

**NIST SPECIAL PUBLICATION 1800-36D**

---

# Trusted Internet of Things (IoT) Device Network-Layer Onboarding and Lifecycle Management

Enhancing Internet Protocol-Based IoT Device and Network Security

---

**Volume D:**  
**Functional Demonstrations**

**Paul Watrobski**

**Murugiah Souppaya**

National Cybersecurity Center of Excellence  
Information Technology Laboratory

**Chelsea Deane**

**Joshua Klosterman**

**Charlie Rearick**

**Blaine Mulugeta**

**Susan Symington**

The MITRE Corporation  
McLean, Virginia

May 2023

PRELIMINARY DRAFT

This publication is available free of charge from

<https://www.nccoe.nist.gov/projects/trusted-iot-device-network-layer-onboarding-and-lifecycle-management>



1 **DISCLAIMER**

2 Certain commercial entities, equipment, products, or materials may be identified by name or company  
3 logo or other insignia in order to acknowledge their participation in this collaboration or to describe an  
4 experimental procedure or concept adequately. Such identification is not intended to imply special  
5 status or relationship with NIST or recommendation or endorsement by NIST or NCCoE; neither is it  
6 intended to imply that the entities, equipment, products, or materials are necessarily the best available  
7 for the purpose.

8 National Institute of Standards and Technology Special Publication 1800-36D, Natl. Inst. Stand. Technol.  
9 Spec. Publ. 1800-36D, 28 pages, May 2023, CODEN: NSPUE2

10 **FEEDBACK**

11 You can improve this guide by contributing feedback. As you review and adopt this solution for your  
12 own organization, we ask you and your colleagues to share your experience and advice with us.

13 Comments on this publication may be submitted to: [iot-onboarding@nist.gov](mailto:iot-onboarding@nist.gov).

14 Public comment period: May 3, 2023 through June 20, 2023

15 National Cybersecurity Center of Excellence  
16 National Institute of Standards and Technology  
17 100 Bureau Drive  
18 Mailstop 2002  
19 Gaithersburg, MD 20899  
20 Email: [nccoe@nist.gov](mailto:nccoe@nist.gov)

## 21 **NATIONAL CYBERSECURITY CENTER OF EXCELLENCE**

22 The National Cybersecurity Center of Excellence (NCCoE), a part of the National Institute of Standards  
23 and Technology (NIST), is a collaborative hub where industry organizations, government agencies, and  
24 academic institutions work together to address businesses' most pressing cybersecurity issues. This  
25 public-private partnership enables the creation of practical cybersecurity solutions for specific  
26 industries, as well as for broad, cross-sector technology challenges. Through consortia under  
27 Cooperative Research and Development Agreements (CRADAs), including technology partners—from  
28 Fortune 50 market leaders to smaller companies specializing in information technology security—the  
29 NCCoE applies standards and best practices to develop modular, adaptable example cybersecurity  
30 solutions using commercially available technology. The NCCoE documents these example solutions in  
31 the NIST Special Publication 1800 series, which maps capabilities to the NIST Cybersecurity Framework  
32 and details the steps needed for another entity to re-create the example solution. The NCCoE was  
33 established in 2012 by NIST in partnership with the State of Maryland and Montgomery County,  
34 Maryland.

35 To learn more about the NCCoE, visit <https://www.nccoe.nist.gov/>. To learn more about NIST, visit  
36 <https://www.nist.gov>.

## 37 **NIST CYBERSECURITY PRACTICE GUIDES**

38 NIST Cybersecurity Practice Guides (Special Publication 1800 series) target specific cybersecurity  
39 challenges in the public and private sectors. They are practical, user-friendly guides that facilitate the  
40 adoption of standards-based approaches to cybersecurity. They show members of the information  
41 security community how to implement example solutions that help them align with relevant standards  
42 and best practices, and provide users with the materials lists, configuration files, and other information  
43 they need to implement a similar approach.

44 The documents in this series describe example implementations of cybersecurity practices that  
45 businesses and other organizations may voluntarily adopt. These documents do not describe regulations  
46 or mandatory practices, nor do they carry statutory authority.

## 47 **KEYWORDS**

48 *application-layer onboarding; bootstrapping; Internet of Things (IoT); Manufacturer Usage Description*  
49 *(MUD); network-layer onboarding; onboarding; Wi-Fi Easy Connect.*

50 **ACKNOWLEDGMENTS**

51 We are grateful to the following individuals for their generous contributions of expertise and time.

Name	Organization
Amogh Guruprasad Deshmukh	Aruba, a Hewlett Packard Enterprise company
Dan Harkins	Aruba, a Hewlett Packard Enterprise company
Danny Jump	Aruba, a Hewlett Packard Enterprise company
Andy Dolan	CableLabs
Kyle Haefner	CableLabs
Craig Pratt	CableLabs
Darshak Thakore	CableLabs
Bart Brinkman	Cisco
Eliot Lear	Cisco
Peter Romness	Cisco
Tyler Baker	Foundries.io
George Grey	Foundries.io
David Griego	Foundries.io
Fabien Gremaud	Kudelski IoT
Brecht Wyseur	Kudelski IoT
Faith Ryan	The MITRE Corporation

