management; middlebox; passive inspection;
 65 protocol; Transport Layer Security (TLS); visibility.

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Project Collaborators	
AppViewX	NETSCOUT Corporation
DigiCert	Not for Radio LLC

Project Collaborators	
F5	Nubeva Inc.
JPMorgan Chase & Company	Thales Trusted Cyber Technologies
Mira Security, Inc.	U.S. Bank Corporation

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201 1 Summary

202 Enterprises have typically depended upon visibility into data in transit within their networks, both
203 traditional office networks and enterprise data centers, to implement critical cybersecurity, operational,
204 and regulatory controls (e.g., intrusion detection and response, malware detection, troubleshooting,
205 fraud monitoring). Deploying some network security protocols within enterprise data centers to protect
206 integrity and confidentiality has posed challenges to network visibility required by these controls. To
207 maintain visibility, enterprise architectures facilitate comprehensive inspection, collection, and analysis
208 of internal network traffic through a small number of passive or active monitoring devices. To facilitate
209 decryption of network traffic, passive decryption devices are provided copies of the servers' long-term
210 cryptographic keys. In these cases, these long-term cryptographic keys allow decryption of past, present,
211 and future network traffic for the lifetime of a key.

212 To improve the security of communications on the public Internet, modern protocol designers have
213 made changes to protocols to implement stronger security properties that protect the secrecy of
214 historical traffic even if the servers' long-term secret keys are compromised, a property referred to as
215 *forward secrecy*. This property, however, has correspondingly created significant challenges for the
216 network visibility strategies used by enterprises.

217 The National Cybersecurity Center of Excellence (NCCoE) has, in collaboration with technology providers
218 and enterprise customers, initiated a project demonstrating options for maintaining visibility within an
219 enterprise, given these challenges. The example solutions demonstrated are designed to be suitable for
220 voluntary adoption across a wide range of user environments and meet the following criteria:

- 221 ▪ Scalable;
- 222 ▪ Relatively easy to implement/deploy;
- 223 ▪ Application protocol-agnostic;
- 224 ▪ Usable in real-time and post-packet capture;
- 225 ▪ Effective for both security and troubleshooting purposes; and
- 226 ▪ Widely available and supported in mainstream commercial products and services.

227 Enterprises using the Transport Layer Security (TLS) 1.2 [1] protocol without forward secrecy, which was
228 how TLS 1.2 was originally specified, are currently using tools and architectural solutions that provide
229 visibility into enterprise traffic within their network. However, TLS 1.2 visibility solutions provide more
230 privilege than is needed to just view the traffic. Enterprise policies regarding visibility into received
231 network traffic still need to remain capable of being enforced if the organizations' security monitoring,
232 analysis, and management policies are to be enforced. This is because many monitoring and analysis
233 tools that are used to conform to their security policies are dependent on visibility solutions that enable
234 an enterprise-authorized party to decrypt the network traffic past, present, and future. The solutions
235 demonstrated by this project facilitate implementation of TLS improvements by enterprises that have to
236 date been discouraged by visibility limitations from doing so.

237 1.1 Challenge

238 Enterprise cybersecurity is dependent on identification, protection, detection, response, and recovery
239 policies, mechanisms, and processes. Cryptography is an important mechanism for protecting enterprise
240 information and processes. Network and system monitoring and analysis of both encrypted traffic and
241 the underlying plaintext is often necessary for detecting cyber-attacks and anomalous behavior,
242 understanding their nature, responding effectively, and recovering from the incidents. TLS is a
243 cryptographic protocol that is widely deployed to secure internal enterprise traffic within traditional
244 office networks and enterprise data centers, as well as connections across the public Internet.

245 The latest version, *The Transport Layer Security (TLS) Protocol Version 1.3* (Internet Engineering Task
246 Force [IETF] Request for Comments [RFC] 8446 [2]), has been strengthened to provide *forward secrecy*.
247 In the legacy TLS 1.2 implementations, forward secrecy is optional, but in TLS 1.3 it is provided by
248 default. As stated above, many enterprises have troubleshooting, audit, and other policies that require
249 visibility into unencrypted traffic and stored data. The TLS 1.3 approach to achieving forward secrecy
250 conflicts with the passive decryption techniques that are widely used by enterprises to achieve this
251 visibility into their own internal enterprise TLS-protected traffic. This results in enterprises choosing
252 between using the TLS 1.2 protocol without forward secrecy or adopting TLS 1.3 together with some
253 alternative method for achieving visibility into internal traffic. If an enterprise chooses the old TLS 1.2
254 protocol, they miss out on the performance enhancements in TLS 1.3 and face additional risks in relying
255 on protocol implementations that will become increasingly out-of-date over time.

256 Ways that loss of visibility into received network traffic may affect organizations include loss or
257 degradation of functions such as network performance monitoring, application performance
258 monitoring, and security logging and diagnostics, as well as negative impacts on network and security
259 operations/engineering roles. The inability to decrypt network data for purposes such as deep packet
260 inspection (DPI), security, monitoring, and diagnostics leaves the security of enterprise networks
261 dependent on endpoint devices for performance and security information and management. This loss of
262 visibility introduces security and operational risks for network and data center operations.
263 Consequences of this loss of visibility include the following:

- 264 ▪ The incoming network data stream often provides information or perspectives that individual
265 endpoint devices like workstations, servers, and other devices that can support a security client
266 are not capable of providing.
- 267 ▪ Network data frequently possesses a holistic view of sessions that no single platform in the
268 chain can provide. This holistic view permits a comprehensive understanding of the
269 consequences of anomalous traffic or traffic patterns. For example, network data is needed to
270 determine where issues are occurring relative to middleboxes involved in sessions, such as
271 firewalls, routers, proxies, and load balancers. Correlating and comparing these subsidiary
272 sessions is essential when performing fault domain isolation and general diagnostic triage.
- 273 ▪ Network data is even more critical when the endpoints are having problems or are in any way
274 compromised. A degraded or compromised endpoint device may fail to report incidents that
275 would be recognized from the incoming network data stream.
- 276 ▪ Network data is essential for issues that involve multiple platforms and even more so for issues
277 that involve multiple organizations within the enterprise.

- 278 ▪ Network data is required when sessions span devices that do not log information well or at all.
279 Even with devices that do log well, it is frequently necessary to augment that content with
280 related network data.
- 281 ▪ For those situations where endpoint data is adequate, it still needs to be collected,
282 consolidated, centralized, and correlated. Network data is often needed to assure this is
283 occurring properly. Even if/when such an endpoint infrastructure is effectively built, network
284 data is critical to its ongoing operation.
- 285 ▪ For situations where logging needs to be turned off, or even reduced (which is often the case),
286 network data may be the only alternative. Network data is preferred for situations where the
287 endpoint (or middlebox) platform is incapable of adequate logging without causing utilization or
288 performance issues on the platform.
- 289 ▪ For sessions which span domains of control, network data is the only common point at which
290 multiple operators can establish common ground for monitoring, security, and diagnostics. This
291 becomes even more critical in larger, more complex situations, such as outsourcing,
292 partnerships, and cloud computing.
- 293 ▪ From a security standpoint, there are many threats that are more easily or only identifiable from
294 network data. Where and how the network data is collected will provide key information. For
295 example, network data could be collected at the edge of the network, outside a demilitarized
296 zone (DMZ), or within the internal network. It is also important to know whether the
297 information is collected inline or passively. All of these provide differing forms of valuable
298 information.
- 299 ▪ It is often necessary to utilize network data to assure that endpoint security agents are
300 operating properly.
- 301 ▪ If an endpoint is in any way compromised or its security agent is not running properly, network
302 data is both the only line of defense and the most critical tool for performing related triage and
303 forensics, which are useful or necessary for quickly resolving related issues.
- 304 ▪ If network data cannot be decrypted, a security breach, malware, or other compromise can
305 spread throughout the entire organization once any single platform has been accessed.
306 Malicious sessions and traffic can go undetected and hence be unconstrained since the sessions
307 between platforms within the organization cannot be decrypted. Often called *pivoting*, this
308 process represents a serious threat to network or data center operators.
- 309 ▪ Nearly all attacks occur over the network, and attackers leave traces or tracks on the network.
310 Questionable traffic can often be better understood based on where it is coming from (e.g.,
311 Marketing Client subnet improperly accessing a Human Resources database subnet). To
312 obfuscate this, by removing the ability to decrypt, eliminates the ability to be aware of it and
313 control it. Malicious actions would then be untraceable.
- 314 ▪ DPI is one of many inspection tools. However, many tools often depend upon DPI to rectify
315 issues with their own operation and/or to determine that such issues even exist. Many tools can
316 even become part of the problem, and without network data DPI, such network-based attacks
317 can go unnoticed indefinitely.
- 318 ▪ Forensics performed after a breach or other security exposure event depends heavily on DPI and
319 network data to figure out what happened, why, and what can be done about it. Root cause

320 analysis has become critical to most large organizations. The lack of DPI will make this much
321 more difficult to accomplish in many situations.

322 Alternatives to DPI require much time and effort and are, for many organizations, prohibitively
323 disruptive and expensive. Alternatives to monitoring and analysis of decrypted incoming network data
324 streams that have been mentioned in the past include:

- 325 ▪ **Re-architecting the enterprise network.** This is difficult, expensive, and time-consuming, and
326 even where feasible is not a short-term solution.
- 327 ▪ **Depending on endpoints for management and logging.** Even if available endpoint solutions
328 selected are stable, capable, and effective and are consistent and reliable recorders of all events
329 related to incidents (enhanced logging), this would require building a separate infrastructure for
330 producing, collecting, storing, and parsing terabytes (or more) of data. None of this is a simple
331 proposition, and such an infrastructure would require both DPI for certain data and significantly
332 enhanced infrastructure management. Furthermore, if the true root cause is occurring at a
333 middlebox device, endpoints will not see essential information at all.
- 334 ▪ **Use of intermediate proxies between application tiers.** This approach would add cost, latency,
335 and potential points of failure. It becomes less viable, and more expensive, the more tiers that a
336 given application has. The cost and complexity increases could be enormous in many cases.
337 There may also be situations where intermediate proxies are not possible (such as secure
338 subnets and virtual environments).

339 A significant constraint in meeting the visibility challenges attendant on TLS 1.3 is the need to provide
340 workable approaches that do not change the current TLS 1.3 standard or require development or
341 adoption of additional or alternative standards. The project objective is to provide TLS 1.3
342 implementation approaches that permit visibility. Several technical and management challenges are
343 being addressed in this TLS 1.3 visibility project. Some of the following challenges are shared by visibility
344 in TLS 1.2 environments, while others are unique to TLS 1.3.

- 345 ▪ **Secure management of servers' cryptographic keys.** Private and secret keys must be protected
346 throughout the cryptographic lifecycle: creation, distribution, use, retention, and destruction.
347 Unauthorized disclosure places all past, present, and future traffic encrypted under those keys
348 at risk.
- 349 ▪ **Management of recorded traffic.** This demonstration project assumes that recorded traffic is
350 stored in encrypted form, not plaintext. To be useful, the enterprise must be able to identify the
351 corresponding key material. However, recorded traffic remains at risk of compromise until the
352 corresponding key material or the recorded traffic itself is destroyed. Any solution must allow
353 the enterprise to recover plaintext traffic when required but ensure that traffic is not at risk of
354 compromise indefinitely.
- 355 ▪ **Managing expectations of privacy.** IT users often have preconceived notions about the privacy
356 of TLS connections, and the security enhancements associated with TLS 1.3 may increase those
357 expectations. Enterprises that rely on visibility for critical controls should ensure that TLS 1.3
358 connections within that scope are accepted only by informed users.

359 In addition to the TLS-specific challenges, the NCCoE is also considering the practical challenges of
360 scalability, ease of deployment, and usability of the visibility solutions themselves.

361 1.2 Solution

362 The NCCoE assembled a highly qualified team that included public- and private-sector cryptographers,
363 secure network technology providers, and private-sector user organizations that are facing TLS 1.3
364 visibility challenges. To meet the challenges in a manner that does not change or replace the IETF RFC
365 8446 standard, provides secure management of servers' cryptographic keys, securely manages recorded
366 traffic, and manages expectations of privacy, the project team identified a broad set of options. These
367 options included:

- 368 ▪ key-management mechanisms that defer forward secrecy until all copies of keying material
369 needed to maintain current levels of network visibility are deleted (such as copies retained to
370 support passive inspection)
- 371 ▪ network architectures that inherently provide visibility, such as using overlays or incorporating
372 middleboxes (see RFC 3234: Middleboxes: Taxonomy and Issues [3])

373 The TLS 1.3 Visibility project described in this volume focuses primarily on the following passive
374 inspection and middlebox solutions for avoiding consequences of loss of TLS 1.3 visibility characteristics
375 while migrating to TLS 1.3 and avoiding vulnerabilities to which TLS 1.2 is susceptible:

- 376 ▪ To achieve visibility through key management (via passive inspection), we demonstrate two
377 technical mechanisms that can be implemented for each server whose traffic is of interest to the
378 enterprise.
 - 379 • In the first case, the enterprise provisions bounded-lifetime Diffie-Hellman key pairs for TLS
380 1.3 servers for use in ephemeral key exchanges. This approach includes a purely static
381 deployment while also including deployments that use key pairs for a short period of time.
 - 382 • In the second case, the enterprise collects and retains the symmetric session keys used to
383 encrypt the connections instead of provisioning Diffie-Hellman key pairs.
- 384 ▪ Some aspect of analytics functions needing enterprise visibility into its encrypted traffic may
385 require combining network architecture and key-management techniques to achieve
386 operational visibility. Therefore, the scope of the project includes demonstration of an
387 architecture that achieves visibility inside the data center through tools that break and inspect
388 traffic. These middleboxes are commonly used at the enterprise edge to achieve real-time
389 visibility; in this demonstration project, we expand the scope to examine deployment within the
390 enterprise and address access to historical data by leveraging key management-based solutions.

391 In the passive inspection solutions, the managed Diffie-Hellman keys and symmetric traffic keys are
392 retained by a key distribution function until all corresponding encrypted traffic has been decrypted or is
393 destroyed or otherwise no longer available. Systems that are authorized to examine traffic obtain the
394 appropriate keys from the key distribution function. The solution also incorporates components to
395 retain traffic for retrospective applications, like troubleshooting and cybersecurity forensics. The stored
396 traffic is retained in encrypted form until policy conditions (e.g., retention time limits) are met. The data
397 is then deleted by the storage function. The resulting solutions protect keys and data against misuse or
398 compromise and do not leave recorded traffic at risk of compromise indefinitely. The solutions include
399 mechanisms and procedures used to transmit, store, provide access to, and use cryptographic keys and
400 that perform comprehensive deletion of decryption keys when established temporal or data protection
401 limits are met.

402 Since TLS 1.3 is designed to achieve allow client/server communication in a way that prevents
403 eavesdropping, the solutions also assume out-of-band notification of the visibility policy.

404 **1.3 Benefits**

405 Enterprises can accrue the following benefits from implementing one of the visibility solutions described
406 in this volume:

- 407 ▪ Retaining visibility into network traffic without re-architecting the enterprise network,
408 depending on endpoints for management and logging, or using intermediate proxies among all
409 tiers.
- 410 ▪ Achieving tunable, time-bounded forward secrecy.
- 411 ▪ Avoiding the potential for security vulnerabilities to which TLS 1.2 is susceptible.
- 412 ▪ Moving from implementations using the RSA key transport algorithm that has been deprecated
413 due to cryptographic security concerns.
- 414 ▪ Conforming to TLS 1.3 implementation mandates, where applicable.

415 2 How to Use This Guide

416 This practice guide is being developed in five parts. Depending on your role in your organization, you
417 might use this guide in different ways:

418 **Business decision makers**, such as chief information security, product security, and technology officers,
419 can use NIST Special Publication (SP) 1800-37A: *Executive Summary*, to understand the project's
420 challenges and outcomes, as well as our solution approach.

421 **Technology, security, and privacy program managers** who are concerned with how to identify,
422 understand, assess, and mitigate risk can use this part of the guide, NIST SP 1800-37B: *Approach,*
423 *Architecture, and Security Characteristics*. It describes the architecture and different implementations.
424 Also, the future NIST SP 1800-37E: *Risk and Compliance Management*, will map components of the TLS
425 1.3 visibility architecture to security characteristics in broadly applicable, well-known cybersecurity
426 guidelines and practices.

427 **IT professionals** who want to implement an approach like this can make use of the NIST SP 1800-37C:
428 *How To Guide* currently under development. It will provide product installation, configuration, and
429 integration instructions for building example implementations, allowing them to be replicated in whole
430 or in part. They will also be able to use a future NIST SP 1800-37D: *Functional Demonstrations*, which will
431 provide the use cases that have been defined to showcase TLS 1.3 visibility capabilities and the results of
432 demonstrating these capabilities with each of the example implementations.

433 This guide assumes that IT professionals have experience implementing security products within the
434 enterprise. While we have used a suite of commercial products to address this challenge, this guide does
435 not endorse these particular products. Your organization can adopt this solution or one that adheres to
436 these guidelines in whole, or you can use this guide as a starting point for tailoring and implementing
437 parts of the TLS 1.3 visibility solutions described herein. Your organization's security experts should
438 identify the products that will best integrate with your existing tools and IT system infrastructure. We
439 hope that you will seek products that are congruent with applicable standards and best practices.
440 Section 4, Technologies, lists the products we used and maps them to the cybersecurity controls
441 provided by this reference solution.

442 A NIST Cybersecurity Practice Guide does not describe "the" solution, but a possible solution. This is a
443 preliminary draft guide. NIST is adopting an agile process to publish this content. Each volume is being
444 made available as soon as possible rather than delaying release until all volumes are completed. Work
445 continues on designing and implementing the example solution and developing other parts of the
446 content. As a preliminary draft, this volume will have at least one additional draft released for public
447 comment before it is finalized. We seek feedback on its contents and welcome your input. Comments,
448 suggestions, and success stories will improve subsequent versions of this guide. Please contribute your
449 thoughts to applied-crypto-visibility@nist.gov.

450 **3 Approach**

451 The approach taken to determine whether and how to address TLS 1.3 visibility issues was developed in
452 an open and collaborative manner. The NCCoE hosted an industry roundtable in 2018 to assess the
453 scope of the visibility challenges faced by enterprises. NCCoE staff subsequently participated in the
454 Center for Cybersecurity Policy’s 2019 workshop [4] on enterprise visibility that identified a set of
455 [baseline criteria](#) for acceptability of solutions for visibility challenges and has adopted them without
456 change as the baseline criteria for a generally effective solution. The NCCoE hosted a virtual workshop
457 focused on TLS 1.3 in September 2020 [5]. The interactions identified a broad set of options for
458 maintaining visibility, including:

- 459 ▪ Endpoint mechanisms that establish visibility, such as enhanced logging;
- 460 ▪ Network architectures that inherently provide visibility, such as using overlays or incorporating
461 middleboxes;
- 462 ▪ Key management mechanisms that forgo forward secrecy to maintain current levels of network
463 visibility;
- 464 ▪ Innovative tools that analyze network traffic without decryption; and
- 465 ▪ Deployment of alternative standards-based network security protocols where forward secrecy is
466 optional or not supported.