Name	Organization
Nicholas Allot	NquiringMinds
Toby Ealden	NquiringMinds
Alois Klink	NquiringMinds
John Manslow	NquiringMinds
Antony McCaigue	NquiringMinds
Alexandru Mereacre	NquiringMinds
Craig Rafter	NquiringMinds
Loic Cavaille	NXP Semiconductors
Mihai Chelalau	NXP Semiconductors
Julien Delplancke	NXP Semiconductors
Anda-Alexandra Dorneanu	NXP Semiconductors
Todd Nuzum	NXP Semiconductors
Nicusor Penisoara	NXP Semiconductors
Laurentiu Tudor	NXP Semiconductors
Michael Richardson	Sandelman Software Works
Karen Scarfone	Scarfone Cybersecurity
Mike Dow	Silicon Labs
Steve Egerter	Silicon Labs

Name	Organization
Steve Clark	WISeKey
Pedro Fuentes	WISeKey
Gweltas Radenac	WISeKey
Kalvin Yang	WISeKey

52 The Technology Partners/Collaborators who participated in this build submitted their capabilities in  
 53 response to a notice in the Federal Register. Respondents with relevant capabilities or product  
 54 components were invited to sign a Cooperative Research and Development Agreement (CRADA) with  
 55 NIST, allowing them to participate in a consortium to build this example solution. We worked with:

Technology Collaborators		
<a href="#">Aruba</a> , a Hewlett Packard Enterprise company	<a href="#">Kudelski IoT</a>	<a href="#">Sandelman Software Works</a>
<a href="#">CableLabs</a>	<a href="#">NquiringMinds</a>	<a href="#">Silicon Labs</a>
<a href="#">Cisco</a>	<a href="#">NXP Semiconductors</a>	<a href="#">WISeKey</a>
<a href="#">Foundries.io</a>	<a href="#">Open Connectivity Foundation (OCF)</a>	

56 **DOCUMENT CONVENTIONS**

57 The terms “shall” and “shall not” indicate requirements to be followed strictly to conform to the  
 58 publication and from which no deviation is permitted. The terms “should” and “should not” indicate that  
 59 among several possibilities, one is recommended as particularly suitable without mentioning or  
 60 excluding others, or that a certain course of action is preferred but not necessarily required, or that (in  
 61 the negative form) a certain possibility or course of action is discouraged but not prohibited. The terms  
 62 “may” and “need not” indicate a course of action permissible within the limits of the publication. The  
 63 terms “can” and “cannot” indicate a possibility and capability, whether material, physical, or causal.

## CALL FOR PATENT CLAIMS

64 This public review includes a call for information on essential patent claims (claims whose use would be  
65 required for compliance with the guidance or requirements in this Information Technology Laboratory  
66 (ITL) draft publication). Such guidance and/or requirements may be directly stated in this ITL Publication  
67 or by reference to another publication. This call also includes disclosure, where known, of the existence  
68 of pending U.S. or foreign patent applications relating to this ITL draft publication and of any relevant  
69 unexpired U.S. or foreign patents.

70 ITL may require from the patent holder, or a party authorized to make assurances on its behalf, in  
71 written or electronic form, either:

72 a) assurance in the form of a general disclaimer to the effect that such party does not hold and does not  
73 currently intend holding any essential patent claim(s); or

74 b) assurance that a license to such essential patent claim(s) will be made available to applicants desiring  
75 to utilize the license for the purpose of complying with the guidance or requirements in this ITL draft  
76 publication either:

- 77 1. under reasonable terms and conditions that are demonstrably free of any unfair discrimination;  
78 or  
79 2. without compensation and under reasonable terms and conditions that are demonstrably free  
80 of any unfair discrimination.

81 Such assurance shall indicate that the patent holder (or third party authorized to make assurances on its  
82 behalf) will include in any documents transferring ownership of patents subject to the assurance,  
83 provisions sufficient to ensure that the commitments in the assurance are binding on the transferee,  
84 and that the transferee will similarly include appropriate provisions in the event of future transfers with  
85 the goal of binding each successor-in-interest.

86 The assurance shall also indicate that it is intended to be binding on successors-in-interest regardless of  
87 whether such provisions are included in the relevant transfer documents.

88 Such statements should be addressed to: [iot-onboarding@nist.gov](mailto:iot-onboarding@nist.gov).

89 **Contents**

90 **1 Introduction .....1**

91 1.1 How to Use This Guide ..... 1

92 **2 Functional Demonstration Playbook .....3**

93 2.1 Scenario 1: Trusted Network-Layer Onboarding ..... 3

94 2.2 Scenario 2: Trusted Application-Layer Onboarding ..... 4

95 2.3 Scenario 3: Re-Onboarding a Device ..... 5

96 2.4 Scenario 4: Ongoing Device Validation ..... 6

97 2.5 Scenario 5: Establishment and Maintenance of Credential and Device Security

98 Posture Throughout the Lifecycle ..... 7

99 **3 Functional Demonstration Results .....8**

100 3.1 Build 1 Demonstration Results ..... 8

101 3.2 Build 2 Demonstration Results ..... 14

102 **List of Tables**

103 **Table 2-1 Scenario 1 Trusted Network-Layer Onboarding Capabilities That May Be Demonstrated.....4**

104 **Table 2-2 Scenario 2 Trusted Application-Layer Onboarding Capabilities That May Be Demonstrated...5**

105 **Table 2-3 Scenario 3 Re-Onboarding Capabilities That May Be Demonstrated.....5**

106 **Table 2-4 Scenario 4 Ongoing Device Validation Capabilities That May Be Demonstrated.....6**

107 **Table 2-5 Scenario 5 Credential and Device Posture Establishment and Maintenance Capabilities That**

108 **May Be Demonstrated .....7**

109 **Table 3-1 Build 1 Capabilities Demonstrated .....8**

110 **Table 3-2 Build 2 Capabilities Demonstrated .....14**



## 111 1 Introduction

112 In this project, the National Cybersecurity Center of Excellence (NCCoE) applies standards,  
113 recommended practices, and commercially available technology to demonstrate various mechanisms for  
114 trusted network-layer onboarding of IoT devices and lifecycle management of those devices. We show  
115 how to provision network credentials to IoT devices in a trusted manner and maintain a secure posture  
116 throughout the device lifecycle.

117 This volume of the NIST Cybersecurity Practice Guide describes functional demonstration scenarios that  
118 are designed to showcase the security capabilities and characteristics supported by trusted IoT device  
119 network-layer onboarding and lifecycle management solutions. Section 2, [Functional Demonstration](#)  
120 [Playbook](#), defines the scenarios and lists the capabilities that can be showcased in each one. Section 3,  
121 [Functional Demonstration Results](#), reports which capabilities have been demonstrated by each of the  
122 project's implemented solutions.

### 123 1.1 How to Use This Guide

124 This NIST Cybersecurity Practice Guide demonstrates a standards-based reference design for  
125 implementing trusted IoT device network-layer onboarding and lifecycle management and describes  
126 various example implementations of this reference design. Each of these implementations, which are  
127 known as *builds*, are standards-based and are designed to help provide assurance that networks are not  
128 put at risk as new IoT devices are added to them and also to help safeguard IoT devices from being  
129 taken over by unauthorized networks. The reference design described in this practice guide is modular  
130 and can be deployed in whole or in part, enabling organizations to incorporate trusted IoT device  
131 network-layer onboarding and lifecycle management into their legacy environments according to goals  
132 that they have prioritized based on risk, cost, and resources.

133 NIST is adopting an agile process to publish this content. Each volume is being made available as soon as  
134 possible rather than delaying release until all volumes are completed. Work continues on implementing  
135 the example solutions and developing other parts of the content. As a preliminary draft, we will publish  
136 at least one additional draft for public comment before it is finalized.

137 When complete, this guide will contain five volumes:

- 138     ▪ NIST SP 1800-36A: *Executive Summary* – why we wrote this guide, the challenge we address,  
139         why it could be important to your organization, and our approach to solving this challenge
- 140     ▪ NIST SP 1800-36B: *Approach, Architecture, and Security Characteristics* – what we built and why
- 141     ▪ NIST SP 1800-36C: *How-To Guides* – instructions for building the example implementations,  
142         including all the security-relevant details that would allow you to replicate all or parts of this  
143         project

- 144       ▪ NIST SP 1800-36D: *Functional Demonstrations* – use cases that have been defined to showcase  
145 trusted IoT device network-layer onboarding and lifecycle management security capabilities,  
146 and the results of demonstrating these use cases with each of the example implementations  
147 **(you are here)**
- 148       ▪ NIST SP 1800-36E: *Risk and Compliance Management* – risk analysis and mapping of trusted IoT  
149 device network-layer onboarding and lifecycle management security characteristics to  
150 cybersecurity standards and recommended practices

151 Depending on your role in your organization, you might use this guide in different ways:

152 **Business decision makers, including chief security and technology officers**, will be interested in the  
153 *Executive Summary, NIST SP 1800-36A*, which describes the following topics:

- 154       ▪ challenges that enterprises face in migrating to the use of trusted IoT device network-layer  
155 onboarding
- 156       ▪ example solutions built at the NCCoE
- 157       ▪ benefits of adopting the example solution

158 **Technology or security program managers** who are concerned with how to identify, understand, assess,  
159 and mitigate risk will be interested in *NIST SP 1800-36B*, which describes what we did and why.

160 Also, Section 4 of *NIST SP 1800-36E* will be of particular interest. Section 4, *Mappings*, maps logical  
161 components of the general trusted IoT device network-layer onboarding and lifecycle management  
162 reference design to security characteristics listed in various cybersecurity standards and recommended  
163 practices documents, including *Framework for Improving Critical Infrastructure Cybersecurity* (NIST  
164 Cybersecurity Framework) and *Security and Privacy Controls for Information Systems and Organizations*  
165 (NIST SP 800-53).

166 You might share the *Executive Summary, NIST SP 1800-36A*, with your leadership team members to help  
167 them understand the importance of using standards-based trusted IoT device network-layer onboarding  
168 and lifecycle management implementations.