467 Following the September 2020 workshop [5], in May 2021 the NCCoE published a project description for
468 a TLS 1.3 visibility project that included use case scenarios for implementation of potential solutions
469 discussed in the workshops. Collaborators participating in this project submitted their capabilities in
470 response to an open call in the Federal Register for all sources of relevant security capabilities from
471 academia and industry (vendors and integrators). Those respondents with relevant capabilities or
472 product components signed a Cooperative Research and Development Agreement (CRADA) to
473 collaborate with NIST in a consortium to build this example solution. The resulting project team then
474 began to develop the architectures and demonstration scenarios that are described in this volume.

475 **3.1 Audience**

476 The audience for this volume is the community of technology, security, and privacy program managers
477 who are concerned with how to identify, understand, assess, and mitigate risk. It describes what we
478 built and why, including the risk analysis performed and the security/privacy control mappings.

479 **3.2 Scope**

480 The scope of the project is to demonstrate various approaches and practices that meet common
481 compliance, operations, and security requirements while gaining the security and performance benefits
482 of TLS 1.3 deployment. The project focuses on enterprise data center environments which include on-
483 premises data center and hybrid cloud deployments hosted by a third-party data center or a public
484 cloud provider. The project demonstrates real-world visibility approaches utilizing current or emerging
485 components. Solutions may utilize proprietary vendor products as well as commercially viable open-
486 source solutions. The project focuses on the security implications of TLS 1.3 protocol implementations
487 that provide system and application administrators and users the necessary visibility into the content of

488 information being exchanged. This includes approaches that restore visibility into encrypted data in
489 transit, such as alternative key establishment and management. The project leverages current and
490 ongoing NIST and industry standards, as well as NCCoE application projects.

491 Information transmitted over the public Internet (e.g., connections between an enterprise and its
492 customers) is out of scope and must not be impacted by proposed solutions. Also out of scope are
493 emerging deployment models leveraging encrypted transport to protect protocols that were previously
494 in the clear, such as DoT (Domain Name System [DNS] over TLS) [6], DoH (DNS over Hypertext Transfer
495 Protocol Secure [HTTPS]) [7], and DoQ (DNS over QUIC) [8]. DoT, DoH, and DoQ may be the subject of
496 future NCCoE work.

497 **3.3 Assumptions**

498 This project is guided by the following assumptions:

- 499 ▪ Recent enhancements to cryptographic security protocols, such as TLS 1.3, disrupt current
500 approaches to achieving visibility into internal network communications within enterprise data
501 centers. While these protocol enhancements increase performance and address security
502 concerns within the enterprise and on the public Internet, they also reduce enterprise visibility
503 into internal traffic flows. These enhanced security protocols and new deployment models were
504 not designed to accommodate decryption of internal network traffic by passive monitoring
505 devices; this has created potential compliance, security, and operational impacts in enterprises
506 that currently rely on such devices.
- 507 ▪ Enterprises have raised questions about how to meet enterprise security, operational, and
508 regulatory requirements for critical services while using the enhanced security protocols and
509 leveraging new deployment models. Such enterprises may need to consider applying new
510 architectures and novel techniques to augment or replace conventional monitoring devices
511 while satisfying their business, regulatory, security, and network operations requirements.
- 512 ▪ Many enterprises choose to rely on the same standard transport security protocols to exchange
513 information over the public Internet and within internal enterprise network environments. For
514 these enterprises, the ability to naturally migrate to the most current versions offers continuity
515 and simplifies network evolution. As a result, this project assumes that enterprises cannot rely
516 on older protocol versions as a long-term solution.
- 517 ▪ The majority of the components of the project’s demonstration environment that are part of the
518 on-premises data center are located in a laboratory at the NCCoE facility in Rockville, Maryland.
519 This is to ease the integration of the components and provide an open and transparent
520 environment for the participants to collaborate on building and testing the proposed
521 approaches.

522 **3.4 Risk Assessment**

523 NIST SP 800-30 Revision 1, *Guide for Conducting Risk Assessments*, [9] states that risk is “a measure of
524 the extent to which an entity is threatened by a potential circumstance or event, and typically a function
525 of: (i) the adverse impacts that would arise if the circumstance or event occurs; and (ii) the likelihood of
526 occurrence.” The guide further defines risk assessment as “the process of identifying, estimating, and
527 prioritizing risks to organizational operations (including mission, functions, image, reputation),
528 organizational assets, individuals, other organizations, and the Nation, resulting from the operation of

529 an information system. Part of risk management incorporates threat and vulnerability analyses, and
530 considers mitigations provided by security controls planned or in place.”

531 The NCCoE recommends that any discussion of risk management, particularly at the enterprise level,
532 begins with a comprehensive review of [NIST SP 800-37 Revision 2, Risk Management Framework for](#)
533 [Information Systems and Organizations](#)—material that is available to the public. The [Risk Management](#)
534 [Framework \(RMF\)](#) guidance, as a whole, proved to be invaluable in giving us a baseline to assess risks,
535 from which we developed the project, the security characteristics of the build, and this guide.

536 3.4.1 Threats

537 General threats to data exchanged over networks include eavesdropping, tampering, and forgery. TLS
538 uses cryptographic mechanisms to protect data from being stolen, modified, or spoofed. This section
539 describes generic threats to the security of information protected by TLS mechanisms.

- 540 ▪ **Stolen keys.** Threat actors who gain unauthorized access to symmetric keys used with
541 cryptographic algorithms that provide data confidentiality or to private keys used with public
542 key cryptographic algorithms can defeat authentication mechanisms or access additional keys. If
543 symmetric or private keys are stored in unencrypted form, they are particularly vulnerable to
544 being used to compromise the protection afforded by TLS.
 - 545 • Inadequately protected keys are subject to unauthorized access by direct theft, hacking, or
546 other means. The more information that is protected by a given key, the more serious the
547 consequence of unauthorized access usually is.
 - 548 • TLS 1.3 symmetric keys are used with Authenticated Encryption with Associated Data
549 (AEAD) algorithms, so they provide confidentiality and integrity for the keys.
- 550 ▪ **Certificate compromise.** Public keys used during authentication are often exchanged in
551 certificates issued by a certificate authority (CA). There is a real threat that the issuing CA can be
552 compromised or that the registration system, persons, or process can be compromised to obtain
553 an unauthorized certificate in the name of a legitimate entity and thus compromise the clients.
- 554 ▪ **Handshake data replay.** Parties to cryptographically protected communications exchange keys
555 using protocols often called handshakes. There are often multiple steps in a handshake, but TLS
556 1.3 allows the client to send data (known as 0-RTT data) in the first flight of a handshake with a
557 server to which the client has previously connected. Replayable 0-RTT data presents several
558 security threats to TLS-using applications, unless those applications are specifically engineered
559 to be safe under replay (minimally, this means idempotent, but in many cases may also require
560 other stronger conditions, such as constant-time response). Many applications do not allow 0-
561 RTT to avoid the replay concern (e.g., draft-ietf-netconf-over-tls13). Potential attacks include:
 - 562 • Duplicating actions which cause side effects (e.g., purchasing an item or transferring
563 money) to be duplicated, thus harming the site or the user.
 - 564 • Storing and replaying 0-RTT messages to reorder them with respect to other messages
565 (e.g., moving a delete to after a create).
 - 566 • Exploiting cache timing behavior to discover the content of 0-RTT messages by replaying a
567 message to a different cache node and then using a separate connection to measure
568 request latency, to see if the two requests address the same resource.

- 569 • If data can be replayed a large number of times, additional attacks become possible, such
570 as making repeated measurements of the speed of cryptographic operations. In addition,
571 they may be able to overload rate-limiting systems.
- 572 ▪ **Misuse of client credentials.** Another threat that must be protected against is misuse or
573 exposure of the credentials that reside on the client machine.
- 574 ▪ **Absence of support for endpoint solutions.** Not all server-type systems in an enterprise will be
575 supported by endpoint vendors. Enterprises commonly have old systems running custom
576 operating system (OS) software for which there is limited or no support in endpoint solutions.
- 577 ▪ **Compromise of systems running endpoint software.** When deployed, endpoint solutions may
578 provide good security but only up to the point that the system running the endpoint or the
579 endpoint software itself is compromised. Endpoint compromise may not be detectable by the
580 endpoint solution, as it relies on trusting what the endpoint software tells it, leading to false
581 trust in the endpoint. Alternate solutions that analyze network traffic can detect compromised
582 or rogue endpoints and provide resilience if used alongside endpoint solutions.

583 3.4.2 Vulnerabilities

584 Several vulnerabilities have been found in both the TLS 1.2 protocol and in the implementation of
585 features permitted by TLS 1.2. While TLS 1.2 can be made secure using extensions and careful
586 configuration, TLS 1.3 has been designed to avoid these vulnerabilities. Note also that vendors are now
587 focusing on TLS 1.3, so getting new algorithms or extensions for TLS 1.2 to be implemented by vendors
588 will be increasingly difficult.

- 589 ▪ Unlike TLS 1.3, TLS 1.2 offers some cipher suites, such as those that use RSA key exchange, that
590 do not provide forward secrecy. Where forward secrecy is not provided, if a TLS-enabled server
591 is compromised, the contents of its previous TLS communications are vulnerable to exposure.
592 The ephemeral key exchange mechanisms that provide forward secrecy also protect future TLS
593 communication against passive attackers.
- 594 ▪ The passive decryption techniques that are widely used by enterprises to achieve visibility into
595 their own internal TLS 1.2 enterprise traffic only work with the cipher suites that use RSA key
596 exchange. In addition to not providing forward secrecy, the RSA key exchange used in TLS 1.2
597 has been vulnerable to a number of implementation flaws. As a result, its use has been
598 deprecated. (See SP 800-131A Rev. 2 [10], SP 800-52 Rev. 2 [11], IETF draft *Deprecating Obsolete*
599 *Key Exchange Methods in TLS 1.2* [12], and *TLS 1.2 Is Frozen* [13].)
- 600 ▪ Reuse of keys outside the protected data center would create vulnerabilities regarding
601 comparison of key shares in different handshakes. This would permit an attacker to track an
602 endpoint or reveal the identity of the TLS server that a user connected to. On the public
603 Internet, this would represent a violation of user privacy. The current TLS 1.3 specification
604 contains a new normative requirement stating that to prevent tracking and identification,
605 “Clients SHOULD NOT reuse a ticket for multiple connections.” Further, as of this writing, the
606 draft of the revised TLS 1.3 specification contains an additional normative requirement for the
607 same purpose, “Clients and Servers SHOULD NOT reuse a key share for multiple connections.
608 Reuse of a key share allows passive observers to correlate different connections.” This
609 discourages client and server reuse of a key share for multiple Internet connections. Reusing key
610 shares outside protected facilities can also expand the impact of security breaches.

- 611 ▪ Except in cases of exclusively symmetric key management environments, the sharing of
612 symmetric keys also needs to be restricted to data center environments. A node with access to
613 the symmetric traffic keys can not only view all traffic, but also impersonate the endpoints by
614 modifying and injecting traffic.
- 615 ▪ The TLS 1.2 visibility mechanisms that are based on RSA private key sharing allow middleboxes
616 to masquerade as the server. The TLS 1.3 mechanisms do not allow this because the signature
617 private key does not need to be shared to gain visibility.

618 3.4.3 Risk

619 While TLS 1.3 significantly reduces risks associated with TLS 1.2, its approach to achieving forward
620 secrecy necessarily introduces institutional risk to systems and enterprises having operational security
621 requirements for visibility into traffic exchanges by their IT security teams. From a purely cryptographic
622 point of view, enterprises implementing TLS 1.3 might effectively mandate reliance on endpoint
623 solutions to achieve their operational security requirements. However, this can be infeasible and ill-
624 advised for many enterprises. If an enterprise were to rely entirely upon endpoint security, without any
625 visibility by middleboxes, then the items listed below would be needed, which might have significant
626 time or cost impact on the migration to TLS 1.3.

627 A frequent first step for a sophisticated attacker on a target is to modify, disable, or evade endpoint
628 security tools. This is an enduring reality faced by all blue team security practitioners (e.g., security
629 operations or incident response teams) for decades. Researchers continue to publish results of
630 successful evasion and neutering techniques for all endpoint controls, including the most sophisticated
631 tools available on the market. Malware that has detonated on a computer only has the OS between it
632 and the endpoint security tool. To combat this risk, organizations should budget for and perform the
633 following steps:

- 634 ▪ Follow OS hardening requirements to limit the ability of software-accessible accounts to control
635 endpoint security tools.
- 636 ▪ Implement strategies that can help identify missing, fraudulent, or anomalous status or log data
637 from the endpoint tool(s).
- 638 ▪ Upgrade, modernize, or replace all legacy applications that leverage insecure libraries,
639 protocols, applications, and subsystems throughout the endpoint state.
- 640 ▪ Ensure that remote access to all endpoint agent management tools adheres to the most
641 stringent authentication/authorization strategies.
- 642 ▪ Implement strategies to persistently refresh endpoint agent policy or accurately detect policy
643 drift.
- 644 ▪ Implement a credible application allowlist solution to prevent execution/reading of applications
645 and libraries.
- 646 ▪ Endpoint control techniques such as enhanced logging can be effective detective controls where
647 the following conditions are met:
- 648 • Adequate funding exists for a robust security information and event management (SIEM)
649 infrastructure, and

- 650 • Adequate personnel are available to manage a SIEM or similar tool to create and
651 administer correlation rules to produce timely, accurate alerts related to anomalous
652 activity.
- 653 ▪ Implement appropriate configuration of all cogent log generating agents on the endpoint (e.g.,
654 instant log transfer to SIEM vs. periodic transfer of batched logs which can be deleted by an
655 attacker to hide their activity).

656 See NIST SP 800-92 Revision 1, [Cybersecurity Log Management Planning Guide](#), for more information on
657 logging and log protection.

658 Different types of malware execute with different intentions. Destructive malware often doesn't require
659 the instantiation of command-and-control (C2) communication with attacker infrastructure, but the
660 goals of the most sophisticated adversaries (e.g., financial gain, data exfiltration) are best achieved by
661 maintaining persistence via a C2 channel over a network to the attacker. Therefore, security controls
662 surrounding outbound network communications from organizational endpoints should be maximally
663 restrictive.

664 The above concepts are beneficial to any organization, of course, but are often considered by IT and
665 security practitioners outside of highly targeted enterprises to be a luxury. But when network visibility is
666 lost, network controls are also lost, and these luxuries quickly become imperatives.

667 As stated previously, re-architecting networks is difficult, expensive, and time-consuming. Even if viable,
668 it is not a short-term solution. Depending on endpoints for management and logging is also not currently
669 practical for large data centers. Endpoint solutions must become stable, capable, and effective. Endpoint
670 solutions must then become consistent and reliable recorders of all related events (enhanced logging).
671 Additionally, a separate infrastructure for producing, collecting, storing, and parsing terabytes (or more)
672 of data must be built. None of this is a simple proposition, and this infrastructure will require DPI for
673 certain data, as well as to manage the infrastructure. Furthermore, if the true root cause of a
674 compromise or other network problem is occurring at a middlebox device, endpoints will not see this
675 information at all. Use of intermediate proxies between all tiers adds cost, latency, and potential points
676 of failure. It also becomes less viable and more expensive as the number of tiers a given application has
677 increases; the cost and complexity increases are enormous in many cases. Finally, there will be
678 situations where intermediate proxies are not possible at all, such as secure subnets and virtual
679 environments.

680 In adopting any visibility solution, protecting stored session keys from access by entities external to the
681 data center is essential, and that requires implementing access controls that enforce least privilege
682 within the data center. Consequently, management's risk assessment involves trading off the relative
683 consequences of delaying TLS 1.3 implementation or replacing enterprise data centers against the cost
684 of protecting stored session keys from access by processes other than those that require and are
685 specifically approved for specific continuous monitoring or forensics functions. In implementing visibility
686 solutions, enterprises must focus on supporting least privilege principles and compliance with zero trust
687 and supply chain security requirements. See NIST SP 800-207 [14]. Ideally, implementations will provide
688 network owners with control over what information is actually shared to monitoring systems.
689 Cryptographic protection of all keys, whether at rest or in transit, is essential except where the keys are
690 being employed in a cryptographic process or approved compensating controls are employed.

691 Standardized open interfaces for endpoint interception are needed but are not currently available in
692 applications that scale to the requirements of large data centers. As a result, organizations may
693 determine that acceptance of some cryptographic security risks associated with the visibility solutions
694 described herein is acceptable in the face of the consequences of delaying TLS 1.3 implementation and
695 of loss of visibility into information exchanges by the IT security staff responsible for security monitoring
696 and forensics. One of the visibility approaches demonstrated in this project involves middlebox
697 solutions. The risks associated with introducing in-house middleboxes having man-in-the-middle
698 capabilities and/or caching or otherwise storing session keys may be weighed against the consequences
699 of losing security monitoring and forensics capabilities.

700 3.4.4 Security Control Map

701 A future SP 1800-37E, *Risk and Compliance Management*, will describe the mappings between
702 cybersecurity functions performed by the reference design's logical components (Sections 4.2 and 4.3)
703 and the security characteristics enumerated in relevant cybersecurity documents. These mappings are
704 intended for any organization that is interested in implementing TLS 1.3 visibility solutions or that has
705 begun or completed an implementation.

706 The mappings provide information on how cybersecurity functions from the reference design are related
707 to NIST-recommended security outcomes and controls: the security outcome subcategories from the
708 NIST Cybersecurity Framework (*Framework for Improving Critical Infrastructure Cybersecurity* [CSF] 1.1)
709 [15] and security controls identified in NIST SP 800-53r5 (*Security and Privacy Controls for Information
710 Systems and Organizations*) [16]. All of the elements in these mappings—the TLS 1.3 visibility
711 cybersecurity functions, CSF Subcategories, and SP 800-53 controls—are concepts involving ways to
712 reduce cybersecurity risk.