169 **IT professionals** who want to implement similar solutions will find the whole practice guide useful. You  
170 can use the how-to portion of the guide, *NIST SP 1800-36C*, to replicate all or parts of the builds created  
171 in our lab. The how-to portion of the guide provides specific product installation, configuration, and  
172 integration instructions for implementing the example solution. We do not re-create the product  
173 manufacturers' documentation, which is generally widely available. Rather, we show how we  
174 incorporated the products together in our environment to create an example solution. Also, you can use  
175 *Functional Demonstrations, NIST SP 1800-36D*, which provides the use cases that have been defined to  
176 showcase trusted IoT device network-layer onboarding and lifecycle management security capabilities  
177 and the results of demonstrating these use cases with each of the example implementations.

178 This guide assumes that IT professionals have experience implementing security products within the  
179 enterprise. While we have used a suite of commercial products to address this challenge, this guide does  
180 not endorse these particular products. Your organization can adopt this solution or one that adheres to  
181 these guidelines in whole, or you can use this guide as a starting point for tailoring and implementing  
182 parts of a trusted IoT device network-layer onboarding and lifecycle management. Your organization's  
183 security experts should identify the products that will best integrate with your existing tools and IT  
184 system infrastructure. We hope that you will seek products that are congruent with applicable standards  
185 and recommended practices.

186 A NIST Cybersecurity Practice Guide does not describe “the” solution, but example solutions. This is a  
187 preliminary draft guide. As the project progresses, the preliminary draft will be updated, and additional  
188 volumes will also be released for comment. We seek feedback on the publication’s contents and  
189 welcome your input. Comments, suggestions, and success stories will improve subsequent versions of  
190 this guide. Please contribute your thoughts to [iot-onboarding@nist.gov](mailto:iot-onboarding@nist.gov).

## 191 **2 Functional Demonstration Playbook**

192 Five scenarios have been defined that demonstrate capabilities related to various aspects of trusted IoT  
193 device network-layer onboarding, application-layer onboarding, and device lifecycle management.  
194 These scenarios are as follows:

- 195     ▪ Scenario 1: Trusted Network-Layer Onboarding
- 196     ▪ Scenario 2: Trusted Application-Layer Onboarding
- 197     ▪ Scenario 3: Re-Onboarding a Device
- 198     ▪ Scenario 4: Ongoing Device Validation
- 199     ▪ Scenario 5: Establishment and Maintenance of Credential and Device Security Posture  
200         Throughout the Lifecycle

201 We executed the trusted network-layer onboarding and lifecycle management scenarios using both  
202 onboarding builds that have been implemented as part of this project. The capabilities that were  
203 demonstrated depend both on the features of the network-layer onboarding protocol (i.e., Wi-Fi Easy  
204 Connect) that the build supports and on any additional mechanisms the build may have integrated (e.g.,  
205 application-layer onboarding).

206 Sections 2.1 through 2.5 define each of the five onboarding scenarios.

### 207 **2.1 Scenario 1: Trusted Network-Layer Onboarding**

208 This scenario involves trusted network-layer onboarding of an authorized IoT device to a local network  
209 that is operated by the owner of the IoT device. Onboarding is performed after the device has booted up  
210 and is placed in onboarding mode. Because the organization that is operating the local network is the

211 owner of the IoT device, the device is authorized to onboard to the network and the network is  
 212 authorized to onboard the device. In this scenario, after the identities of the device and the network are  
 213 authenticated, a *network onboarding component*—a logical component authorized to onboard devices  
 214 on behalf of the network—provisions unique network credentials to the device over a secure channel.  
 215 These network credentials are not just specific to the device; they are also specific to the local network.  
 216 The device then uses these credentials to connect to the network. Table 2-1 lists the capabilities that  
 217 may be demonstrated in this scenario.

218 **Table 2-1 Scenario 1 Trusted Network-Layer Onboarding Capabilities That May Be Demonstrated**

Demo ID	Capability	Description
S1.C1	Device Authentication	The onboarding mechanism authenticates the device's identity.
S1.C2	Device Authorization	The onboarding mechanism verifies that the device is authorized to onboard to the network.
S1.C3	Network Authentication	The device can verify the network's identity.
S1.C4	Network Authorization	The device can verify that the network is authorized to take control of it.
S1.C5	Secure Local Credentialing	The onboarding mechanism securely provisions local network credentials to the device.
S1.C6	Secure Storage	The credentials are provisioned to secure hardware-backed storage on the device.
S1.C7	Network Selection	The onboarding mechanism provides the IoT device with the identifier of the network to which the device should onboard.
S1.C8	Interoperability	The network-layer onboarding mechanism can onboard two types of IoT devices (e.g., different device vendors and models).

## 219 **2.2 Scenario 2: Trusted Application-Layer Onboarding**

220 This scenario involves trusted application-layer onboarding that is performed automatically on an IoT  
 221 device after the device connects to a network. As a result, this scenario can be thought of as a series of  
 222 steps that would be performed as an extension of Scenario 1. As part of these steps, the device  
 223 automatically mutually authenticates with a trusted application-layer onboarding service and establishes  
 224 an encrypted connection to that application-layer onboarding service so the service can provision the  
 225 device with application-layer credentials. The application-layer credentials could, for example, enable  
 226 the device to securely connect to a trusted lifecycle management service to check for available updates  
 227 or patches. For the application-layer onboarding mechanism to be trusted, it must establish an  
 228 encrypted connection to the device without exposing any information that must be protected to ensure  
 229 the confidentiality of that connection. Two types of application-layer onboarding are defined in NIST SP

230 1800-36B: *streamlined* and *independent*. Table 2-2 lists the capabilities that may be demonstrated in this  
 231 scenario, including both types of application-layer onboarding.

232 **Table 2-2 Scenario 2 Trusted Application-Layer Onboarding Capabilities That May Be Demonstrated**

Demo ID	Capability	Description
S2.C1	Automatic Initiation of Streamlined Application-Layer Onboarding	The device can automatically (i.e., with no manual intervention required) initiate trusted application-layer onboarding after performing network-layer onboarding and connecting to the network. In this case, the application-layer onboarding bootstrapping information has been securely conveyed to the device during the network-layer onboarding process.
S2.C2	Automatic Initiation of Independent Application-Layer Onboarding	The device can automatically (i.e., with no manual intervention required) initiate trusted application-layer onboarding after performing network-layer onboarding and connecting to the network. In this case, the application-layer onboarding bootstrapping information has been pre-provisioned to the device by the device manufacturer or integrator (e.g., as part of an application that has been installed on the device during the manufacturing process).
S2.C3	Trusted Application-Layer Onboarding	The device and a trusted application service can establish an encrypted connection without exposing any information that must be protected to ensure the confidentiality of the connection. They can then use that secure association to exchange application-layer information.

### 233 2.3 Scenario 3: Re-Onboarding a Device

234 This scenario involves re-onboarding an IoT device to a network after deleting its network credentials so  
 235 that the device can be re-credentialed and re-connected. If the device also supports application-layer  
 236 onboarding, application-layer onboarding should also be able to be performed again after the device  
 237 reconnects to the network. Table 2-3 lists the capabilities that may be demonstrated in this scenario.

238 **Table 2-3 Scenario 3 Re-Onboarding Capabilities That May Be Demonstrated**

Demo ID	Capability	Description
S3.C1	Credential Deletion	The device's network credential can be deleted.
S3.C2	De-Credentialed Device Cannot Connect	After the device's network credential has been deleted, the device is not able to connect to or communicate on the network securely.

Demo ID	Capability	Description
S3.C3	Re-Onboarding (network layer)	After the device's network credential has been deleted, the network-layer onboarding mechanism can securely re-provision a network credential to the device, which the device can then use to connect to the network securely.
S3.C4	Re-Onboarding (application layer)	After the device's network and application-layer credentials have been deleted and the device has been re-onboarded at the network layer and re-connected to the network, the device can again perform trusted application-layer onboarding.

## 239 2.4 Scenario 4: Ongoing Device Validation

240 This scenario involves ongoing validation of a device, not only as part of a trusted boot or attestation  
 241 process prior to permitting the device to undergo network-layer onboarding, but also after the device  
 242 has connected to the network. It may involve one or more security mechanisms that are designed to  
 243 evaluate, validate, or respond to device trustworthiness using methods such as examining device  
 244 behavior, ensuring device authenticity and integrity, and assigning the device to a specific network  
 245 segment based on its conformance to policy criteria. Table 2-4 lists the capabilities that may be  
 246 demonstrated in this scenario.

247 **Table 2-4 Scenario 4 Ongoing Device Validation Capabilities That May Be Demonstrated**

Demo ID	Capability	Description
S4.C1	Device Attestation (initial)	The network-layer onboarding mechanism requires successful device attestation prior to permitting the device to be onboarded.
S4.C2	Device Attestation (application layer)	The application-layer onboarding mechanism requires successful device attestation prior to permitting the device to be onboarded.
S4.C3	Device Attestation (ongoing)	Successful device attestation is required prior to permitting the device to perform some operation (e.g., accessing a high-value resource).
S4.C4	Local Network Segmentation (initial)	Upon connection, the IoT device is assigned to some local network segment in accordance with policy, which may include an assessment of its security posture.
S4.C5	Behavioral Analysis	Device behavior is observed to determine whether the device meets the policy criteria required to be permitted to perform a given operation (e.g., to access a high-value resource or be placed on a given network segment).

Demo ID	Capability	Description
S4.C6	Local Network Segmentation (ongoing)	The IoT device can be reassigned to a different network segment based on ongoing assessments of its conformance to policy criteria.

## 248 2.5 Scenario 5: Establishment and Maintenance of Credential and Device 249 Security Posture Throughout the Lifecycle

250 This scenario involves steps used to help establish and maintain the security posture of both the device's  
251 network credentials and the device itself. It includes the capability to download and validate the device's  
252 most recent firmware updates, to securely integrate with a device intent enforcement mechanism (e.g.,  
253 Manufacturer Usage Description (MUD) ([RFC 8520](#))), to keep the device updated and patched, and to  
254 establish and maintain the device's network credentials by provisioning X.509 certificates to the device  
255 and updating expired network credentials. Table 2-5 lists the capabilities that may be demonstrated in  
256 this scenario.