713 There are two primary use cases for this mapping. They are not intended to be comprehensive.

- 714 1. **Why should organizations implement TLS 1.3 visibility solutions?** This use case identifies how
715 implementing TLS 1.3 visibility solutions can support organizations in achieving CSF Subcatego-
716 ries and SP 800-53 controls. This helps communicate to an organization's chief information secu-
717 rity officer, security team, and senior management that expending resources to implement TLS
718 1.3 visibility solutions can also aid in fulfilling other security requirements.
- 719 2. **How can organizations implement TLS 1.3 visibility solutions?** This use case identifies how an
720 organization's existing implementations of CSF Subcategories and SP 800-53 controls can help
721 support trusted implementation of TLS 1.3 visibility solutions. An organization wanting to imple-
722 ment TLS 1.3 visibility solutions might first assess its current security capabilities so that it can
723 plan how to add missing capabilities and enhance existing capabilities. Organizations can lever-
724 age their existing security investments and prioritize future security technology deployment to
725 address the gaps.

726 4 Technologies

727 The technology collaborators for this project have offered products and insights that improve
728 organizations' visibility into traffic protected by the improved TLS 1.3 protocol. This section identifies the
729 project collaborators, components of the functional architecture employed, and products provided by
730 the collaborators to implement the functional architecture.

731 4.1 Project Collaborators

732 The following organizations have collaborated with the NCCoE in demonstrating mechanisms for
733 implementing TLS 1.3 without loss of essential real-time and post-facto visibility by the organizations
734 into traffic being exchanged within enterprise networks. Real-time visibility permits monitoring for
735 detection of threats or incidents concurrent with the data exchange, and post-facto visibility permits
736 after-the-fact analytics (e.g., forensics analysis to permit understanding of anomalies and responses to
737 and/or recovery from security incidents).

738 4.1.1 AppViewX

739 **AppViewX** is an automated certificate lifecycle management (CLM) solution that simplifies public key
740 infrastructure (PKI) and certificate management. It combines the best of automation, security, and
741 insights to meet all enterprise PKI and key management needs. AppViewX CERT+ features are purpose-
742 built to address both the operational and security challenges of certificate and key management to, in
743 turn, help organizations prevent application outages and security breaches. AppViewX capabilities
744 include discovering all certificates across complex enterprise environments, building and maintaining
745 central inventories, provisioning both private and public trust certificates from any CA, alerting to
746 expiring certificates, and fully automating renewals and revocation. AppViewX can be deployed as a
747 virtual appliance or in a public cloud as a virtual appliance or container service, or consumed as
748 Software-as-a-Service (SaaS). For more details, visit <https://www.appviewx.com>.

749 4.1.2 DigiCert

750 **DigiCert** is a provider of scalable TLS and PKI solutions for identity and encryption. The company is
751 known for its expertise in identity and encryption for web servers and [Internet of Things](#) devices.
752 DigiCert supports [TLS/SSL](#) and other digital certificates for PKI deployments at any scale through its
753 certificate lifecycle management platform, [CertCentral](#)[®]. The company provides enterprise-grade
754 certificate management platforms, responsive customer support, and advanced security solutions. Learn
755 more about DigiCert at <https://www.digicert.com>.

756 4.1.3 F5

757 **F5, Inc.** is a publicly-held American technology company specializing in application security, multi-cloud
758 management, online fraud prevention, application delivery networking, application availability &
759 performance, network security, and access & authorization. F5 is headquartered in Seattle, Washington
760 with an additional 75 offices in 43 countries focusing on account management, global services support,
761 product development, manufacturing, and software engineering. F5 originally offered application
762 delivery controller technology, but expanded into application layer, automation, multi-cloud, and
763 security services. The company offers modules on their proprietary operating system, TMOS (Traffic

764 Management Operating System). These modules include, but are not limited to, Local Traffic Manager,
765 Advanced Web Application Firewall, Domain Name Service, and Access Policy Manager. These offer
766 organizations the ability to deploy load balancing, Layer 7 application firewalls, single sign-on (for Active
767 Directory [AD], Azure AD, and Lightweight Directory Access Protocol [LDAP]), as well as enterprise-level
768 virtual private networks. While F5's BIG-IP offering was traditionally a hardware product, F5 now offers
769 it as a virtual machine, which they have branded as the BIG-IP Virtual Edition. The BIG-IP Virtual Edition
770 is cloud-agnostic and can be deployed on-premises in a public and/or hybrid cloud environment.

771 4.1.4 JPMorgan Chase & Co.

772 **JPMorgan Chase & Co.** is an American multinational financial services firm headquartered in New York
773 City and incorporated in Delaware. It is the largest bank in the United States and the world's largest
774 bank by market capitalization.

775 4.1.5 Mira Security

776 **Mira Security** delivers standalone TLS visibility solutions allowing existing, unmodified enterprise
777 security tools to detect and block threats hidden inside encrypted traffic flows. With over 15 years'
778 experience in building scalable, safe, and secure visibility solutions, initially as part of parent company
779 Netronome Systems and now as Mira Security, their technology is embedded in solutions from many
780 companies as well as being available directly from Mira. Their Encrypted Traffic Orchestrator (ETO)
781 software supports all the latest TLS standards, providing visibility into encrypted traffic without
782 weakening the security profile of the connection, and can be deployed as a physical or virtual appliance
783 or in public cloud environments. Learn more at <https://mirasecurity.com>.

784 4.1.6 NETSCOUT

785 **NETSCOUT Systems, Inc.** (NETSCOUT) assures digital business services against disruptions in availability,
786 performance, and security. NETSCOUT combines its patented smart data technology with smart
787 analytics and provides the real-time, pervasive visibility, and insights that its customers need to
788 accelerate and secure their digital transformation. NETSCOUT's approach aims to transform the way
789 organizations plan, deliver, integrate, test, and deploy services and applications. Its nGenius service
790 assurance solutions provide real-time, contextual analysis of service, network, and application
791 performance. Founded in 1984 as Frontier Software, NETSCOUT has evolved from a software consulting
792 business to an enterprise service assurance and cybersecurity solutions provider serving large federal
793 and local government, service provider, and enterprise customers.

794 The mission of NETSCOUT is to protect the global leaders of industry from the risks of disruption,
795 allowing them to solve their network performance and security problems to ensure that the connected
796 world runs safely and smoothly. In support of its mission, NETSCOUT provides software solutions that
797 support the service assurance, advanced cyber threat and distributed denial of service (DDoS)
798 protection, and business analytics/big data areas of its customers' business.

799 4.1.7 Not for Radio

800 Since 2013, **Not for Radio** (NFR) has been providing solutions to complex challenges in communication
801 networks for both corporate and government customers, with deployments in internet and

802 telecommunication infrastructure as well as high-performance computing fabrics. NFR's Encryption
803 Visibility Architecture (EVA) product delivers a flexible software solution to the challenge of maintaining
804 data visibility in enterprise networks following the deployment of TLS 1.3 (while likewise supporting
805 additional protocols such as legacy TLS and IPsec). EVA is designed to be minimally intrusive with respect
806 to the diversity of existing security postures, compliance regimes, performance requirements, and
807 orchestration technologies typically found in service operator environments.

808 4.1.8 Nubeva

809 **Nubeva** develops next-generation enterprise decryption solutions for TLS and ransomware. The
810 company's TLS solution consists of a micro-endpoint agent that automatically discovers and extracts
811 symmetric keys from handshake processes in memory in real-time, and then securely forwards them to
812 systems for fast and easy decryption. The solution works without any modification to applications,
813 libraries, network and systems architectures, or PKI. Nubeva's Session Key Intercept (SKI) works on
814 nearly all versions of Linux, containers and Kubernetes, and Windows server/client systems. The solution
815 enables decryption of TLS 1.3 and of 1.2 with forward security, as well as pinned certificate sessions for
816 both passive and inline use-cases. The company delivers the solution as a software toolkit to enable
817 solution and service providers, as well as mature SecOps/DevOps teams, to enhance existing or new
818 inspection solutions.

819 4.1.9 Thales Trusted Cyber Technologies

820 **Thales Trusted Cyber Technologies** is a U.S. provider of cybersecurity solutions dedicated to the U.S.
821 Government. It protects the government's most vital data from the core to the cloud to the edge with a
822 unified approach to data protection. Thales' solutions reduce the risks associated with the most critical
823 attack vectors and address the most stringent encryption, key management, and access control
824 requirements. In addition to the core solutions developed and manufactured in the U.S. specifically for
825 the Federal Government, Thales sells and supports industry-leading, third-party, commercial-off-the-
826 shelf solutions. To mitigate the risks associated with procuring data security solutions developed outside
827 of the U.S, Thales operates under a Proxy Agreement with the Defense Counterintelligence and Security
828 Agency (DCSA) for Foreign Ownership, Control, or Influence (FOCI) and Committee on Foreign
829 Investments in the United States (CFIUS) National Security Agreement.

830 4.1.10 U.S. Bank Corporation

831 **U.S. Bancorp**, with approximately 77,000 employees, is the parent company of U.S. Bank National
832 Association. The Minneapolis-based company serves millions of customers locally, nationally, and
833 globally through a diversified mix of businesses: Consumer and Business Banking; Payment Services;
834 Corporate and Commercial Banking; and Wealth Management and Investment Services. Union Bank,
835 consisting primarily of retail banking branches on the West Coast, joined U.S. Bancorp in 2022. The
836 company has been recognized for its approach to digital innovation, social responsibility, and customer
837 service, including being named one of the 2022 World's Most Ethical Companies and Fortune's most
838 admired superregional bank. Learn more at <https://usbank.com/about>.

839 4.2 System Architecture Functions

840 The following subsections identify the component functions that comprise the TLS 1.3 visibility
841 architecture. Each subsection describes the function that it serves in the reference design architecture.

842 4.2.1 Server Components

843 Server components are system entities that provide services such as HTTPS, email, and other
844 applications in response to requests from other system entities called *clients*.

845 In this project, servers are devices that manage the demonstration network resources. The TLS server is
846 the peer for encrypted traffic that generates session keys, negotiates encryption protocols, and
847 connects to key management infrastructure.

848 4.2.2 Client Components

849 Client components are system entities that request and use a service provided by another system entity
850 called a *server*. Examples of client components include enterprise workstations that receive network
851 traffic, management workstations, analytics workstations, and NETSCOUT Omnis Cyber Intelligence and
852 InfiniStreamNG appliances. Usually, it is understood that the client and server are automated
853 components of the system, and the client makes the request on behalf of a human user. In some cases,
854 the server may itself be a client of some other server.

855 In this project, client components are devices that initiate encrypted traffic. They are interfaces for
856 human users, devices, applications, and processes to access network functions, including requesting
857 certificates and keys. The TLS client devices are likely to be located outside of the data center.

858 4.2.3 Network Tap Function

859 The network tap is a component that provides a copy of traffic from a network segment in support of
860 logging requirements and the network security applications to monitor traffic and identify malicious
861 activity or security threats.

862 4.2.4 Break and Inspect Middlebox Function

863 The middlebox function is executed by a computer networking device that transforms, inspects, filters,
864 and manipulates traffic for purposes other than packet forwarding. A break and inspect middlebox is an
865 inline security mechanism that allows enterprises to decrypt traffic, inspect the decrypted content for
866 threats, and then re-encrypt the traffic before it enters or leaves the network. In this project, the break
867 and inspect middlebox is the component that taps, decrypts, terminates, and re-encrypts/reinitiates
868 traffic.

869 4.2.5 Real-Time Decryption Function

870 A real-time decryption function conducts decryption operations that must guarantee response times
871 within a specified time or window of time, usually relatively short. In this project, the real-time
872 decryption component decrypts and forwards the copied traffic in real time.

873 4.2.6 Real-Time Analytics Function

874 Real-time analytics is the function that applies logic and mathematics to data to provide insights for
875 immediate threat detection and response. For some use cases, real time simply means the analytics is
876 completed within a few seconds or minutes after the arrival of new data. In this project, the function is
877 executed by a set of tools for examining unencrypted payloads to identify a set of characteristics such
878 as:

- 879 ▪ Causes of network or application performance degradation or failures
- 880 ▪ Key management-based communications failures
- 881 ▪ Anomalous received data and their sources
- 882 ▪ Detection of traffic from unauthorized sources

883 Note that transfers of information even within the enterprise and any information stored on or by the
884 analytics platform require cryptographic protection or compensating physical controls.

885 4.2.7 Post-Facto Decryption and Analytics Function

886 Post-facto decryption and analytics is decryption and storage of encrypted data for detailed analysis at a
887 later time (e.g., for forensics purposes). The information must be protected (e.g., encrypted with a key
888 accessible by analytics processes or physically isolated and protected) while in storage and be destroyed
889 immediately when no longer needed or to meet an organization's defined policy.

890 4.2.8 Key Management Agent Function

891 The key management agent function is the gateway via which the key governance platform provisions
892 TLS server applications with bounded-lifetime DH key pairs. In addition to providing a secure
893 provisioning point for new key material, it implements the key activation and expiration policies as
894 communicated by the key governance platform.

895 4.2.9 Enterprise Public Key Infrastructure (PKI)

896 The PKI is an authorized entity that stores, signs, and issues digital public key certificates. The CA
897 validates identities and binds them to cryptographic key pairs with digital certificates.

898 4.2.10 Key Governance Function

899 Certificate and key governance functions include securely issuing, monitoring, facilitating, and using
900 digital public key certificates and managing the cryptographic keys exchanged using the certificates. In
901 this project, it is the security module that performs storage and distribution of session keys (e.g.,
902 discovery, creation, renewal, provisioning, revocation, and destruction of certificates and keys).

903 4.2.11 Key Source

904 The key source is a FIPS 140-validated [17] entity that securely generates cryptographic keys and key
905 pairs that are used for demonstration of cryptography employed in the TLS 1.3 visibility project.

906 4.2.12 TLS Traffic Sources and Sinks

907 The laboratory configuration includes TLS traffic sources and sinks for the data exchanged in and
908 examined by the TLS 1.3 visibility project. The traffic needs to be on a scale that provides some
909 confidence that the demonstrated solutions will be effective in large data centers.

910 4.3 Products Comprising the Demonstration Architecture

911 The following subsections identify the component products that comprise the TLS 1.3 visibility
912 architectural solutions. Each subsection describes the component product being contributed, identifies
913 the function that it serves in the reference design architecture (how it functions in the reference design),
914 and optionally indicates other functionality not demonstrated in the TLS 1.3 visibility project.

915 4.3.1 Mira Encrypted Traffic Orchestrator (ETO)

916 Mira Encrypted Traffic Orchestrator (ETO) software provides a transparent TLS visibility solution that
917 feeds decrypted traffic to existing security tools, allowing the detection and removal of threats
918 contained in the encrypted end-to-end traffic flow. ETO is transparent at the network layer, making
919 deployment straightforward with no requirements to change the existing network architecture or
920 network addressing. Fine-grained policy controls are provided, allowing an enterprise to control which
921 encrypted traffic flows are made visible, ensuring compliance with corporate and regulatory
922 requirements covering privacy and security.

923 ETO can be deployed as a physical or virtual appliance and in public cloud environments. Whatever the
924 deployment method, the features and functionality of ETO are the same. In addition to ETO, Mira also
925 provides a Category Database service that enhances the built-in policy control provided by ETO and a
926 Central Management system. These elements are detailed below.

927 4.3.1.1 ETO Physical Appliance

928 Mira ETO physical appliances are available with a range of interface speeds, from 1 Gbps to 40 Gbps,
929 and they can decrypt up to 100 Gbps of TLS traffic total across all interfaces. Larger models support
930 multiple independent segments, allowing visibility into different parts of the network from a single
931 appliance.

932 4.3.1.2 ETO Virtual Appliance

933 Mira virtual ETO (vETO) is available to run on KVM and ESXi. It supports decryption of up to 5 Gbps of
934 TLS traffic from a single virtual appliance.

935 4.3.1.3 ETO in Public Cloud

936 Mira ETO can be deployed in AWS to decrypt up to 5 Gbps of TLS traffic.

937 4.3.1.4 Mira Category Database Service

938 Mira's Category Database service is an optional subscription service that can be used by any ETO device
939 to enhance the policy controls available on the device. When using the Category Database service,

940 policies can be set based on the category of the destination of a TLS flow. So, for example, a policy to
941 not decrypt traffic to “health care” destinations can be specified.

942 *4.3.1.5 Central Manager*

943 The Mira ETO can be managed directly via an easy-to-use WebUI; it also provides a Representational
944 State Transfer (REST) application programming interface (API), allowing programmatic control of the
945 device and enabling integration into an existing management framework. In situations where many ETO
946 devices are deployed, the Mira Central Management System (CMS) is available to simplify control and
947 management of the devices. CMS allows devices to be grouped with shared policies and configurations,
948 and it centralizes management of licensing for ETO devices.

949 *4.3.2 AppViewX Key Governance Platform*

950 AppViewX has partnered with NETSCOUT to develop a prototype key governance platform for the TLS
951 1.3 visibility challenge that it plans to formalize as an open industry standard. This project dovetails with
952 the Secure Key Orchestration initiative that aims to secure and automate the management of all the
953 encryption keys across distributed and hybrid enterprise environments. The AppViewX Cloud-native
954 Identity and Security Platform is used by organizations across financial services, banking, healthcare, oil
955 and gas, manufacturing, and high tech to reduce cybersecurity risk and meet security compliance
956 requirements.

957 The AppViewX Platform facilitates enterprise-wide central certificate and key governance and lifecycle
958 management to prevent outages, reduce security incidents, and protect the reputation and bottom lines
959 of organizations through streamlined automation workflows. Delivered as a service, the modular
960 AppViewX Platform and its CERT+ and PKI+ products address critical digital and machine identity
961 challenges. AppViewX provides instant value by discovering all certificates across complex enterprise
962 environments, building and maintaining inventories, provisioning both private and public trust
963 certificates from any CA, alerting to expiring certificates, and fully automating renewals and revocation.
964 By eliminating manual processes with AppViewX, enterprise organizations reduce errors, free up staff
965 resources, and become more crypto agile to strengthen their overall security postures and meet
966 essential compliance requirements.

967 AppViewX CERT+ is a next-generation automated certificate lifecycle management (CLM) solution that
968 simplifies PKI and certificate management. It combines the best of automation, security, and insights to
969 meet all enterprise PKI needs. CERT+ features are purpose-built to address both the operational and
970 security challenges of certificate management to, in turn, help organizations prevent application outages
971 and security breaches.

972 Setting up a secure, scalable, and compliant cloud-based private PKI is easier and faster than ever with
973 AppViewX PKI+. Whether needing to comply with data protection mandates, enable ecosystem trust, or
974 secure assets with strong authentication and encryption, PKI+ is a turnkey PKI-as-a-Service for all private
975 trust use cases. PKI+ eliminates the need for expensive PKI hardware and allows you to simplify your
976 private PKI architecture and set up tailored custom CAs in minutes while meeting the highest standards
977 of security and compliance.