257 **Table 2-5 Scenario 5 Credential and Device Posture Establishment and Maintenance Capabilities That**  
258 **May Be Demonstrated**

Demo ID	Capability	Description
S5.C1	Trusted Firmware Updates	The device can download the most recent firmware update and verify its signature before it is installed.
S5.C2	Credential Certificate Provisioning	The onboarding mechanism can interact with a certificate authority to sign a device's X.509 certificate and provision it onto the device.
S5.C3	Credential Update	The device's network credential can be updated after it expires.
S5.C4	Server Attestation	Successful server attestation is required prior to permitting the server to perform some operation on the device (e.g., prior to downloading and installing updates onto the device).
S5.C5	Secure Integration with MUD	The network-layer onboarding mechanism can convey necessary device intent information (e.g., the IoT device's MUD URL) to the network in encrypted form, thereby securely binding this information to the device and ensuring its confidentiality and integrity.
S5.C6	Lifecycle Management Establishment	The device has a lifecycle management service and can automatically establish a secure association with it after performing network-layer onboarding and connecting to the network.

## 259 3 Functional Demonstration Results

260 This section records the capabilities that were demonstrated for each of the builds.

### 261 3.1 Build 1 Demonstration Results

262 Table 3-1 lists the capabilities that were demonstrated by Build 1.

263 Table 3-1 Build 1 Capabilities Demonstrated

Demo ID	Capability	Description	Demonstrated?	Explanation/Notes
<b>Scenario 1: Trusted Network-Layer Onboarding</b>				
S1.C1	Device Authentication	The onboarding mechanism authenticates the device's identity.	Yes	DPP performs device authentication.
S1.C2	Device Authorization	The onboarding mechanism verifies that the device is authorized to onboard to the network.	Yes	When the device's URI is found on the HPE cloud service, this verifies that the device is authorized to onboard to the network.
S1.C3	Network Authentication	The device can verify the network's identity.	No	This could be supported by providing the IoT device with the DPP URI of the network, but the UXI sensor used in this build lacks the user interface needed to do so.
S1.C4	Network Authorization	The device can verify that the network is authorized to take control of it.	Yes	The network that possesses the device's public key is implicitly authorized to onboard the device by virtue of its knowledge of the device's public key. While this is not cryptographic, it does provide a certain level of assurance that the "wrong" network doesn't take control of the device.



Demo ID	Capability	Description	Demonstrated?	Explanation/Notes
S1.C5	Secure Local Credentialing	The onboarding mechanism securely provisions local network credentials to the device.	Yes	DPP provisions the device's network credentials over an encrypted channel.
S1.C6	Secure Storage	The credentials are provisioned to secure hardware-backed storage on the device.	Yes	The bootstrapping credentials are stored in a TPM 2.0 hardware enclave.
S1.C7	Network Selection	The onboarding mechanism provides the IoT device with the identifier of the network to which the device should onboard.	Yes	The network responds to device chirps.
S1.C8	Interoperability	The network-layer onboarding mechanism can onboard two types of IoT devices (e.g., different device vendors and models).	Yes	IoT devices from Build 2 were successfully onboarded in Build 1.
<b>Scenario 2: Trusted Application-Layer Onboarding</b>				
S2.C1	Automatic Initiation of Streamlined Application-Layer Onboarding	The device can automatically (i.e., with no manual intervention required) initiate trusted application-layer onboarding after performing network-layer onboarding and connecting to the network. In this case, the application-layer onboarding bootstrapping information has been securely conveyed to the device during the	No	Not supported in this build.

Demo ID	Capability	Description	Demonstrated?	Explanation/Notes
		network-layer onboarding process.		
S2.C2	Automatic Initiation of Independent Application-Layer Onboarding	The device can automatically (i.e., with no manual intervention required) initiate trusted application-layer onboarding after performing network-layer onboarding and connecting to the network. In this case, the application-layer onboarding bootstrapping information has been pre-provisioned to the device by the device manufacturer or integrator (e.g., as part of an application that has been installed on the device during the manufacturing process).	Yes	Once onboarded, the UXI sensor automatically initiates application-layer onboarding to the UXI application.
S2.C3	Trusted Application-Layer Onboarding	The device and a trusted application service can establish an encrypted connection without exposing any information that must be protected to ensure the confidentiality of the connection. They can then use that secure association to exchange application-layer information.	Yes	Once onboarded, the UXI sensor establishes a secure connection with the UXI cloud, which provisions the sensor with its credentials for the UXI application. Later, the sensor uploads data to the UXI application securely.
<b>Scenario 3: Re-Onboarding a Device</b>				

Demo ID	Capability	Description	Demonstrated?	Explanation/Notes
S3.C1	Credential Deletion	The device's network credential can be deleted.	Yes	Factory reset and manual credential removal were leveraged.
S3.C2	De-Credentialed Device Cannot Connect	After the device's network credential has been deleted, the device is not able to connect to or communicate on the network securely.	Yes	Observed.
S3.C3	Re-Onboarding (network layer)	After the device's network credential has been deleted, the network-layer onboarding mechanism can security re-provision a network credential to the device, which the device can then use to connect to the network securely.	Yes	Observed.
S3.C4	Re-Onboarding (application layer)	After the device's network and application-layer credentials have been deleted and the device has been re-onboarded at the network layer and re-connected to the network, the device can again perform trusted application-layer onboarding.	Yes	Observed.
<b>Scenario 4: Ongoing Device Validation</b>				
S4.C1	Device Attestation (initial)	The network-layer onboarding mechanism requires successful device attestation prior	No	Not supported in this build.