978 4.3.3 DigiCert CertCentral Enterprise Certificate Authority

979 DigiCert’s CertCentral web-based platform allows provisioning and managing publicly trusted X.509
980 certificates for TLS and code signing as well as a variety of other purposes. After establishing an account,
981 clients can log in, request, renew, and revoke certificates by using only a browser. Multiple roles can be
982 assigned within an account, and a discovery tool can inventory all certificates within the enterprise. In
983 addition to certificate-specific features, the platform offers baseline enterprise SaaS capabilities,
984 including role-based access control (RBAC), Security Assertion Markup Language (SAML), single sign-on
985 (SSO), and security policy management and enforcement. All account features come with full parity
986 between the web portal and a publicly available API.

987 4.3.4 F5 SSL Orchestrator

988 The BIG-IP SSL Orchestrator enhances TLS infrastructure, makes encrypted traffic visible to security
989 solutions, and optimizes existing security investments. It delivers dynamic service chaining and policy-
990 based traffic steering—applying context-based intelligence to encrypted traffic handling to intelligently
991 manage the flow of encrypted traffic across the security stack—and ensures optimal availability and
992 security. The F5 BIG-IP SSL Orchestrator is designed and purpose-built to enhance TLS infrastructure,
993 provide security solutions with visibility into TLS encrypted traffic, and optimize and maximize existing
994 security investments. BIG-IP SSL Orchestrator delivers dynamic service chaining and policy-based traffic
995 steering, applying context-based intelligence to encrypted traffic handling to allow you to intelligently
996 manage the flow of encrypted traffic across your entire security stack, ensuring optimal availability. BIG-
997 IP SSL Orchestrator centralizes TLS decryption across multiple security tools, inspects next-generation
998 encryption protocols, simplifies change management through security stack orchestration, improves
999 scalability and availability of existing enterprise security tools, configures dynamic service chaining based
1000 on context, deploys with flexible options to ease integration, and integrates F5 security solutions into
1001 enterprise service chains. The BIG-IP SSL Orchestrator is designed to easily integrate with existing
1002 architectures and to centrally manage the TLS decrypt/re-encrypt function. It delivers current TLS
1003 encryption technologies across the enterprise’s entire security infrastructure. This enables discovery of
1004 hidden threats and prevention of attacks at multiple stages, leveraging existing security solutions. The
1005 BIG-IP SSL Orchestrator ensures that encrypted traffic can be decrypted, inspected by security controls,
1006 then re-encrypted. This delivers enhanced visibility to mitigate threats traversing the network.

1007 4.3.5 NETSCOUT Visibility Without Borders Platform

1008 NETSCOUT’s Visibility Without Borders Platform includes its nGeniusONE Service Assurance platform
1009 vSTREAM™ virtual appliance, Omnis Cyber Intelligence console, and CyberStream network security
1010 sensors.

1011 4.3.5.1 NETSCOUT nGeniusONE Service Assurance Platform

1012 The nGeniusONE Service Assurance platform provides an overarching view into the performance
1013 characteristics of all infrastructure and application components associated with delivering IP-based
1014 services. With emphasis on service triage and network troubleshooting, the nGeniusONE platform
1015 combines real-time monitoring, historical analysis, and multi-layered analytics capabilities for a holistic
1016 performance management solution.

1017 **4.3.5.2 NETSCOUT vSTREAM**

1018 NETSCOUT's vSTREAM™ virtual appliance complements existing Adaptive Session Intelligence™ (ASI)-
1019 based instrumentation to provide the same smart data visibility within virtualized and cloud
1020 infrastructures that is already possible in physical environments. The vSTREAM is used for monitoring
1021 service-critical traffic running within virtualized or cloud infrastructures, monitoring services locally on a
1022 host, or as an aggregation point for multiple hosts. It seamlessly operates with NETSCOUT's nGeniusONE
1023 Service Assurance solution, nGeniusPULSE, and, with the addition of the NETSCOUT Cloud Adaptor, is an
1024 integral part of the NETSCOUT Smart Edge Monitoring solution.

1025 **4.3.5.3 NETSCOUT Omnis Cyber Intelligence**

1026 Serving as a centralized console for the Omnis Security platform, Cyber Intelligence analyzes Smart Data
1027 collected by Omnis CyberStreams, ISNGs or vSTREAMs running Cyber Modules, network baselines, and
1028 ATLAS or third-party threat intelligence feeds to detect all types of cyber threats and enable workflows
1029 for further visualization and investigation. Cyber Intelligence alerts can be sent to third-party SIEMs, and
1030 its data can be exported to third-party data lakes for further analysis by third-party applications.

1031 Leveraging advanced threat detection techniques and cutting-edge machine learning algorithms, Omnis
1032 CyberStream ensures the detection of both known and zero-day threats. The Omnis Cyber Intelligence
1033 Network Detection and Response (NDR) platform provides a unified interface for efficient security event
1034 management. Seamlessly integrating with SIEM tools and offering automation through [SIEM/SOAR](#)
1035 [\(Security Orchestration, Automation, and Response\)](#) and Extended Detection and Response (XDR)
1036 systems, this solution empowers organizations to swiftly investigate and respond to security threats.

1037 **4.3.5.4 NETSCOUT vSTREAM Omnis CyberStream Module**

1038 Strategically deployed in any network environment (including public cloud), NETSCOUT CyberStream
1039 network security sensors use patented, highly scalable, DPI technology to convert raw packets into a rich
1040 source of layer 2-7 metadata that provides cybersecurity teams comprehensive network visibility and a
1041 rich source of data for better [network threat detection and response](#).

1042 **4.3.6 Not for Radio Encryption Visibility Agent (EVA)**

1043 The demonstration systems constructed for this project employ NFR's Encryption Visibility Agent™
1044 (EVA™) in its Bounded Lifetime Key Control mode, with an external key management system configured
1045 as the source of the bounded-lifetime key material. With this configuration, the Agent runs within the
1046 applications of interest and enforces the use of the controlled, bounded-lifetime Diffie-Hellman key
1047 material in TLS 1.3 sessions. Importantly, the Agent's operation does not introduce new pathways for
1048 lateral movement of malware by requiring relaxation of any platform security mechanisms.

1049 Other modes of operation of the EVA, such as high performance and fully deterministic reporting of per-
1050 session key material, as well as distributed bounded-lifetime key generation, are not used in this
1051 demonstration. Additional components of the Encryption Visibility Architecture™ family designed to
1052 address scalability and integration challenges within larger deployments are likewise not used.

1053 4.3.7 Nubeva TLS Visibility Solution

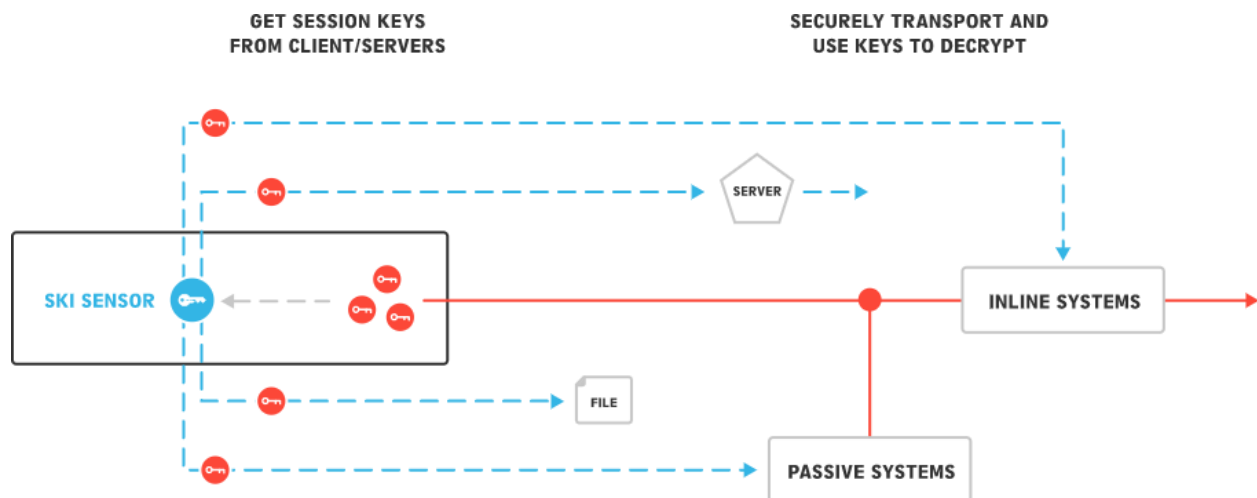
1054 The TLS Visibility solution uses session key intercept (SKI) to support next-generation TLS decryption. By
 1055 detecting and protecting against malicious behaviors concealed within encrypted network
 1056 communications, this solution addresses the growing limitations of traditional decryption solutions and
 1057 helps eliminate major blind spots in cybersecurity.

1058 SKI for TLS is a software system that provides efficient decryption of all TLS traffic, including TLS 1.3,
 1059 pinned certificates, and mutual authentication sessions. It is designed to serve both passive and inline
 1060 applications, addressing the deficiencies of traditional decryption methods, and enabling thorough
 1061 packet inspection in modern environments.

1062 4.3.7.1 Session Key Intercept

1063 SKI is employed both as an augmentation to legacy forward and reverse-proxy engines and as a fix to
 1064 passive intercept systems due to pending obsolescence from forward secrecy. SKI provides the ability to
 1065 GET SESSION KEYS from TLS clients and servers in real-time and to USE SESSION KEYS to decrypt TLS on
 1066 authorized systems to enable DPI. With session keys available, one can decrypt any session with simple
 1067 and efficient bulk decryption. As such, SKI is universal to all traffic flows and use cases and applications:
 1068 inbound, outbound, east-west, clients, servers, data center, cloud. Since TLS session keys are symmetric
 1069 (shared by both endpoints), keys only need to be obtained from one side of a connection and therefore
 1070 can apply to client connections to foreign servers and services. Figure 4-1 depicts the SKI configuration.

1071 **Figure 4-1: Session Key Intercept**



1072 Unlike legacy man-in-the-middle/forward proxy, session pre-termination/reverse proxy, and RSA Passive
 1073 Intercept, SKI does not involve certificates or server keys, nor does it manipulate or change traffic,
 1074 connections, authentication, or PKI. Instead, it simply works with the individual TLS session encryption
 1075 keys (ephemeral, symmetric, or bulk encryption keys) that are developed during the handshake, shared
 1076 by the TLS client and servers, used for the bulk encryption/decryption of the communication, and then
 1077 discarded. SKI can passively decrypt forward secrecy-based traffic as well as traffic to external servers
 1078 and services and thus re-establishes the out-of-band decryption option for the industry. SKI delivers
 1079 high-speed decryption of all TLS-encrypted traffic from any standard passive traffic source, including

1080 taps, in any environment (physical, virtual, on-prem, or cloud). SKI is delivered as a modular suite of
1081 software components.

1082 **4.3.7.2 FastKey Protocol**

1083 Network probes need to inspect traffic at very high speeds with minimal delays. These probes would like
1084 to receive keys not more than 1 millisecond after receiving an encrypted packet, and preferably less.
1085 Low-latency key extraction reduces the time decryptors wait for keys to less than 200 microseconds. The
1086 best-case scenario is that keys are received by a decryptor before the corresponding packet.

1087 FastKey combines a binary protocol over Datagram Transport Layer Security (DTLS) and a REST API to
1088 send keys to key targets. The REST API uses a JSON object. The object is a key:value pair, where the key
1089 is a “client random” and the value is a set of key fields and a metadata structure. Metadata is not
1090 required for decryption.

1091 **4.3.8 Thales Component HSM**

1092 A hardware security module (HSM) is a dedicated crypto processor that is specifically designed for the
1093 protection of the crypto key lifecycle. HSMs act as trust anchors that protect the cryptographic
1094 infrastructure of some of the most security-conscious organizations in the world by securely managing,
1095 processing, and storing cryptographic keys inside a hardened, tamper-resistant device. Thales HSMs
1096 always store cryptographic keys in hardware. They provide a secure crypto foundation, as the keys never
1097 leave the intrusion-resistant, tamper-evident, FIPS 140-validated appliance. See the link for more
1098 information on [FIPS validation](#). Since all cryptographic operations occur within the HSM, strong access
1099 controls prevent unauthorized users from accessing sensitive cryptographic material. Thales also
1100 implements operations that make the deployment of secure HSMs as easy as possible. They are
1101 integrated with Thales Crypto Command Center for quick and easy crypto resource partitioning,
1102 reporting, and monitoring.

1103 **4.3.9 JPMorgan Chase Contribution**

1104 JPMorgan Chase manages large-scale network operations with many customers and partners. The
1105 network traffic is TLS-protected. Security and reliability considerations require continuous monitoring
1106 and analytics to support threat and incident detection, auditing, and forensics. The analytics processes
1107 require both real-time and post-facto visibility into traffic metadata and contents. As such, JPMorgan
1108 Chase is providing content, protocol, and performance requirements and constraints information that
1109 supports project functional objectives.

1110 **4.3.10 U.S. Bank Corporation Contribution**

1111 U.S. Bank Corporation manages large-scale network operations with many customers and partners. The
1112 network traffic is TLS-protected. Security and reliability considerations require continuous monitoring
1113 and analytics to support threat and incident detection, auditing, and forensics. The analytics processes
1114 require both real-time and post facto visibility into traffic metadata and contents. As such, U.S. Bank is
1115 providing content, protocol, and performance requirements and constraints information that supports
1116 project functional objectives.

1117 **5 Architecture**

1118 Some aspect of analytics functions requiring enterprise visibility into its encrypted TLS 1.3 traffic may
1119 require combining network architecture and key-management techniques to achieve operationally
1120 necessary visibility. Necessary analytics functions may include identification of causes of network
1121 performance degradation or failures, key management-based communications failures, detection and
1122 identification of anomalous received data, identification of sources of anomalous data, and detection of
1123 encrypted traffic from unauthorized sources and exfiltration of enterprise data to anomalous
1124 destinations.

1125 Therefore, the scope of the project includes demonstration of an architecture that achieves visibility
1126 inside the enterprise data center through tools that intercept and decrypt traffic without altering the
1127 traffic flow between the TLS clients and servers. No change to the TLS 1.3 protocol is proposed. TLS 1.3
1128 continues to be used for exchanges between data centers. In this demonstration project, we examine
1129 TLS 1.3 deployment within the enterprise data center and address mechanisms that may be used to
1130 support access to historical data by leveraging key management-based and middlebox solutions.

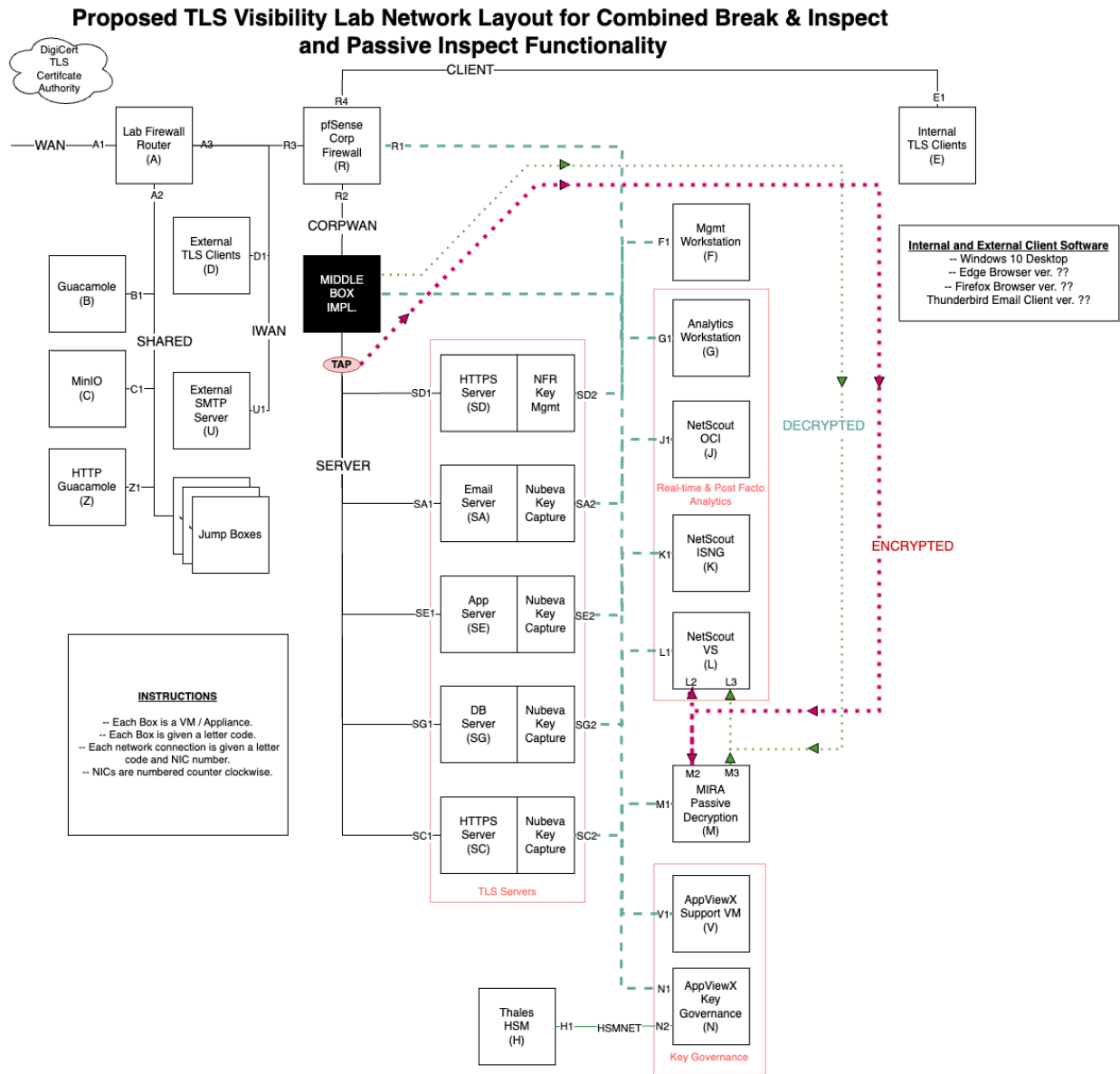
1131 **5.1 Data Center Architecture Description**

1132 The project's data center architecture employs virtual machine technology to interconnect and manage
1133 the components provided by the collaborators. Figure 5-1 depicts the laboratory demonstration
1134 environment for collaborator-contributed components. Note that many components are connected to
1135 more than one internal network, and that permissions for each connection are tailored to enforce the
1136 principle of least privilege.

1137 **5.1.1 NCCoE Laboratory Network**

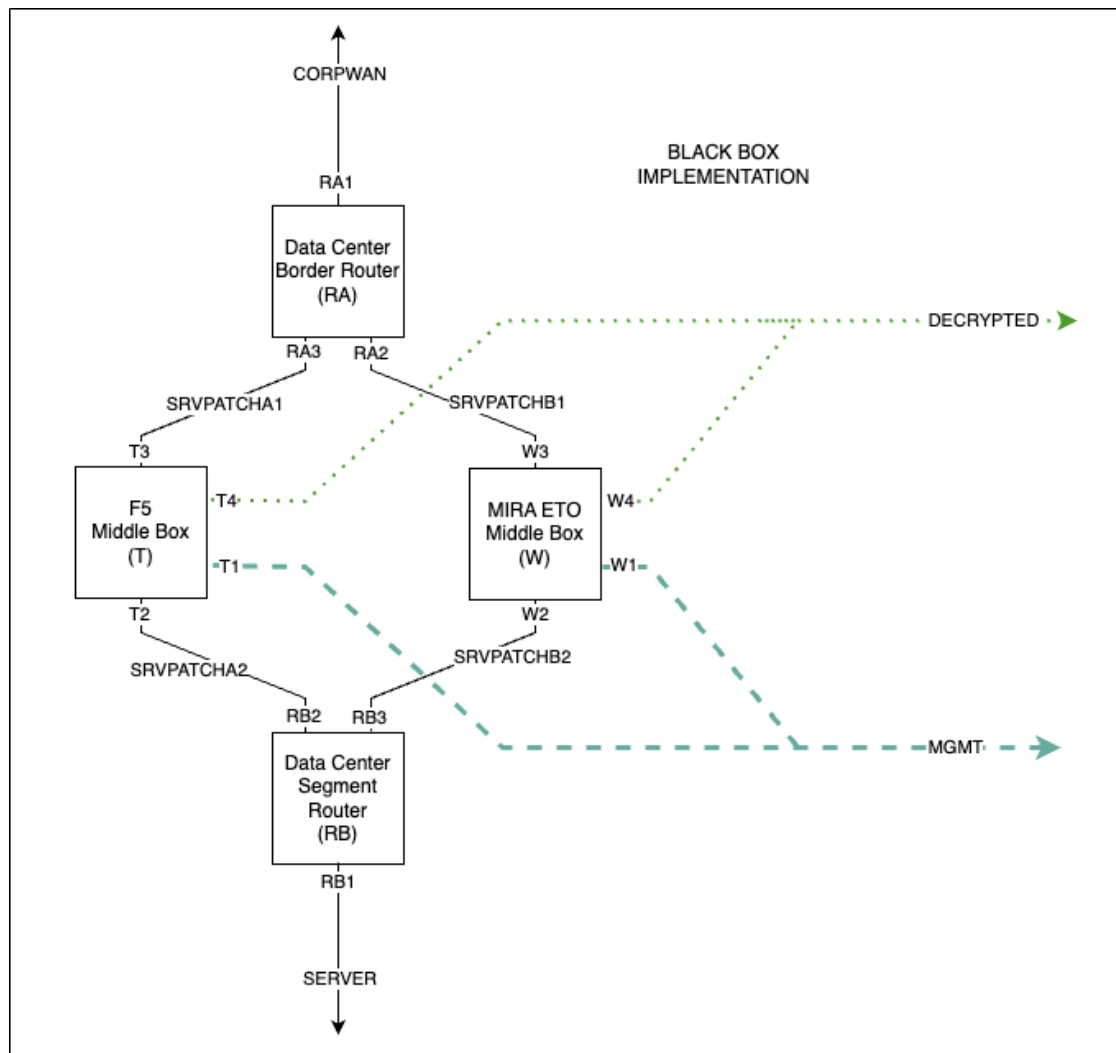
1138 The NCCoE laboratory network supports NCCoE projects and protects in-house connections such that
1139 intellectual property resident in one NCCoE project's laboratory is not exposed to the other laboratories.
1140 Figure 5-1 depicts the TLS 1.3 Visibility Laboratory Network layout.

1141 Figure 5-1: TLS 1.3 Visibility Laboratory Network



1142 Two different middlebox options are included in the TLS 1.3 visibility demonstration. One employs an F5
 1143 middlebox, and the other employs a Mira middlebox. Figure 5-2 depicts the detailed laboratory
 1144 middlebox connectivity.

1145 Figure 5-2: Middlebox Connection Detail

1146

5.1.2 Internet

1147 The TLS visibility laboratory permits component connections to the Internet via a firewall router. The
 1148 laboratory accesses external components such as DigiCert's TLS certification authority via its Internet
 1149 connection.

1150

5.1.3 TLS Subnetwork

1151 The TLS subnetwork includes connections necessary for interfacing and managing all project
 1152 components other than the external CA. Processors representing external TLS clients, internal TLS
 1153 clients, and an internal Simple Mail Transfer Protocol (SMTP) server connect to other components
 1154 through a pfSense corporate firewall.

1155 5.1.4 Management Network

1156 The management network provides the connections necessary to manage collaborator-provided
1157 components. It is also a protected network for key exchanges and analytics.

1158 5.1.5 Server Network

1159 The server network is the production network and hosts all TLS server endpoints.

1160 5.1.6 Client Networks

1161 The client network hosts all internal TLS client endpoints.

1162 5.1.7 HSM Network

1163 The HSM network is the protected network used for master key exchange between the Thales HSM and
1164 the AppViewX key governance component.

1165 5.1.8 Encrypted Traffic Capture Network

1166 The encrypted traffic capture network carries all tapped encrypted traffic for demonstration captures.

1167 5.1.9 Decrypted Traffic Network

1168 The decrypted traffic network aggregates and carries traffic that has been decrypted regardless of
1169 source.

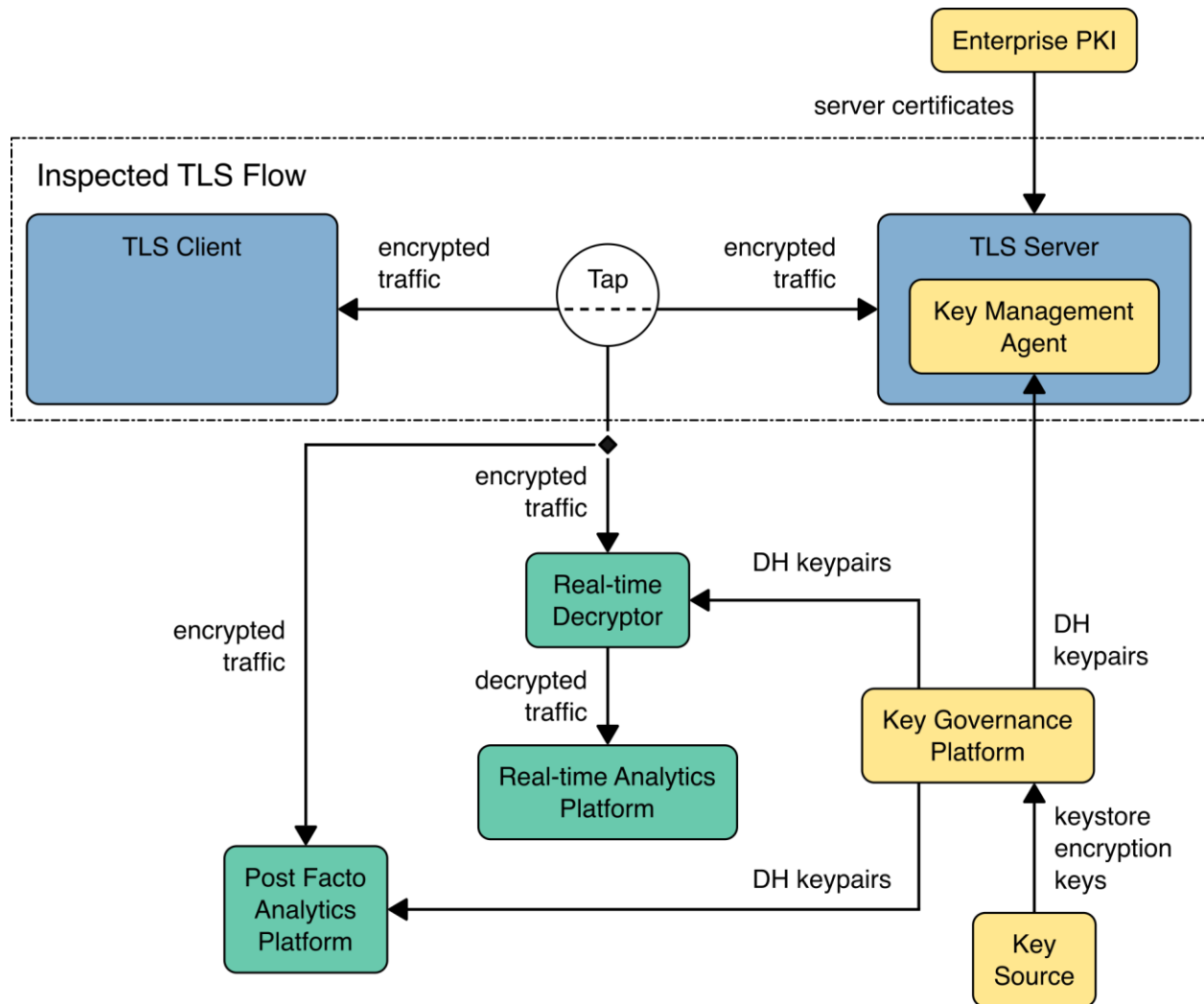
1170 5.1.10 Server Patch Networks

1171 The server patch networks connect the data center network to the production server network through
1172 the middlebox implementations. These networks are necessary to isolate the middlebox
1173 implementation details from the overall data center network implementation.

1174 5.2 High-Level Passive Inspection Architecture Overview

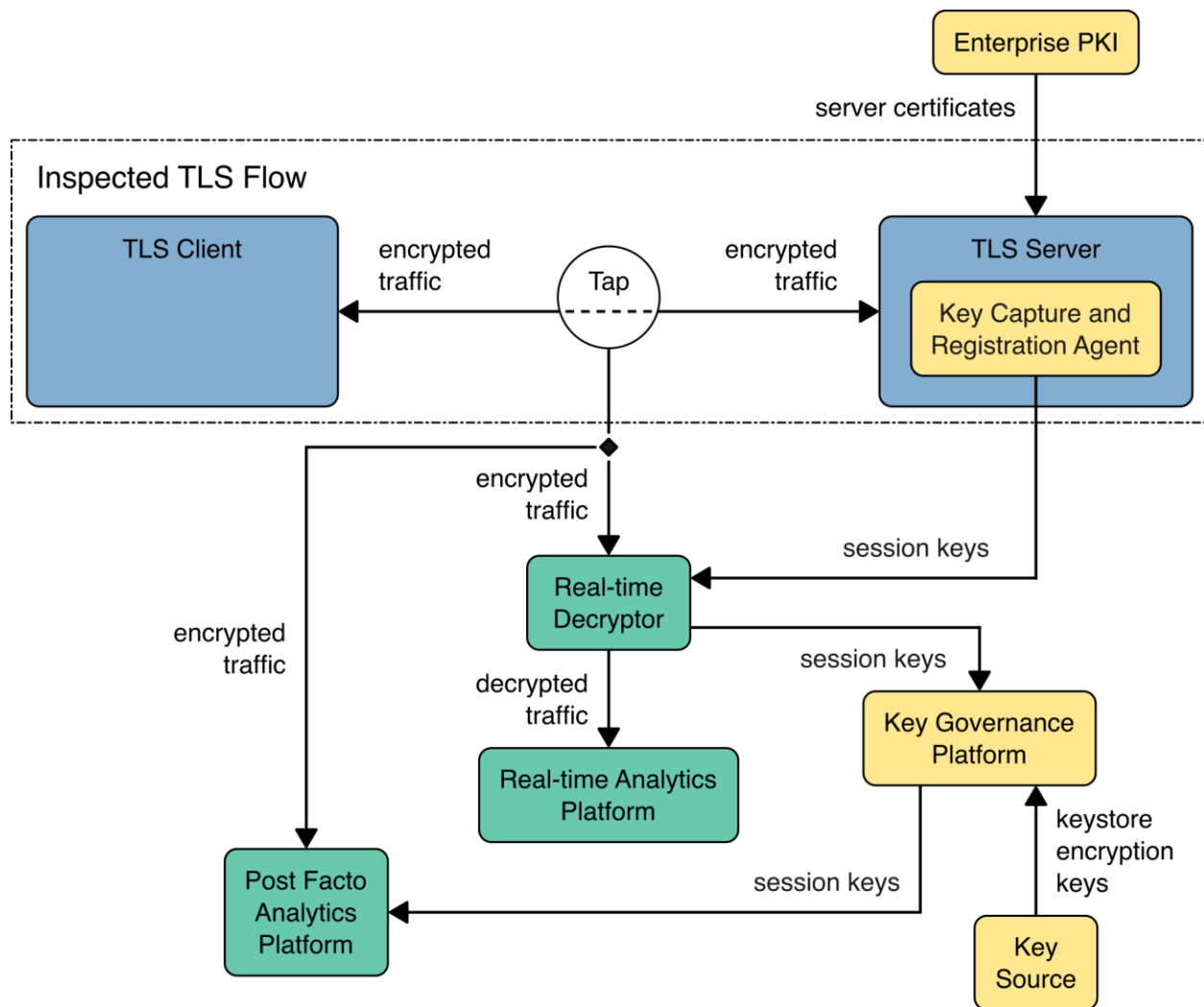
1175 The figures below depict the functional components of a passive decrypt and inspect demonstration
1176 architecture. Figure 5-3 depicts passive inspection using rotated bounded-lifetime DH keys on the
1177 destination TLS server. This approach can be used to capture decrypted traffic for real-time analysis,
1178 incoming traffic for post-facto or historical analysis, or both. Note that the clients internal to the
1179 enterprise that are recipients of the TLS 1.3-protected traffic from the TLS server are not depicted.

1180 Figure 5-3: Passive Inspection Functional Architecture – Bounded-Lifetime DH



1181 Figure 5-4 depicts passive decryption and inspection using exported session keys. The architecture
 1182 permits both real-time analysis of decrypted TLS traffic and post-facto analysis of stored encrypted
 1183 traffic. It is worth noting that exported session keys can be used to decrypt TLS traffic irrespective of the
 1184 TLS version and cipher suite being used by the session.

1185 Figure 5-4: Passive Inspection - Exported Session Key Functional Architecture



1186 5.2.1 Passive Inspection Components

1187 The function of each passive inspection component is described as follows:

- 1188 ■ **TLS Client Devices:** Devices that initiate encrypted traffic.
- 1189 ■ **Network Tap:** Component that provides a copy of traffic from a network segment.
- 1190 ■ **Real-Time Decryption:** Passive decrypt component that decrypts and forwards the copied
- 1191 traffic.
- 1192 ■ **Real-Time Analytics Platform:** Set of tools for examining decrypted payloads to identify
- 1193 undesired characteristics:
 - 1194 • Identification of causes of network or application performance degradation or failures
 - 1195 • Key management-based communications failures
 - 1196 • Detection and identification of received anomalous data

1197 • Identification of sources of anomalous data, and detection of traffic from unauthorized
1198 sources

1199 • Identification of legitimate enterprise data being exfiltrated to anomalous destinations

1200 Note that transfers of information even within the enterprise and any information stored on or by the
1201 analytics platform require cryptographic protection or compensating physical controls.

1202 ▪ **Traffic Capture Platform:** Encrypted storage of captured traffic to allow subsequent analytics of
1203 captured traffic. This can be encrypted storage of the captured decrypted traffic or storage of
1204 the captured original encrypted traffic.

1205 ▪ **Key Governance Platform:** Security module performing storage and distribution of keys (e.g.,
1206 discover, create, renew, provision, revoke, and destroy certificates and keys). Bounded-lifetime
1207 DH keys are pushed to the TLS server and passive decrypt device providing real-time decryption;
1208 they are also stored for future use by decrypt solutions that work with captured encrypted
1209 sessions. Exported session keys and flow identification data are received from the Session Key
1210 Capture agent or the decrypt platform, providing real-time decryption using these keys and
1211 stored for future use by decrypt solutions that work with captured encrypted sessions.

1212 ▪ **TLS Server:** Peer for encrypted traffic that generates session keys, negotiates encryption
1213 protocols, and connects to key management infrastructure.

1214 ▪ **Bounded-Lifetime DH Key Management Agent:** Receives the bounded-lifetime keys from the
1215 key governance platform and enables their use by the TLS server according to key governance
1216 platform policy.

1217 ▪ **Session Key Capture and Registration Agent:** Captures and exports the ephemeral session keys
1218 and registers the captured keys and flow identification data with the key governance platform.

1219 ▪ **Enterprise Public Key Infrastructure:** CA that provides enterprise public key certificates.

1220 5.2.2 Passive Inspection Functionality

1221 These passive inspection architectural options support the capture of traffic from monitored network
1222 segments, providing mechanisms to decrypt the traffic for immediate analysis, or forwarding encrypted
1223 traffic for storage structured with session information and the appropriate key information. This
1224 architecture does not terminate or otherwise modify traffic between the TLS clients and servers.

1225 5.2.2.1 Bounded-Lifetime Key Pair (Bounded-Lifetime Diffie-Hellman)

1226 For TLS using bounded-lifetime key pairs, the key governance platform provisions the TLS server with
1227 bounded-lifetime DH key pairs via a key management agent running on the server. The TLS server then
1228 uses the provisioned keys pairs instead of performing ephemeral generation during the normal TLS
1229 handshake. The key governance platform provisions the server with new bounded-lifetime DH key pairs
1230 on a frequent basis via the agent. A decrypt platform that has the bounded-lifetime DH key pairs used by
1231 the TLS server to establish TLS sessions will be able to passively decrypt all TLS sessions to the server for
1232 the period during which the server uses those DH key pairs.

1233 5.2.2.2 Exported Session Key (Ephemeral Diffie-Hellman)

1234 For the exported session key option, passive decryption is accomplished by retrieving TLS session keys
 1235 from the key governance platform or receiving them from the Session Key Capture agent in the case of
 1236 real-time decryption. This architecture uses agents operating on the TLS servers to capture the
 1237 ephemeral session keys at the time they are negotiated for a TLS flow. In the case of capture of
 1238 encrypted flows for post-facto or historical analysis, these agents register the captured keys with the key
 1239 governance platform. These keys can be retrieved from the key governance platform using the TLS
 1240 session's client-random-id as the flow identification mechanism. This passive decrypt mechanism works
 1241 regardless of what TLS version and cipher suite is negotiated between the client and server.