Demo ID	Capability	Description	Demonstrated?	Explanation/Notes
		to permitting the device to be onboarded.		
S4.C2	Device Attestation (application layer)	The application-layer onboarding mechanism requires successful device attestation prior to permitting the device to be onboarded.	No	Not supported in this build.
S4.C3	Device Attestation (ongoing)	Successful device attestation is required prior to permitting the device to perform some operation (e.g., accessing a high-value resource).	No	Not supported in this build.
S4.C4	Local Network Segmentation (initial)	Upon connection, the IoT device is assigned to some local network segment in accordance with policy, which may include an assessment of its security posture.	No	Not demonstrated in this phase.
S4.C5	Behavioral Analysis	Device behavior is observed to determine whether the device meets the policy criteria required to be permitted to perform a given operation (e.g., to access a high-value resource or be placed on a given network segment).	No	Not supported in this build.
S4.C6	Local Network Segmentation (ongoing)	The IoT device can be reassigned to a different network segment based on ongoing assessments	No	Not supported in this build.

Demo ID	Capability	Description	Demonstrated?	Explanation/Notes
		of its conformance to policy criteria.		
<b>Scenario 5: Establishment and Maintenance of Credential and Device Security Posture Throughout the Lifecycle</b>				
S5.C1	Trusted Firmware Updates	The device can download the most recent firmware update and verify its signature before it is installed.	No	Not supported in this build.
S5.C2	Credential Certificate Provisioning	The onboarding mechanism can interact with a certificate authority to sign a device's X.509 certificate and provision it onto the device.	Yes	This capability has been successfully demonstrated. Ongoing work will look to demonstrate the capability with the WISeKey CA.
S5.C3	Credential Update	The device's network credential can be updated after it expires.	No	Not demonstrated in this phase.
S5.C4	Server Attestation	Successful server attestation is required prior to permitting the server to perform some operation on the device (e.g., prior to downloading and installing updates onto the device).	No	Not supported in this build.
S5.C5	Secure Integration with MUD	The network-layer onboarding mechanism can convey necessary device intent information (e.g., the IoT device's MUD URL) to the network in encrypted form, thereby securely binding this information to the	No	Supported by DPP, but not demonstrated because Build 1 is not integrated with MUD or any other device intent enforcement mechanism.

Demo ID	Capability	Description	Demonstrated?	Explanation/Notes
		device and ensuring its confidentiality and integrity.		
S5.C6	Lifecycle Management Establishment	The device has a lifecycle management service and can automatically establish a secure association with it after performing network-layer onboarding and connecting to the network.	No	Not supported in this build.

## 264 3.2 Build 2 Demonstration Results

265 Table 3-2 lists the capabilities that were demonstrated by Build 2.

266 Table 3-2 Build 2 Capabilities Demonstrated

Demo ID	Capability	Description	Demonstrated?	Explanation/Notes
<b>Scenario 1: Trusted Network-Layer Onboarding</b>				
S1.C1	Device Authentication	The onboarding mechanism authenticates the device's identity.	Yes	DPP performs device authentication.
S1.C2	Device Authorization	The onboarding mechanism verifies that the device is authorized to onboard to the network.	Yes	Only devices that have been added/approved by the administrator are onboarded. When the device's URI is found, the controller authorizes the device to join the network.
S1.C3	Network Authentication	The device can verify the network's identity.	No	This could be supported by providing the IoT device with the DPP URI of the network, but this is

Demo ID	Capability	Description	Demonstrated?	Explanation/Notes
				not currently implemented.
S1.C4	Network Authorization	The device can verify that the network is authorized to take control of it.	Yes	The network that possesses the device's public key is implicitly authorized to onboard the device by virtue of its knowledge of the device's public key. While this is not cryptographic, it does provide a certain level of assurance that the "wrong" network doesn't take control of the device.
S1.C5	Secure Local Credentialing	The onboarding mechanism securely provisions local network credentials to the device.	Yes	DPP provisions the device's network credentials over an encrypted channel.
S1.C6	Secure Storage	The credentials are provisioned to secure hardware-backed storage on the device.	No	The IoT device does not have secure hardware-backed storage.
S1.C7	Network Selection	The onboarding mechanism provides the IoT device with the identifier of the network to which the device should onboard.	Yes	Network responds to device chirps.
S1.C8	Interoperability	The network-layer onboarding mechanism can onboard two types of IoT devices (e.g., different device vendors and models).	Yes	Build 2 was able to onboard the IoT devices from Build 1.
<b>Scenario 2: Trusted Application-Layer Onboarding</b>				
S2.C1	Automatic Initiation of Streamlined	The device can automatically (i.e., with no manual intervention	Yes	This has been demonstrated with the