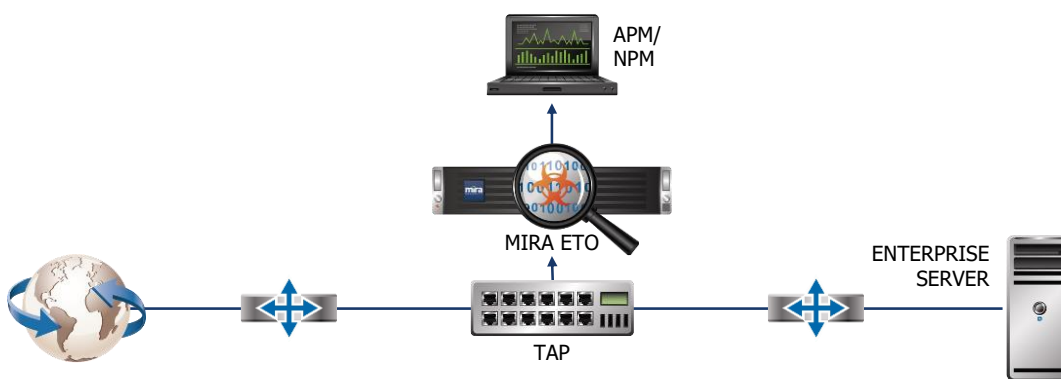
1242 5.2.2.3 Mira ETO Use in Passive Decryption Mode

1243 The use of the Mira ETO in a classic passive decryption mode is shown in Figure 5-5 (Passive-Passive).
 1244 The Mira ETO is installed out-of-band with copies of network packets being received from a network tap.
 1245 Decrypted versions of the TLS flows are sent to one or more passive tools by the Mira ETO.

1246 The Mira ETO supports real-time passive decryption of TLS 1.3 traffic when it receives copies of the
 1247 ephemeral session keys from the Nubeva TLS Visibility Solution agent running on the server. This allows
 1248 real-time decryption of the traffic by the Mira ETO. The Mira ETO also stores the ephemeral session keys
 1249 so that it can forward them to a key management system, allowing tools that do post-facto decryption
 1250 to do so. The ephemeral keys are sent to AppViewX using the protocol employed by the Nubeva TLS
 1251 Visibility Solution agent. This allows AppViewX to either receive keys forwarded by the Mira ETO or
 1252 directly from Nubeva.

1253 The Mira ETO supports passive real-time decryption when bounded-lifetime DH keys are in use on the
 1254 server. The Mira ETO needs to receive these keys in advance of their use by the server to allow for real-
 1255 time decryption. The implementation permits these keys to be pushed to ETO via an API.

1256 **Figure 5-5: Mira ETO Use in Passive Decryption**



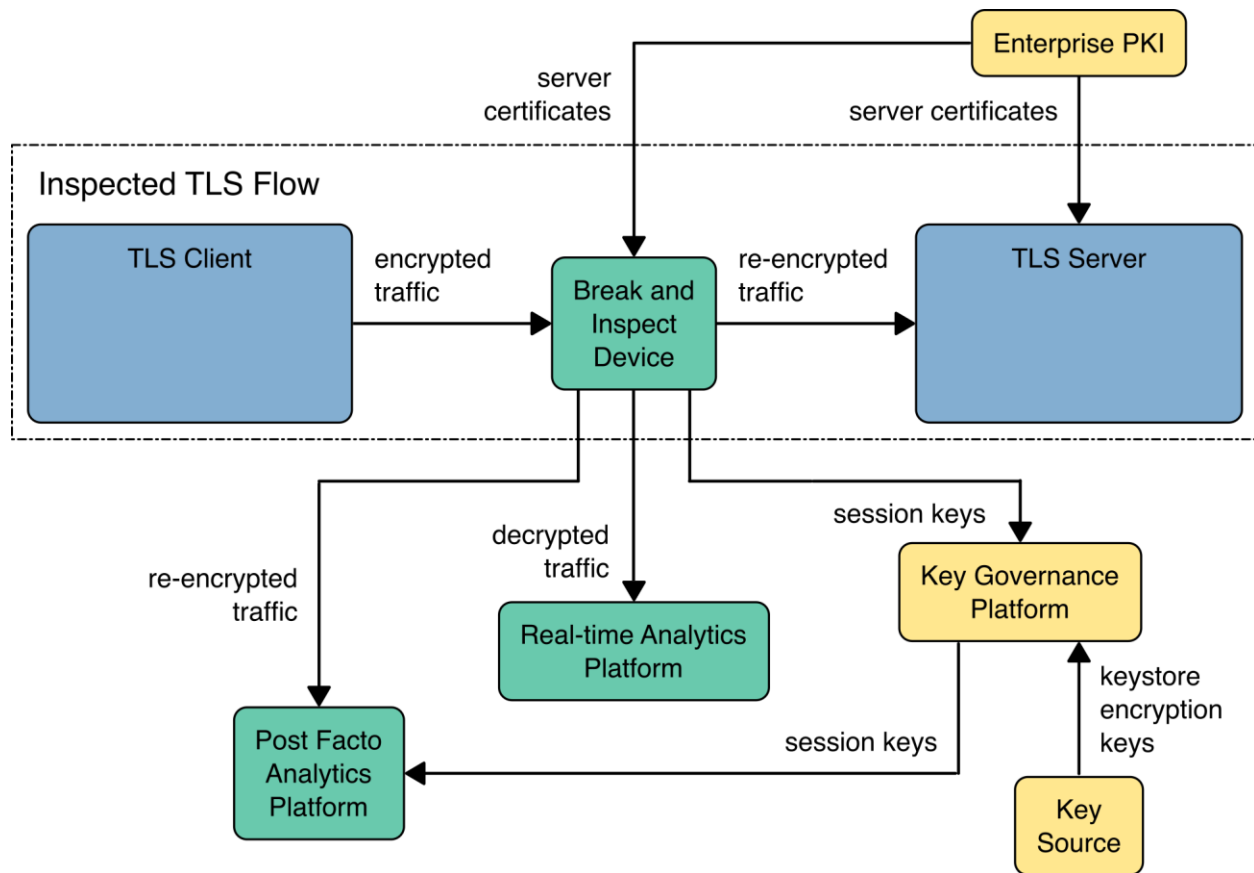
1257 5.3 High-Level Middlebox Architecture Overview

1258 Some aspect of analytics functions requiring enterprise visibility into encrypted TLS 1.3 traffic may
 1259 require a middlebox approach that combines network architecture and key-management techniques to
 1260 achieve operationally necessary visibility. Necessary analytics functions may include identification of

1261 causes of network performance degradation or failures, key management-based communications
 1262 failures, detection and identification of anomalous received data, identification of sources of anomalous
 1263 data, and detection of encrypted traffic from unauthorized sources. Therefore, the scope of the project
 1264 includes demonstration of an architecture that achieves visibility inside the data center through tools
 1265 that break and inspect traffic. These “middleboxes” are commonly used at the enterprise edge to
 1266 achieve real-time visibility. In this demonstration project, we examine deployment within the enterprise
 1267 and address access to historical data by leveraging key management-based solutions.

1268 Figure 5-6 depicts the functional components of the break and inspect (B&I) demonstration
 1269 architecture.

1270 **Figure 5-6: Middlebox (Break and Inspect) Functional Architecture**



1271 5.3.1 Break and Inspect Middlebox Component Descriptions

1272 The function of each B&I middlebox component is described as follows:

- 1273
 - 1274 ■ **TLS Client Devices:** Devices that initiate encrypted traffic. These TLS client devices may be
 - 1275 located outside of the data center. However, note that B&I is not using bounded-lifetime DH or
 - 1276 ephemeral key reporting means to gain visibility, so the TLS 1.3 session from an external client
 - to the B&I device and from the B&I device to the server both have forward secrecy.
- 1277
 - 1278 ■ **Break and Inspect Component:** Component that terminates, decrypts, and re-
 - encrypts/reinitiates TLS traffic.

1279 ▪ **Real-Time Analytics Platform:** Set of tools for examining unencrypted payloads to identify
1280 undesired characteristics:

1281 • Identification of causes of network or application performance degradation or failures

1282 • Key management-based communications failures

1283 • Detection and identification of anomalous received data

1284 • Identification of sources of anomalous data, and detection of traffic from unauthorized
1285 sources

1286 Note that transfers of information even within the enterprise and any information stored on or
1287 by the analytics platform require cryptographic protection or compensating physical controls.
1288 Also note that in the example above, the B&I device feeds analytics tools with a copy of the
1289 decrypted TLS traffic (i.e., the analytic tool is passive and simply consumes the feed). B&I
1290 devices are also capable of feeding the decrypted TLS traffic to inline security tools which may
1291 modify the decrypted traffic before returning it to the B&I device for re-encryption and
1292 forwarding to the final destination. In the use case above, with passive analytic tools the end-to-
1293 end payload between client and server is unmodified, whereas the use of inline tools may result
1294 in modification.

1295 ▪ **Traffic Capture Platform:** Encrypted storage of captured decrypted traffic or storage of the
1296 captured original encrypted traffic to allow subsequent analytics of captured traffic.

1297 ▪ **Key Governance Platform:** Security module performing storage and distribution of ephemeral
1298 session keys and associated flow identification data provided by the B&I device for later use by a
1299 passive decrypt device working on captured encrypted traffic.

1300 ▪ **TLS Server:** Counterparty for encrypted traffic that generates session keys, negotiates
1301 encryption protocols, and connects to the enterprise PKI infrastructure.

1302 ▪ **Enterprise PKI:** CA that provides enterprise key certificates.

1303 *5.3.1.1 F5 Middlebox Build Component*

1304 F5 BIG-IP SSL Orchestrator (SSLO) provides an all-in-one appliance solution designed specifically to
1305 optimize the SSL infrastructure, provide security devices with visibility of TLS-encrypted traffic, and
1306 maximize the efficient use of existing security resources. The SSL Orchestrator makes encrypted traffic
1307 visible to security solutions and optimizes existing security elements. It delivers dynamic service chaining
1308 and policy-based traffic steering by applying context-based intelligence to encrypted traffic handling to
1309 intelligently manage the flow of encrypted traffic across the security stack. The placement of the BIG-IP
1310 SSLO as a middlebox is depicted in Figure 5-7.

1311 **Figure 5-7: F5 BIG-IP SSL Orchestrator Use in TLS 1.3 Visibility Functional Architecture**

1312 The SSL Orchestrator is used in one demonstration option as a middlebox component that terminates,
 1313 decrypts, and re-encrypts/reinitiates traffic. As a middlebox it delivers high-performance decryption of
 1314 inbound and outbound TLS traffic, decrypting incoming encrypted traffic to permit inspection for
 1315 ransomware, malware, or other threats that can lead to attacks, infections, and other data breaches. Its
 1316 use employs full-proxy and diverse cipher support without requiring architectural changes.

1317 The SSL Orchestrator also provides central control to unify decryption across multiple inspection devices
 1318 to detect unsupported cipher use, fake TLS connections, and unwanted infrastructure complexity. It uses
 1319 a flexible context engine to group, monitor, and steer traffic regardless of network topology, protocol, or
 1320 cipher in use. It employs dynamic service chaining with existing security solutions based on the type of
 1321 incoming traffic.

1322 F5 SSL Orchestrator is available on BIG-IP appliance and VELOS chassis hardware platforms. It can either
 1323 be a dedicated standalone SSL Orchestrator or an add-on to existing F5 LTM devices performing load
 1324 balance duty. In software-only format, SSL Orchestrator can run as a virtual appliance in private or public
 1325 clouds.

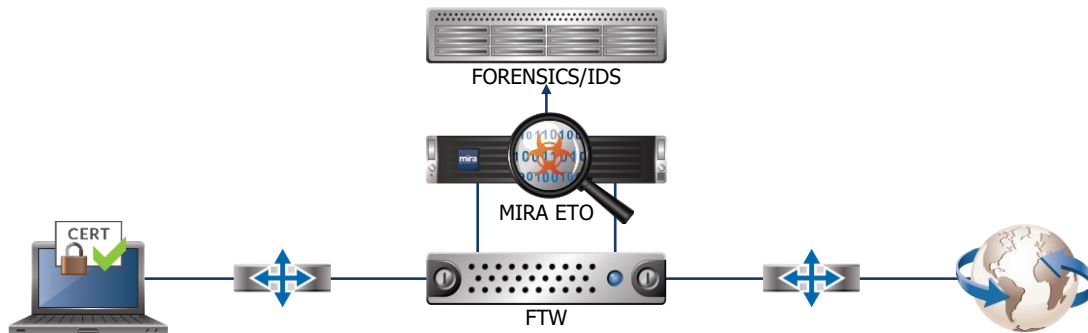
1326 For this project, SSL Orchestrator is deployed as a virtual appliance running on VMware in a two-arm
 1327 configuration.

1328 **5.3.1.2 Mira Middlebox Build Components**

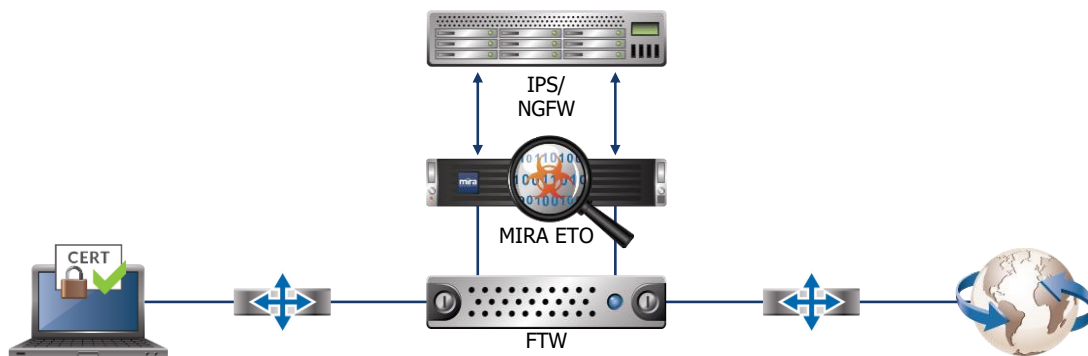
1329 Mira ETO software supports B&I mode on all types of appliances, physical, virtual (KVM and ESXi) and
 1330 when deployed in public cloud (AWS). In this project architecture, the Mira ETO is installed inline and
 1331 can provide real-time decryption and re-encryption of TLS traffic to maintain an end-to-end TLS
 1332 connection between the client and the server. Inline interfaces (real or virtual) create a bump in the
 1333 wire. Decrypted versions of the traffic can be sent to both passive and inline tools. Passive tools
 1334 consume the decrypted stream and generate alerts. Inline tools process the decrypted stream, then
 1335 return it (potentially modified) to the ETO for re-encryption before it is sent onwards to the client or
 1336 server. There is a single interface to passive tools and two interfaces to inline tools. Figure 5-8 shows the
 1337 two B&I modes (Inline-Passive and Inline-Inline). Note that when Inline-Inline is in use, it is possible at
 1338 the same time to feed passive security tools via a copy port.

1339 Figure 5-8: Mira ETO Use in Middlebox (Break and Inspect) Functional Architecture

Inline-Passive Deployment



Inline-Inline Deployment



1340 For traffic destined for an enterprise server with a server certificate issued by either a public CA or an
 1341 Enterprise CA, the Mira ETO needs a copy of the server certificate and the associated private key. This is
 1342 the primary NIST use case for B&I. Options exist to have the server certificates and private keys installed
 1343 in the ETO be protected by keys stored in an HSM. For traffic from an enterprise client destined for an
 1344 external TLS server, the Mira ETO needs an intermediate Enterprise CA installed so that it can generate
 1345 modified server certificates for the client to do B&I decryption. The enterprise client needs to be
 1346 configured to trust the Enterprise root CA and any intermediate CAs, such as the one installed in the
 1347 ETO. Options exist to have the intermediate CA and private keys be stored in an HSM rather than on the
 1348 ETO. The Mira ETO captures the negotiated session keys for the TLS sessions on either side of the
 1349 middlebox B&I point to share these with systems that will use them to perform post-facto decryption of
 1350 captured encrypted flows. The ETO shares these keys with the key management system using the
 1351 Nubeva TLS Visibility Solution agent protocol.

1352 5.3.2 Break and Inspect Functionality

1353 The B&I middlebox architecture supports capturing incoming traffic, providing tapped decrypted traffic
1354 to an analytics platform, storing traffic re-encrypted using new session keys negotiated between the B&I
1355 device and the client and/or server, and passing re-encrypted traffic from the B&I component to an
1356 enterprise network server for routing to the enterprise’s in-house consumers. The architecture also
1357 includes connection by the server and B&I component to the enterprise PKI infrastructure. The capture
1358 of traffic may be accomplished between the client and the B&I device, the B&I device and the server, or
1359 both. The session keys between the client and the B&I device, or the B&I device and the server, or both
1360 need to be provided to the key governance platform to enable subsequent analysis of captured
1361 encrypted data.

1362 5.3.2.1 F5 Middlebox Build Functionality

1363 The SSL Orchestrator can be used as a traditional B&I middlebox. It can be configured to feed inline
1364 tools, post-facto analytic tools, or both. The SSL Orchestrator decrypts incoming traffic that may be
1365 routed to a real-time analytics platform or platforms. The SSL Orchestrator re-encrypts the decrypted
1366 traffic and feeds the re-encrypted traffic to the enterprise TLS endpoint server. It can also feed the
1367 decrypted traffic to an analytics platform for later decryption and forensics analysis. When operating in
1368 B&I mode, for traffic destined for an enterprise server with a server certificate issued by either a public
1369 CA or an Enterprise CA, the SSL Orchestrator needs a copy of the server certificate and the associated
1370 private key. As stated in Section 5.3.1.1, this is the primary NIST use case for B&I. The BIG-IP SSL
1371 Orchestrator is inline between the TLS client and TLS server.

1372 In the situation where visibility is required for inbound TLS traffic terminating on TLS servers within the
1373 enterprise, the SSL Orchestrator is normally configured with copies of the TLS server’s certificate and
1374 keys, allowing it to appear to the TLS client(s) as if it is the server. As in the case of the Mira ETO (see
1375 Section 5.3.2.2), the SSL Orchestrator uses the server certificate and keys as part of a TLS handshake
1376 with the TLS client and carries out a second TLS handshake with the TLS server. Again, as described for
1377 the ETO, because TLS 1.3 requires forward secrecy, the TLS handshakes on either side of the SSL
1378 Orchestrator will result in different ephemeral session keys being used for the two TLS connections. If
1379 the SSL Orchestrator is providing copies of ephemeral keys to the key management system for later use
1380 by analytic tools to decrypt captured TLS flows, it is important to ensure that the ephemeral keys
1381 matching the captured flow are exported by the SSL Orchestrator.

1382 Full SSL Orchestrator functionalities can be found in the [BIG-IP SSL Orchestrator data sheet](#).

1383 5.3.2.2 Mira Build Functionality

1384 The Mira ETO can be used as a traditional B&I middlebox and can be configured to feed passive tools,
1385 inline tools, or both with the decrypted traffic. When operating in B&I mode, the ETO is configured as
1386 either an “Inline-Passive” or “Inline-Inline” segment. The first part of the segment type refers to the
1387 network interfaces; “Inline” means the ETO is inline between the TLS client and TLS server, i.e., it is a
1388 bump in the wire. The second part of the segment type refers to how the decrypted traffic is fed to
1389 attached analytic tools; “Passive” means that the tool consumes the traffic and does not return it to the
1390 ETO, while “Inline” means the tool processes the decrypted traffic and then returns it to the ETO. When
1391 connected to an Inline tool, the ETO can be configured to allow modifications of the decrypted payload

1392 by the tool to be re-encrypted and propagated to the TLS endpoint, or to ignore any modifications made
1393 by the tool so that the payload to the TLS endpoint is not changed.

1394 In the situation where visibility is required for inbound TLS traffic terminating on TLS servers within the
1395 enterprise, the ETO is normally configured with copies of the TLS server's certificate and keys, allowing it
1396 to appear to the TLS client as if it is the server. The ETO uses the server certificate and keys as part of a
1397 TLS handshake with the TLS client and carries out a second TLS handshake with the TLS server. Because
1398 TLS 1.3 requires forward secrecy, the TLS handshakes on either side of the ETO will result in different
1399 ephemeral session keys being used for the two TLS connections. If the ETO is providing copies of
1400 ephemeral keys to the key management system for later use by analytic tools to decrypt captured TLS
1401 flows, it is important to ensure that the ephemeral keys matching the captured flow are exported by the
1402 ETO.

1403 The Mira ETO B&I mode will work no matter what certificate/keys are being used by the enterprise TLS
1404 server. The ETO will use the appropriate decrypt mechanism depending on the certificate/keys used by
1405 the server:

- 1406 ▪ If the server has a TLS server certificate that was issued by a public or private CA, the ETO needs
1407 a copy of the certificate and the private key.
- 1408 ▪ If the server has a self-signed server certificate, the ETO will simply change the public/private
1409 key pair associated with the certificate, as no authentication is being done using the certificate.

1410 TLS server certificates and private keys stored on the ETO are encrypted and only exist in memory in a
1411 decrypted state when being used. Additional security can be enabled in the ETO, allowing a key stored in
1412 an external HSM to be part of the encryption flow for stored TLS private keys, ensuring that these can
1413 only be decrypted if the ETO has access to the enterprise HSM.

1414 Full details of the Mira ETO capabilities are provided in the [physical appliance getting started guide](#) and
1415 the [physical appliance administration guide](#). The virtual appliance (KVM, ESXi, AWS) has a different
1416 [virtual appliance getting started guide](#) and [virtual appliance administration guide](#).

1417 **6 Security Characteristic Analysis**

1418 The purpose of the security characteristic analysis is to understand the extent to which the project
1419 meets its objective of demonstrating visibility using the TLS 1.3 protocol. In addition, it seeks to
1420 understand the security benefits and drawbacks of the example solution.

1421 **6.1 Assumptions and Limitations**

1422 The security characteristic analysis has the following limitations:

- 1423 ▪ It is neither a comprehensive test of all security components nor a red-team exercise.
- 1424 ▪ It cannot identify all weaknesses.
- 1425 ▪ It does not include the lab infrastructure. It is assumed that devices are hardened. Testing these
1426 devices would reveal only weaknesses in implementation that would not be relevant to those
1427 adopting this reference architecture.

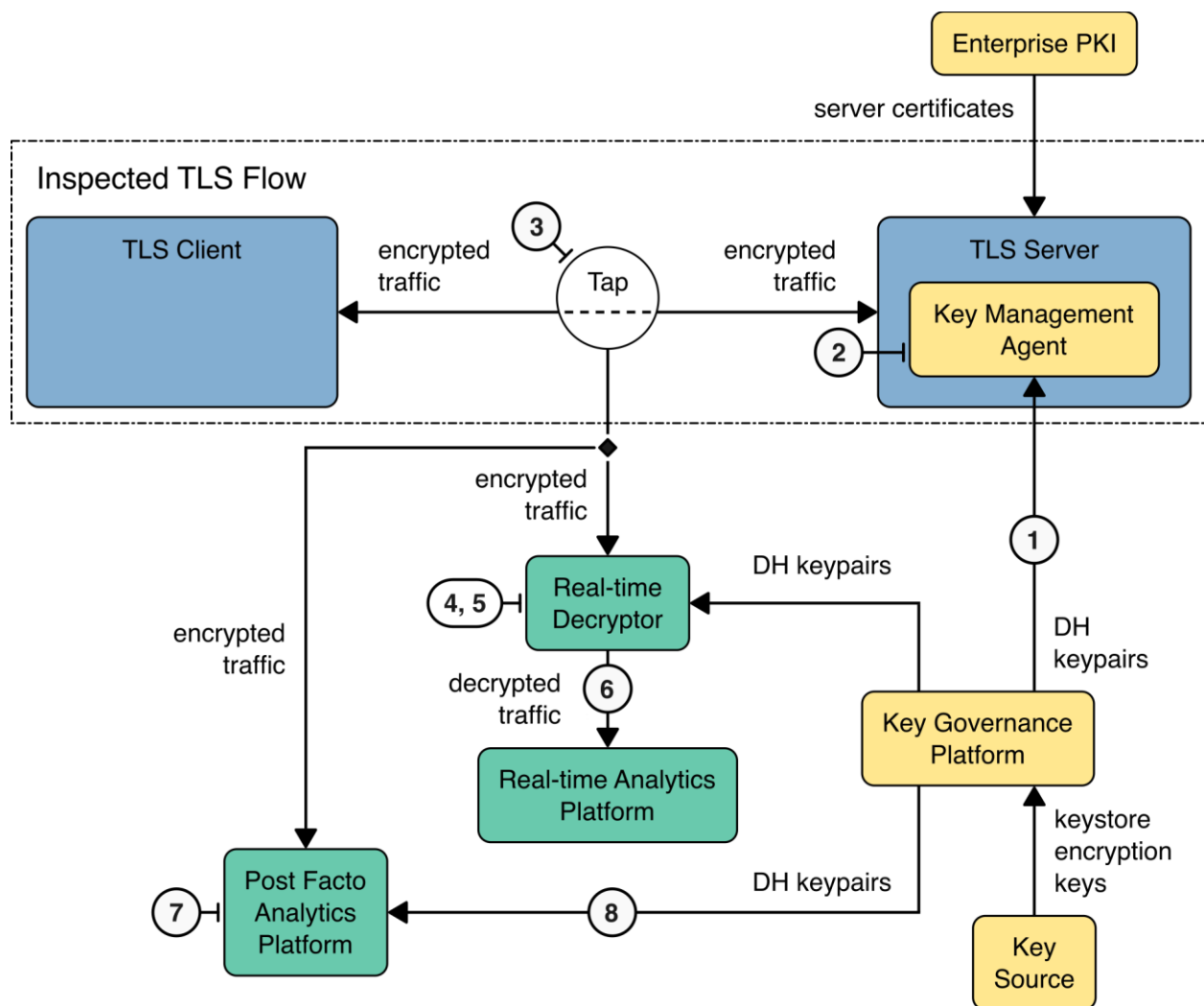
1428 **6.2 Build Demonstration**

1429 As documented in this preliminary draft volume, the project team has demonstrated capabilities that
 1430 include visibility into 1) post-facto decryption of traffic protected under bounded-lifetime DH server
 1431 keys, 2) real-time decryption of traffic protected under both bounded-lifetime and exported session
 1432 keys, and 3) real-time decryption of traffic using middleboxes (break and inspect) in a TLS 1.3
 1433 environment. Work is underway to demonstrate post-facto decryption of traffic protected under
 1434 exported session keys and using middleboxes. These capabilities will be demonstrated in a subsequent
 1435 draft of this volume (see Section 7).

1436 **6.2.1 Bounded-Lifetime DH Flow**

1437 Figure 6-1 depicts the elements involved in the bounded-lifetime DH demonstration. Both post-facto
 1438 and real-time decryption capabilities are illustrated.

1439 **Figure 6-1: Bounded-Lifetime DH Passive Inspection Elements**



1440 *6.2.1.1 Real-Time (RT) Decryption*

1441 The demonstration of real-time decryption using bounded-lifetime DH keys executed the following
1442 sequence:

- 1443 1. Before an epoch* begins, the Key Governance Platform generates a new bounded-lifetime DH
1444 key pair and pushes it to the TLS Server and the RT Decryptor.
- 1445 2. When the epoch begins, the Key Management Agent configures the TLS Server to use the new
1446 bounded-lifetime DH key pair to negotiate a new TLS session with the client.
- 1447 3. The Network Tap captures encrypted packets between client and server and forwards them to
1448 the RT Decryptor.
- 1449 4. The RT Decryptor calculates the session symmetric keys from the captured traffic and the known
1450 server key pair.
- 1451 5. The traffic is decrypted using the calculated session symmetric keys.
- 1452 6. The decrypted traffic is forwarded to the RT Analytics Platform.

1453 *An epoch is the rotation period for keys determined by the enterprise key governance platform.

1454 *6.2.1.2 Post-Facto Decryption Flow*

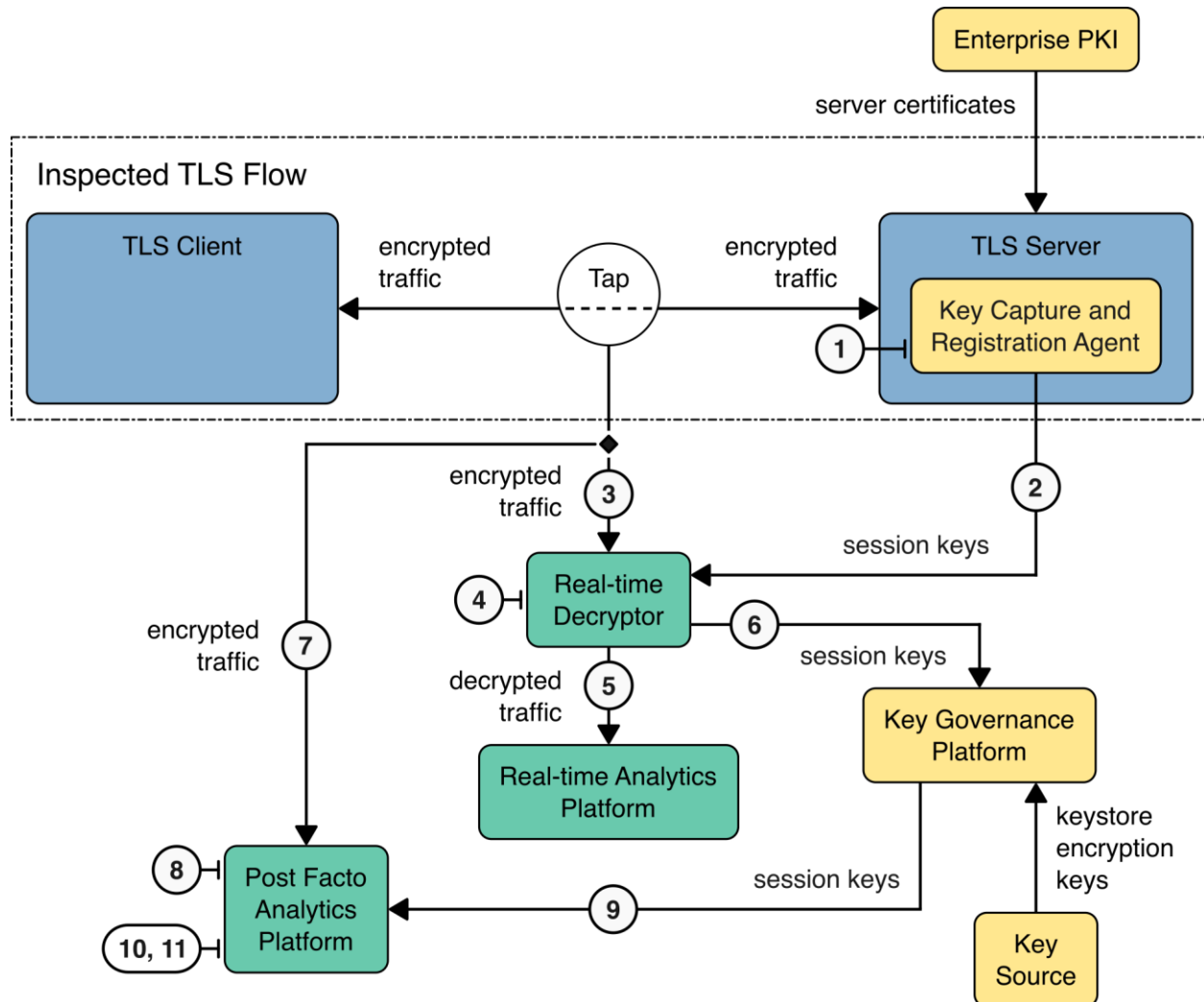
1455 The demonstration of storage of traffic for post-facto decryption and analysis using bounded-lifetime DH
1456 keys executed the following sequence:

- 1457 1. Before an epoch begins, the Key Governance Platform generates a new bounded-lifetime DH
1458 key pair and pushes it to the Key Management Agent on the TLS Server (and RT Decryptor).
- 1459 2. When the epoch begins, the Key Management Agent configures the TLS Server to use the new
1460 bounded-lifetime DH key pair to negotiate a new TLS session with the client.
- 1461 3. The Network Tap captures encrypted packets between client and server and forwards them to
1462 the Post-Facto Analytics Platform.
- 1463 4. The Post-Facto Analytics Platform selects the traffic stream to be decrypted.
- 1464 5. On a per-traffic stream basis, the Post-Facto Analytics Platform requests the server key pair from
1465 the Key Governance Platform using the TLS Server and the epoch of the traffic stream.
- 1466 6. The Post-Facto Analytics Platform calculates session symmetric keys from the captured traffic
1467 and the server key pair supplied by the Key Governance Platform.
- 1468 7. The traffic is decrypted using the calculated session symmetric keys.
- 1469 8. Decrypted traffic is now available for analysis.

1470 (Note: Key stores are generated by the Key Governance Platform.)

1471 **6.2.2 Exported Session Key Flow**

1472 Figure 6-2 depicts the elements involved in demonstrating passive inspection using exported session
 1473 keys. Both post-facto and real-time decryption capabilities are illustrated.

1474 **Figure 6-2: Passive Inspection Using Exported Session Keys**1475 **6.2.2.1 Real-Time (RT) Decryption**

1476 The demonstration of real-time decryption using exported session keys executed the following
 1477 sequence:

- 1478 1. When the TLS Server negotiates a new TLS session with the client, the Key Capture and Registra-
 1479 tion Agent sniffs the negotiated session key.
- 1480 2. The Key Capture and Registration Agent sends the session key and client-random-id* to the
 1481 Realtime Decryptor.
- 1482 3. The Network Tap captures encrypted packets between client and server and forwards them to
 1483 the RT Decryptor.

- 1484 4. The RT Decryptor uses the session key to decrypt the traffic.
- 1485 5. The decrypted traffic is forwarded to the RT Analytics Platform.
- 1486 6. The RT Decryptor sends the session key and client-random-id to the Key Governance Platform to
1487 support post-facto decryption.

1488 *The client-random-id is a plaintext field in the TLS specification that uniquely identifies the TLS session.

1489 Note that each connection between a server and client generates a new session key/client-random-id
1490 pair. The volume of key material that requires storage can be very large as it increases one-to-one with
1491 the volume of traffic. Forward secrecy is maintained.

1492 *6.2.2.2 Post-Facto Decryption (follows RT Decryption steps)*

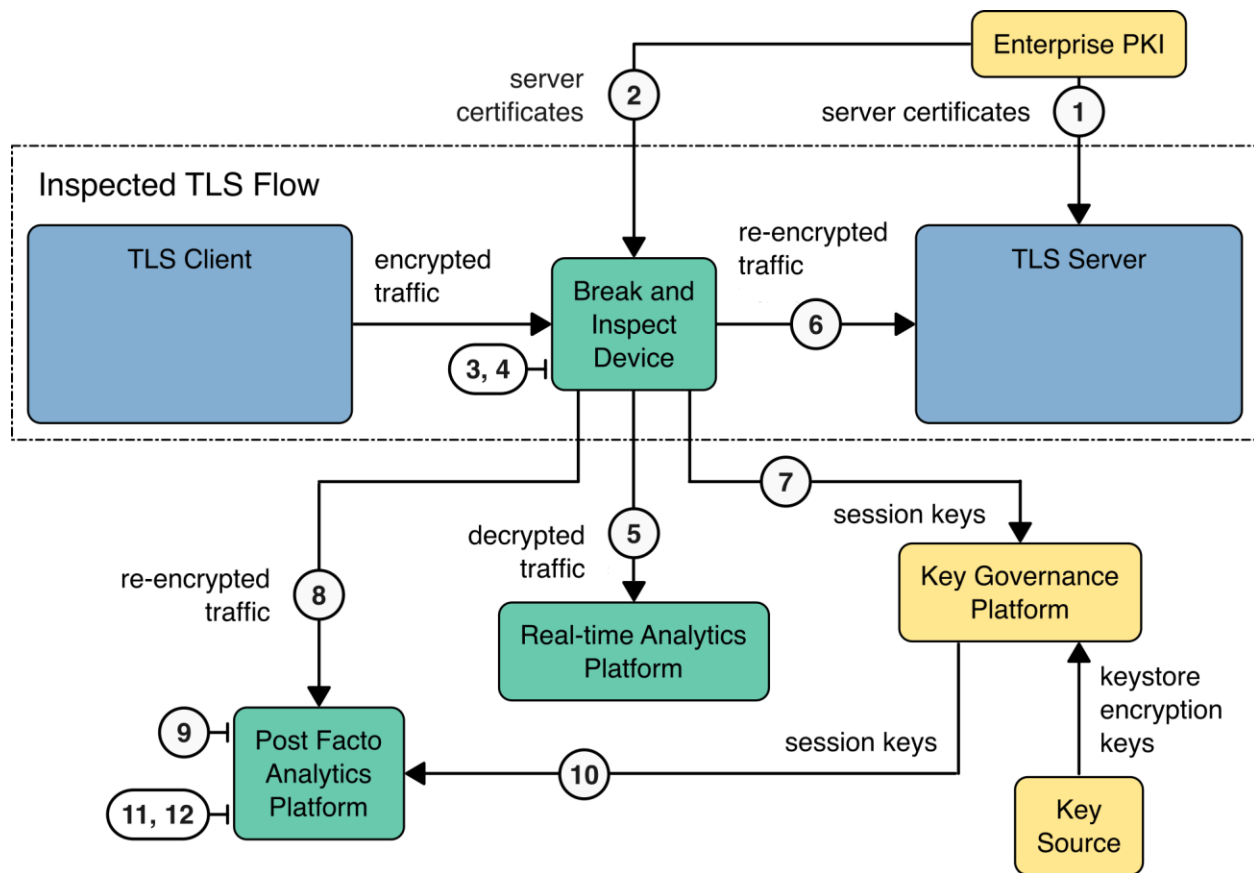
1493 The demonstration of decryption for post-facto analysis using exported session keys will execute the
1494 following sequence that follows the real-time decryption sequence shown above:

- 1495 7. The Network Tap captures encrypted packets between client and server and forwards them to
1496 the Post-Facto Analytics Platform.
- 1497 8. The Post-Facto Analytics Platform selects the traffic stream to be decrypted.
- 1498 9. On a per-traffic stream basis, the Post-Facto Analytics Platform requests the session key from
1499 the Key Governance Platform.
- 1500 10. The traffic is decrypted using the session key.
- 1501 11. Decrypted traffic is available for analysis.