Demo ID	Capability	Description	Demonstrated?	Explanation/Notes
	Application-Layer Onboarding	required) initiate trusted application-layer onboarding after performing network-layer onboarding and connecting to the network. In this case, the application-layer onboarding bootstrapping information has been securely conveyed to the device during the network-layer onboarding process.		OCF lotivity custom extension.
S2.C2	Automatic Initiation of Independent Application-Layer Onboarding	The device can automatically (i.e., with no manual intervention required) initiate trusted application-layer onboarding after performing network-layer onboarding and connecting to the network. In this case, the application-layer onboarding bootstrapping information has been pre-provisioned to the device by the device manufacturer or integrator (e.g., as part of an application that has been installed on the device during the manufacturing process).	No	Not supported in this build.
S2.C3	Trusted Application-Layer Onboarding	The device and a trusted application service can establish an encrypted connection without	Yes	Once the device is onboarded to the network using DPP, the credentials for the application layer



Demo ID	Capability	Description	Demonstrated?	Explanation/Notes
		<p>exposing any information that must be protected to ensure the confidentiality of the connection. They can then use that secure association to exchange application-layer information.</p>		<p>onboarding are sent over the secure channel and provisioned by the onboarding tool (OBT).</p>
<b>Scenario 3: Re-Onboarding a Device</b>				
S3.C1	Credential Deletion	<p>The device’s network credential can be deleted.</p>	Yes	Supports factory reset.
S3.C2	De-Credentialed Device Cannot Connect	<p>After the device’s network credential has been deleted, the device is not able to connect to or communicate on the network securely.</p>	Yes	Observed.
S3.C3	Re-Onboarding (network layer)	<p>After the device’s network credential has been deleted, the network-layer onboarding mechanism can security re-provision a network credential to the device, which the device can then use to connect to the network securely.</p>	Yes	Observed.
S3.C4	Re-Onboarding (application layer)	<p>After the device’s network and application-layer credentials have been deleted and the device has been re-onboarded at the network layer and re-connected to the network, the device can again perform trusted application-layer onboarding.</p>	Yes	Observed.

Demo ID	Capability	Description	Demonstrated?	Explanation/Notes
<b>Scenario 4: Ongoing Device Validation</b>				
S4.C1	Device Attestation (initial)	The network-layer onboarding mechanism requires successful device attestation prior to permitting the device to be onboarded.	No	Not supported in this build.
S4.C2	Device Attestation (application layer)	The application-layer onboarding mechanism requires successful device attestation prior to permitting the device to be onboarded.	No	Not supported in this build.
S4.C3	Device Attestation (ongoing)	Successful device attestation is required prior to permitting the device to perform some operation (e.g., accessing a high-value resource).	No	Not supported in this build.
S4.C4	Local Network Segmentation (initial)	Upon connection, the IoT device is assigned to some local network segment in accordance with policy, which may include an assessment of its security posture.	Yes	When the device is connected to the network, the gateway places it in a restricted network segment based on policy.
S4.C5	Behavioral Analysis	Device behavior is observed to determine whether the device meets the policy criteria required to be permitted to perform a given operation (e.g., to access a high-value resource or be placed on a given network segment).	No	Not supported in this build.

Demo ID	Capability	Description	Demonstrated?	Explanation/Notes
S4.C6	Local Network Segmentation (ongoing)	The IoT device can be reassigned to a different network segment based on ongoing assessments of its conformance to policy criteria.	Yes	Device can be moved to new network segments programmatically. The policy to do this is not defined in this build.
<b>Scenario 5: Establishment and Maintenance of Credential and Device Security Posture Throughout the Lifecycle</b>				
S5.C1	Trusted Firmware Updates	The device can download the most recent firmware update and verify its signature before it is installed.	No	Not supported in this build.
S5.C2	Credential Certificate Provisioning	The onboarding mechanism can interact with a certificate authority to sign a device's X.509 certificate and provision it onto the device.	No	Not supported in this build.
S5.C3	Credential Update	The device's network credential can be updated after it expires.	No	Not demonstrated in this phase.
S5.C4	Server Attestation	Successful server attestation is required prior to permitting the server to perform some operation on the device (e.g., prior to downloading and installing updates onto the device).	No	Not supported in this build.
S5.C5	Secure Integration with MUD	The network-layer onboarding mechanism can convey necessary device intent information (e.g., the IoT device's MUD URL) to the network in encrypted form, thereby securely binding	No	Supported by DPP, but not demonstrated because Build 2 is not integrated with MUD or any other device intent enforcement mechanism.

Demo ID	Capability	Description	Demonstrated?	Explanation/Notes
		this information to the device and ensuring its confidentiality and integrity.		
S5.C6	Lifecycle Management Establishment	The device has a lifecycle management service and can automatically establish a secure association with it after performing network-layer onboarding and connecting to the network.	No	Not supported in this build.