1502 **6.2.3 Middlebox Active Decryption (Break and Inspect) Flow**

1503 Figure 6-3 depicts the architectural elements involved in demonstrating visibility using a middlebox.
1504 Note that, although both real-time and post-facto decryption are shown in the architecture drawing,
1505 only real-time decryption has been demonstrated as of this writing. Note also that traffic will be re-
1506 encrypted for transmission to the post-facto traffic capture platform within the data center.

1507 Figure 6-3: Middlebox Break and Inspect Demonstration Elements

1508 **6.2.3.1 Real-Time (RT) Decryption**

1509 The real-time break and inspect process executes the following steps:

- 1510 1. TLS Server certificates are provisioned on the appropriate TLS Server.
- 1511 2. All TLS Server certificates and private keys are loaded into the middlebox as well.
- 1512 3. The TLS client negotiates a TLS session with the middlebox. Simultaneously, the middlebox ne-
1513 negotiates a new TLS session with the intended destination TLS Server.
- 1514 4. The traffic from the incoming TLS session is decrypted by the middlebox using the session key
1515 for the TLS session to the client.
- 1516 5. The decrypted traffic is forwarded to the RT Analytics Platform.
- 1517 6. The decrypted traffic is copied to the TLS session with the intended destination TLS Server after
1518 being encrypted with the session key for this session.
- 1519 7. The middlebox exports the session key and client-random-id pair of the TLS session between the
1520 middlebox and the intended destination TLS Server to support post-facto decryption. Note that
1521 the session keys will be different for the client-to-B&I session and the B&I-to-server session. The
1522 session keys for the two sessions can be exported.

1523 **6.2.3.2 Post-Facto Decryption (follows RT Decryption steps)**

1524 The demonstration of decryption for post-facto analysis using middlebox B&I processes will execute the
1525 following sequence that follows the real-time decryption sequence shown above:

- 1526 8. The Network Tap captures encrypted packets between the middlebox and server, and forwards
1527 them to the Post-Facto Analytics Platform.
- 1528 9. The Analytics Platform selects the traffic stream to be decrypted.
- 1529 10. Per traffic stream, the Analytics Platform requests the session key from the Key Governance
1530 Platform.
- 1531 11. The traffic is decrypted using the session key.
- 1532 12. Decrypted traffic is available for analysis.

1533 **6.3 Scenarios and Findings**

1534 The TLS 1.3 visibility project encompasses several application scenarios that impact enterprise
1535 compliance, security, and operational challenges. All scenarios address enterprise data center
1536 environments which include on-premises data center and hybrid cloud deployments hosted by a third-
1537 party data center or a public cloud provider. There are a variety of potential communications scenarios
1538 where visibility into communications for compliance, security, and operations are required. These
1539 include outbound traffic, connections across the Internet to the enterprise network boundary, and
1540 communications within the enterprise network between internal systems. This project specifically
1541 focuses on communications within the enterprise network and does not include outbound connections
1542 or communications across the public Internet. Project demonstrations address each of the following
1543 scenarios using both passive inspection and B&I middlebox approaches.

- 1544 ■ Enterprises providing services to customers, partners, and employees must have the ability to
1545 rapidly troubleshoot and fix issues when availability and operational issues occur. An operations
1546 troubleshooting scenario demonstrates the enterprise need to trace transactions through all
1547 tiers of an application, including collection of detailed information such as transaction
1548 identifiers, data payload, and the results of operations performed by each application tier.
1549 Because operational issues can be intermittent and difficult to replicate, the scenario includes
1550 the ability to proactively collect and view detailed historical data that may or may not be
1551 available in logs. Examples of troubleshooting situations include application unavailability and
1552 intermittent system failures. Visibility may be required into communications for network-
1553 attached storage (NAS), identity management systems, databases, routers and switches,
1554 application servers, web servers, load balancers, and firewalls to build a complete picture of the
1555 end-to-end session across the enterprise.
- 1556 ■ Application performance and response times are critical to customer service and time-sensitive
1557 mission-critical applications. Enterprises must be able to proactively detect and isolate
1558 performance issues for multi-tier applications. The performance monitoring scenario involves
1559 rapidly and accurately detecting user performance issues, predicting and resolving customer
1560 performance issues based on upstream degradation, maintaining the ability to rapidly identify
1561 sources of performance issues, monitoring across all mission-critical applications and platforms,
1562 and minimizing performance loads on applications and platforms.

- 1563 ▪ With the widespread threat of cyber-attacks, enterprises must be able to rapidly triage
1564 indicators of compromise (IOCs), quickly distinguishing false positives from real attacks. The
1565 threat triage scenario includes triage, identification, and response to IOCs. IOCs may arise in
1566 network-attached storage, identity management systems, databases, routers and switches,
1567 application servers, web servers, load balancers, and firewalls. They may be found in processes,
1568 open ports, and logs. Performing threat triage may require visibility into current and historical
1569 inbound and outbound communications. Effective performance of threat triage requires rapidly
1570 obtaining a clear picture of system state, reducing triage time with an accurate and detailed
1571 picture of current and historical communications, minimizing reliance on data sources that can
1572 be manipulated by attackers, and using independent data sources for verification.
- 1573 ▪ Following a major compromise, enterprises must be able to establish a clear picture of how the
1574 attack occurred, including each system that was compromised, vulnerabilities that were
1575 exploited, attack methods that were used, and data that was exfiltrated. To be effective,
1576 accurate information must be obtained from independent data sources about all operations
1577 performed by attackers (in case logs were manipulated). This security forensics scenario
1578 includes the ability to trace paths of attacks as they pivot laterally across the internal network of
1579 compromised systems. Affected systems may involve network-attached storage, identity
1580 management systems, databases, routers and switches, application servers, web servers, load
1581 balancers, and firewalls.

1582 6.3.1 Demonstration of Passive Inspection

1583 Passive inspection options support the capture of copies of traffic from monitored network segments,
1584 providing mechanisms to decrypt the copied traffic for immediate analysis, or forwarding encrypted
1585 traffic for storage structured with session information and the appropriate key information. This
1586 architecture does not terminate or otherwise modify traffic between the TLS clients and servers. All
1587 processing works on the copied traffic, not the original traffic.

1588 6.3.1.1 Using Bounded-Lifetime Diffie-Hellman (DH) Keys

1589 For TLS using bounded-lifetime (sometimes called static, when the lifetime is very long) DH session keys,
1590 the key governance platform provisions an agent operating on the TLS server with DH key pairs for the
1591 server to use in place of ephemeral generation. The key governance platform also makes these keys
1592 available to the systems that will decrypt the traffic.

1593 6.3.1.2 Using Exported (Ephemeral) Session Keys

1594 For TLS sessions using ephemeral keys, this architecture uses agents operating on the TLS servers to
1595 capture the session keys at the time they are negotiated. For ephemeral keys, real-time decryption is
1596 accomplished by rapidly sending the TLS session keys to the decryption platform, ideally before it sees
1597 the TLS handshake on the network. In the case of capture of ephemeral key-protected information for
1598 post-facto or historical analysis, these agents register the captured keys with the key governance
1599 platform. These keys can be retrieved from the key governance platform using the TLS session's client-
1600 random-id.

1601 6.3.2 Demonstration of Inspection Using Middleboxes

1602 Some aspect of analytics functions requiring enterprise visibility into its encrypted TLS 1.3 traffic may
1603 require combining network architecture and key-management techniques to achieve operationally
1604 necessary visibility. Necessary analytics functions may include identification of causes of network
1605 performance degradation or failures, key management-based communications failures, detection and
1606 identification of anomalous received data, identification of sources of anomalous data, and detection of
1607 encrypted traffic from unauthorized sources. Therefore, the scope of the project includes demonstration
1608 of an architecture that achieves visibility inside the data center through tools that break and inspect
1609 traffic. These middleboxes are commonly used at the enterprise edge to achieve real-time visibility. In
1610 this demonstration project, we examine deployment within the enterprise and address access to
1611 historical data by leveraging key-management based solutions.

1612 The middlebox architecture supports capturing incoming traffic, providing tapped decrypted traffic to an
1613 analytics platform, storing traffic re-encrypted using new session keys negotiated between the
1614 middlebox device and the client and/or server, and passing re-encrypted traffic from the B&I component
1615 to an enterprise network server for routing to the enterprise's in-house consumers. The architecture
1616 also includes connection by the server and B&I component to a CA. The capture of traffic may be
1617 accomplished between the client and the B&I device, the B&I device and the server, or both. The session
1618 keys between the client and the B&I device, the B&I device and the server, or both need to be provided
1619 to the key governance platform to enable subsequent analysis of captured encrypted data.

1620 **7 Future Build Considerations**

1621 This preliminary draft practice guide reports TLS 1.3 visibility capabilities that have been demonstrated
1622 as of the date of its publication. As stated in Section 6.2, we plan to demonstrate and describe in a
1623 subsequent draft post-facto decryption of traffic protected under exported session keys and using
1624 middleboxes. We also plan to demonstrate and describe some examples of analytics on the captured
1625 traffic that can be conducted using collaborators' analytics tools.

1626 An additional capability that is not within the scope of the current demonstration project but may be
1627 included in future project extensions is client-based monitoring. The options examined by this project
1628 are server-focused rather than client-focused. An approach to TLS 1.3 visibility that has been suggested
1629 involves reliance on enterprise support directly by the client endpoint or using clients via trusted proxy
1630 methods (e.g., SOCKS proxies). This approach is reported to require no potential deviation from RFC
1631 8446 and to ensure that only those TLS clients mandated by local policy (e.g., enterprise management,
1632 parental control, anti-malware protection services) have these monitoring features available, and those
1633 only via opt-in (directly or via their guardian).

1634 **Appendix A List of Acronyms**

AD	Active Directory
AEAD	Authenticated Encryption with Associated Data
API	Application Programming Interface
ASI	(NETSCOUT) Adaptive Session Intelligence
AWS	Amazon Web Services
B&I	Break and Inspect
C2	Command and Control
CA	Certificate Authority
CFIUS	Committee on Foreign Investments in the United States
CLM	Certificate Lifecycle Management
CMS	(Mira) Central Management System
CRADA	Cooperative Research and Development Agreement
CSF	Cybersecurity Framework
DCSA	Defense Counterintelligence and Security Agency
DDoS	Distributed Denial of Service
DH	Diffie-Hellman
DMZ	Demilitarized Zone
DNS	Domain Name System
DoH	DNS over HTTPS
DoQ	DNS over QUIC
DoT	DNS over TLS
DPI	Deep Packet Inspection
DTLS	Datagram Transport Layer Security
ESXi	VMware Purpose-Built Bare Metal Hypervisor
ETO	(Mira) Encrypted Traffic Orchestrator
EVA	(NFR) Encryption Visibility Agent, (NFR) Encryption Visibility Architecture
FIPS	Federal Information Processing Standard
FOCI	Foreign Ownership, Control, or Influence
Gbps	Gigabits per second
HSM	Hardware Security Module
HTTPS	Hypertext Transfer Protocol Secure
IETF	Internet Engineering Task Force
IoC	Indicator of Compromise
IT	Information Technology

ITL	Information Technology Laboratory
JSON	JavaScript Object Notation
KVM	Kernel-based Virtual Machine
LDAP	Lightweight Directory Access Protocol
NAS	Network-Attached Storage
NCCoE	National Cybersecurity Center of Excellence
NDR	Network Detection and Response
NFR	Not for Radio
NIST	National Institute of Standards and Technology
NISTIR	NIST Internal or Interagency Report
OS	Operating System
PKI	Public Key Infrastructure
RBAC	Role-Based Access Control
REST	Representational State Transfer
RFC	Request for Comments
RMF	Risk Management Framework
RSA	Rivest, Shamir, Adleman
RT	Real Time
RTT	Real-Time Text
SaaS	Software-as-a-Service
SAML	Security Assertion Markup Language
SIEM	Security Information and Event Management
SKI	(Nubeva) Session Key Intercept, Symmetric Key Infrastructure
SMTP	Simple Mail Transfer Protocol
SOAR	Security Orchestration, Automation, and Response
SP	Special Publication
SSLO	(F5) SSL Orchestrator
SSO	Single Sign-On
TLS	Transport Layer Security
TMOS	(F5) Traffic Management Operation System
UDP	User Datagram Protocol
vETO	(Mira) Virtual Encrypted Traffic Orchestrator
VM	Virtual Machine
WebUI	Web User Interface
XDR	Extended Detection and Response

1636 **Appendix B Glossary**

1637 We use the terms from NISTIR 7298, *Glossary of Information Security Terms* [18] or IETF RFC 4949,
 1638 *Internet Security Glossary, Version 2* [19] where those references define the terms.

Analytics	The discipline that applies logic and mathematics to data to provide insights for event recognition and for making response decisions. In this project, the function is executed by a set of tools for examining unencrypted payloads to identify undesired characteristics.
Bounded-Lifetime Key	A key variable that is used within the enterprise for decryption in real time or is stored for a period established by an explicit enterprise policy to enable decryption for post-facto security analytics/forensics purposes and is then destroyed in accordance with the policy.
Break and Inspect	A function that taps, decrypts, terminates, and re-encrypts/reinitiates network traffic.
Certificate	A set of data that uniquely identifies a public key (which has a corresponding private key) and an owner that is authorized to use the key pair. The certificate contains the owner's public key and possibly other information and is digitally signed by a certificate authority (i.e., a trusted party), thereby binding the public key to the owner.
Certificate Authority	An authorized entity that stores, signs, and issues digital cryptographic key certificates. It acts to validate identities and bind them to cryptographic key pairs with digital certificates.
Certificate and Key Governance	Functions for securely issuing, monitoring, facilitating, and executing digital X.509 certificates and managing the cryptographic keys exchanged using the certificates.
Client	System entities that request and use a service provided by another system entity called a server. Usually, it is understood that the client and server are automated components of the system, and the client makes the request on behalf of a human user. Clients may initiate encrypted traffic. They are interfaces for human users, devices, applications, and processes to access network functions, including the requesting of certificates and keys.
Cryptography	The discipline that embodies the principles, means, and methods for the transformation of data to hide their semantic content, prevent their unauthorized use, or prevent their undetected modification. It embodies the principles, means, and methods for providing information security, including confidentiality, data integrity, non-repudiation, and authenticity.
Decryption	The process of a confidentiality mode that transforms encrypted data into the original usable data.
Deep Packet Inspection	A form of packet filtering that locates, identifies, classifies, and reroutes or blocks packets with specific data or code payloads that conventional packet filtering, which examines only packet headers, cannot detect.

DevOps	A combination of the terms development and operations; meant to represent a collaborative or shared approach to the tasks performed by a company's application development and IT operations teams.
Diffie-Hellman	A method used to securely exchange or establish secret keys across an insecure network. Ephemeral Diffie-Hellman is used to create temporary or single-use secret keys.
Encryption	Cryptographic transformation of data (called "plaintext") into a form (called "ciphertext") that conceals the data's original meaning to prevent it from being known or used. If the transformation is reversible, the corresponding reversal process is called "decryption," which is a transformation that restores encrypted data to its original state.
Endpoint Agent	A lightweight background application installed on a device's operating system to constantly assess it for vulnerabilities.
Ephemeral Key	A cryptographic key that is generated for each execution of a key-establishment process and that meets other requirements of the key type (e.g., unique to each message or session).
Key	A numerical value used to control cryptographic operations, such as decryption, encryption, signature generation, or signature verification. Usually, a sequence of random or pseudorandom bits used initially to set up and periodically change the operations performed in cryptographic operations for the purpose of encrypting or decrypting electronic signals, or for producing another key.
Key Capture	Captures of session keys at the time they are negotiated.
Key Management	The handling of cryptographic keys and other related security parameters (e.g., passwords) during the entire life cycle of the keys, including their generation, storage, establishment, entry and output, and destruction.
Key Registration	A function in the lifecycle of a cryptographic key; the process of a registration authority officially recording the keying material.
Key Source	A FIPS 140-validated entity that securely generates cryptographic keys and key pairs that are used in cryptography.
Kubernetes	A portable, extensible, open-source platform for managing containerized workloads and services, that facilitates both declarative configuration and automation.
Middlebox	A networking device that transforms, inspects, filters, and manipulates traffic for purposes other than packet forwarding. In this project, the device is used to break and inspect enterprise network traffic.
Network Tap	A component that provides a copy of traffic from a network segment. It is typically used in network security applications to monitor traffic and identify malicious activity or security threats.
Post-Facto	From or by an after act, or thing done afterward; in consequence of a subsequent act; retrospective.

Private Key	The secret part of an asymmetric key pair that is typically used to digitally sign or decrypt data.
Public Key	The public part of an asymmetric key pair that is typically used to verify signatures or encrypt data.
Public Key Certificate	A digital document issued and digitally signed by the private key of a certificate authority that binds an identifier to a cardholder through a public key. The certificate indicates that the cardholder identified in the certificate has sole control and access to the private key.
Public Key Infrastructure	A framework that is established to issue, maintain, and revoke public key certificates.
QUIC	A UDP-based multiplexed and secure transport protocol.
Real-Time	A function which conducts operations that must guarantee response times within a specified time or window of time, usually relatively short.
SecOps	A combination of the terms security and operations; a methodology that IT managers implement to enhance the connection, collaboration, and communication between IT security and IT operations teams.
Secret Key	A cryptographic key that is used with a (symmetric) cryptographic algorithm that is uniquely associated with one or more entities and is not made public. The use of the term “secret” in this context does not imply a classification level, but rather implies the need to protect the key from disclosure.
Server	A system entity that provides services in response to requests from other system entities called clients.
Symmetric Cryptography	A cryptographic algorithm that uses the same secret key for its operation and, if applicable, for reversing the effects of the operation (e.g., an AES key for encryption and decryption).
Transport Layer Security	A security protocol providing privacy and data integrity between two communicating applications.
TLS Server	The counterparty for encrypted traffic that generates session keys, negotiates encryption protocols, and connects to key management infrastructure.

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